

WILLIAM A. BRANDT, JR. and  
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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

WESLEY HOLDINGS LTD.,

Plaintiff,

v.

3SI SYSTEMS, LLC, SURGICAL SAFETY  
SOLUTIONS, LLC, DOWNING HEALTH  
TECHNOLOGIES, LLC, DOWNING  
INVESTMENT PARTNERS, LP, DOWNING  
PARTNERS, LLC, HANOVER SQUARE  
CAPITAL PARTNERS, LP, CLINIFLOW  
TECHNOLOGIES, LLC, AND DAVID W.  
WAGNER,

Defendants.

Case No. 1:17-cv-3362-KPF

**SECOND AND FINAL APPLICATION OF WILLIAM A. BRANDT, JR., AND  
DEVELOPMENT SPECIALISTS, INC., RECEIVER AND FINANCIAL  
CONSULTANTS, FOR COMPENSATION AND REIMBURSEMENT OF EXPENSES**

William A. Brandt, Jr., solely in his capacity as Receiver for 3si Systems, LLC (“Receiver”), submits this Second and Final Fee Application (the “Application”) for compensation for services rendered and reimbursement of expenses incurred by the Receiver and Development Specialists, Inc. (“DSI”), Financial Consultant to the Receiver.

The Receiver respectfully requests that this Court 1) grant final approval of the Receiver's and DSI's professional fees and expenses incurred from September 5, 2017 through and including September 30, 2019; 2) authorize the payment of \$16,250.00 to the Receiver, which amount represents the 25 percent holdback for the period from December 2017 through and including December 2018 and 3) award the Receiver and DSI the amount of \$5,000.00 as compensation for post-receivership wind-down activities.

Summary and detailed information regarding fees and expenses, monthly statements submitted and payments received is as follows:

**SECOND AND FINAL FEE APPLICATION OF RECEIVER AND DSI  
FEES AND EXPENSES SUMMARY**

First Interim Fee Application					
Total Fees	Total Holdback	Total Expenses	Total Net of Holdback	Total	Amount Paid
\$15,000.00	\$3,750.00	\$0.00	\$11,250.00	\$15,000.00	\$15,000.00
Second and Final Fee Application					
Total Fees	Total Holdback	Total Expenses	Total Net of Holdback	Total	Amount Paid
\$65,000.00	\$16,250.00	\$5,068.21	\$53,818.21	\$70,068.21	\$53,818.21
Totals First and Second and Final Fee Applications					
Total Fees	Total Holdback	Total Expenses	Total Net of Holdback	Total	Amount Paid
\$80,000.00	\$20,000.00	\$5,068.21	\$65,068.21	\$85,068.21	\$68,818.21

**SECOND AND FINAL FEE APPLICATION OF RECEIVER AND DSI  
BILLING DETAIL BY CATEGORY**

<b>Code</b>	<b>Category</b>	<b>Hours</b>	<b>Amount</b>
12	Fee Application/Client Billing	14.10	7,806.50
13	Retention/Engagement Matters	7.60	3,965.50
14	Attend Court Hearings/Review Pleadings	25.40	13,616.00
21	Accounting	21.50	10,739.50
22	Business Analysis	17.70	9,944.00
37	Asset Analysis and Recovery	68.10	34,738.00
43	Sale of Assets	240.40	115,636.00
49	Wind Down Operations	16.00	9,517.50
51	Secured Lenders/Cash Collateral	39.70	22,207.00
52	Creditors/Creditors' Committee Contact	4.40	2,438.50
61	Litigation Support	11.10	6,270.00
80	Travel at 1/2	18.00	5,505.00
<b>TOTAL</b>		<b>484.00</b>	<b>\$242,383.50</b>

**SECOND AND FINAL FEE APPLICATION OF RECEIVER AND DSI  
DSI BILLING DETAIL BY PROFESSIONAL**

Name	Hours	Amount
<b>Senior Managing Directors</b>		
Steven L. Victor	244.00	\$145,037.00
Total Senior Managing Directors	244.00	\$145,037.00
<b>Managing Directors</b>		
Yale S. Bogen	4.90	2,342.50
Daniel J. Stermer	129.00	59,695.00
Total Managing Directors	133.90	\$62,037.50
<b>Associates</b>		
William G. Brandt	3.10	713.00
Taylor F. Caruso	2.00	460.00
Christine D. DePaul	1.60	483.00
James E. Romey	83.00	29,050.00
Adam L. Rhum	7.40	1,678.00
Yiman Zhang	9.00	2,925.00
Total Associates	106.10	\$35,309.00
<b>Total Hours, and Total Professional Fees</b>	<b>484.00</b>	<b>\$242,383.50</b>

DSI Professionals' Hourly Rates <sup>1</sup>		
Professional	2017 Rate	2018 Rate
Steven L. Victor	595.00	620.00
Yale S. Bogen	475.00	500.00
Daniel J. Stermer	455.00	465.00
William G. Brandt	195.00	230.00
Taylor F. Caruso	N/A	230.00
Christine D. DePaul	300.00	310.00
James E. Romey	N/A	350.00
Adam L. Rhum	225.00	230.00
Yiman Zhang	N/A	325.00
Travel time is billed at one-half the professional's hourly rate.		

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<sup>1</sup> DSI hourly rates are adjusted as of January 1 of each year to reflect advancing experience, capabilities, and seniority of our professionals as well as general economic factors

**SECOND AND FINAL FEE APPLICATION OF RECEIVER AND DSI  
MONTHLY BILLING STATEMENTS SUBMITTED AND PAYMENTS RECEIVED**

Period Covered	Docket No.	Fees Requested	Hold Back Amount	Expenses	Total Fees and Expenses	Amount Received	Payment Received
9/17	84	5,000.00	1,250.00		5,000.00	3,750.00	12/5/17
10/17	85	5,000.00	1,250.00		5,000.00	3,750.00	12/5/17
11/17	95	5,000.00	1,250.00		5,000.00	3,750.00	1/3/18
9/17- 11/17	116		1,250.00		-	3,750.00 <sup>2</sup>	3/12/18
12/17	108	5,000.00	1,250.00	899.77	5,899.77	4,649.77	3/27/18
1/18	109	5,000.00	1,250.00		5,000.00	3,750.00	3/27/18
2/18	123	5,000.00	1,250.00		5,000.00	3,750.00	5/18/18
3/18	132	5,000.00	1,250.00		5,000.00	3,750.00	6/5/18
4/18	133	5,000.00	1,250.00	78.48	5,078.48	3,828.48	8/10/18
5/18	168	5,000.00	1,250.00		5,000.00	3,750.00	9/14/18
6/18	169	5,000.00	1,250.00		5,000.00	3,750.00	9/14/18
7/18	170	5,000.00	1,250.00		5,000.00	3,750.00	9/14/18
8/18	171	5,000.00	1,250.00		5,000.00	3,750.00	9/14/18
9/18	191	5,000.00	1,250.00		5,000.00	3,750.00	1/9/19
10/18	192	5,000.00	1,250.00	1,837.80	6,837.80	5,587.80	1/9/19
11/18	206	5,000.00	1,250.00	2,250.81	7,250.81	6,000.81	1/9/19
12/18 <sup>3</sup>	208	5,000.00	1,250.00	1.35	5,001.35	3,751.35	1/24/19

1. The Receiver was appointed by the Court's order entered September 5, 2017 (Doc. 78) (the "Receiver Order") over all of the assets of 3si Systems, LLC ("3si"), a security technology concern and one of the defendants in the above-captioned case, and over the Collateral as that term is defined in the Receiver Order and described in Exhibit 1 to the Receiver Order.

2. Pursuant to the Receiver Order, it was the Receiver's duty to gather, recover, market and sell the Collateral in a professional manner as expeditiously as possible. The

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<sup>2</sup> The 25 percent holdback for the September 2017, October 2017, and November 2017 monthly fee statements were approved pursuant to the Receiver's First Interim Fee Application filed February 15, 2018 (Doc. 110) and approved by the Court by order entered March 8, 2018 (Doc. 116).

<sup>3</sup> Although the Receiver and DSI professionals expended time in 2019, these efforts were largely trailing activities related to the sale and those concerning the wind-down of the receivership. The Assignee did not file fee statements or request payment for the period January 1, 2019, through August 20, 2019.

Receiver Order conferred on the Receiver the sole authority over the Collateral and vested with the Receiver all necessary authority to take such corporate actions on behalf of 3si with respect to the Collateral as deemed necessary or appropriate by the Receiver or in accordance with the Receiver Order or the Receiver's business judgment.

3. DSI professionals devoted 484.00 hours carrying out the responsibilities set forth in the Receiver Order, which, if billed at normal hourly rates for each professional, would total \$242,383.50. The majority of the 484.00 hours devoted to this matter were spent on DSI's Asset Analysis and Recovery, Sale of Assets and Secured Lenders/Cash Collateral categories, the last of which consisted largely of contact with the Plaintiff necessitated by the state of the 3si and the Collateral, and the dearth of available information, at the time of the Receiver's appointment.

Category	Total Hours	Percent of Total	Total Amount	Percent of Total
Asset Analysis and Recovery	68.10	0.14	34,738.00	0.14
Sale of Assets	240.00	0.50	115,636.00	0.48
Secured Lenders/Cash Collateral	39.70	0.08	22,207.00	0.09

4. Fulfilling the duties required of the Receiver was extraordinarily difficult. At the time the Receiver Order was entered, there was quite literally no meaningful information available to the Receiver and DSI professionals. There was likewise no institutional knowledge because there were no operations or employees at the time of the Receiver's appointment.

5. DSI professionals attempted to locate cash that might be available to fund the efforts of administering the receivership estate. After investigation, it became clear that no funds remained and DSI professionals thereafter worked with the Plaintiff to secured sufficient funding to effectuate the recovery and sale of assets.

6. DSI professionals devoted significant efforts to identify and coordinate return of Collateral, including prototypes and working components for security systems developed by 3si and companies in which it held substantial interests. These and other assets were located in disparate locations across the country and variously held by beta tests, third-party vendors, former employees and service providers. DSI professionals' efforts included working extensively with Michael Grumbine, Chief Technology Officer at 3si, to coordinate the return of certain Collateral related to the hospital room voice command system operated by Surgical Safety Solutions, LLC, in which 3si held an 83 percent equity stake.

7. DSI professionals, in conjunction with Goodwin Procter, intellectual property counsel, worked to maintain and manage a significant international patent portfolio. This effort involved locating intellectual property registered in countries around the world and ensuring that essential intellectual property did not lapse for such reasons as failure to renew the property.

8. DSI professionals also worked with the Receiver's other advisors and Mike Grumbine, the former Chief Technology Officer to a 3si-affiliated entity, to ensure that 3si and related entity technology systems were complete and properly functional. Again owing to the absence of any coherent business operation at the time the Receiver was appointed, this effort was not insubstantial because the absence of a single component of the sophisticated technology would render the system useless and saleable only for parts. Through these efforts, DSI professionals were able to understand the technology, develop diligence material and collateral information that could be used in the Receiver's sale process.

9. In order to sell the Collateral, the Receiver and DSI spent considerable time generating interest in the assets. This effort included contacting no fewer than 385 businesses, investors and other parties working within the healthcare technology section, preparing and

issuing a press release promoting the asset sale and publishing notices of the sale in the national editions of the *Wall Street Journal* and the *New York Times* and in the Daily Dac Distressed Asset Central Weekly, an electronic advertising platform distributed weekly to more than 10,000 subscribers interested in acquiring distressed assets and investing in insolvent companies.

10. The Receiver and DSI also developed and implemented an auction process of the Collateral 3si Hub Assets, as that term is defined in the Court’s *Order Granting the Motion of the Receiver to Conduct a Sale of 3SI Hub Assets Free and Clear of All Liens, Claims, Interests, and Other Encumbrances*, entered November 20, 2018 (the “Sale Order”) (Doc. 201), which included converting space in DSI’s Chicago office into a diligence room, where prototypes of a number of 3si’s security technology systems, along with auxiliary equipment and computers, could be examined by interested parties.

11. Through these and other efforts, the Receiver and DSI, principally DSI’s Steven L. Victor, obtained a stalking horse bid for the assets and developed the auction process and bid procedures that were ultimately approved by the Court. The Receiver convened an auction on November 15, 2018, and the 3si Hub Assets at which the stalking horse bid was the best and highest offer.

12. The Receiver Order included a budget that provided for monthly compensation to the Receiver in the amount of \$5,000.00, subject to a 25 percent holdback. Pursuant to this provision, the Receiver’s and DSI’s fees in this case are \$80,000.00, of which \$16,250.00 remains subject to the 25 percent holdback. Exclusive of the Receiver, DSI professionals’ time devoted to this case, if billed at ordinary hourly rates, would total \$242,383.50.

13. DSI professionals maintained written records of time expended by professionals.

These time records are maintained contemporaneously with the rendition of services. The time charges are attached hereto as Exhibit 2.

14. The Receiver did not submit detailed time records in this matter and thereby minimized the expense of administering the receivership estate and accommodated the pre-appointment concerns of the Court and the creditors. The Receiver has instead chosen and agreed to look solely to the Transaction Fee as set forth in the Receiver Order as compensation for his services. The Transaction Fee for the sale of the 3si Hub Assets totaled \$155,715.74.

15. The Receiver Order allowed reimbursement of expenses incurred in carrying out the Receiver's duties. Total expenses were \$5,068.21, all of which has been reimbursed.

16. All services performed and expenses incurred by DSI in this case were performed or incurred for and on behalf of the Receiver and were beneficial to the receivership estate.

17. Neither the Receiver nor DSI has entered into any agreement, express or implied, with any other party for the purpose of fixing or sharing fees or other compensation to be paid for professional services rendered in this matter.

18. No promises have been received by the Receiver or DSI or any member thereof as to compensation in connection with this matter, other than as contained in the Receiver Order and any subsequently approved budget.

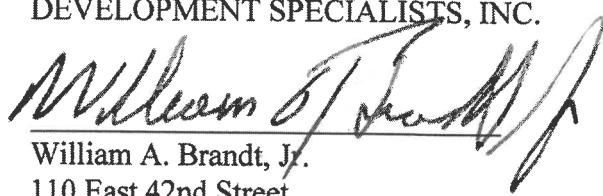
19. No previous motion for the relief sought herein has been made to this or any other court.

WHEREFORE, the Receiver respectfully requests that this Court 1) grant final approval of the Receiver's and DSI's professional fees and expenses incurred from September 5, 2017 through and including September 30, 2019; 2) authorize the payment of \$16,250.00 to the

Receiver, which amount represents the 25 percent holdback for the period from December 2017 through and including December 2018; 3) award the Receiver and DSI the amount of \$5,000.00 as compensation for post-receivership wind-down activities and 4) grant such other and further relief as is just and necessary.

Respectfully submitted,

WILLIAM A. BRANDT, JR.  
DEVELOPMENT SPECIALISTS, INC.

  
William A. Brandt, Jr.  
William A. Brandt, Jr.  
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(212) 425-4141 – Telephone

Dated: October 8, 2019

# EXHIBIT 1

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #: \_\_\_\_\_  
DATE FILED: September 5, 2017

WESLEY HOLDINGS LTD.,

Plaintiff,

v.

3SI SYSTEMS, LLC, SURGICAL SAFETY  
SOLUTIONS, LLC, DOWNING HEALTH  
TECHNOLOGIES, LLC, DOWNING  
INVESTMENT PARTNERS, LP, DOWNING  
PARTNERS, LLC, HANOVER SQUARE  
CAPITAL PARTNERS, LP, CLINIFLOW  
TECHNOLOGIES, LLC, AND DAVID W.  
WAGNER,

Defendants.

Case No. 1:17-cv-3362-KPF

**ORDER GRANTING MOTION FOR  
THE APPOINTMENT OF A  
RECEIVER**

This matter came before the Court on Plaintiff Wesley Holdings Ltd.'s ("Wesley Holdings") motion (the "Motion")<sup>1</sup> for the appointment of a receiver over all of the assets of defendant 3si Systems, LLC ("3si Systems"), including without limitation all of the intellectual property, patents, intangible assets, contract rights, hardware and software for the operation of hospital operating room voice command systems. The Court having reviewed the Motion, the Defendants' response to the Motion and Wesley Holdings' reply in support of the Motion; having heard the statements of counsel in support of the relief requested therein at conferences held by the Court on May 25, 2017 and July 28, 2017; having found and concluded that the legal and factual bases set forth in the briefing and at the conferences held by the Court establish just cause for the relief granted herein; having granted the motion by oral ruling at the July 28, 2017 conference and written Order, dated July 31, 2017; and having determined that granting the relief

<sup>1</sup> Capitalized terms used but not otherwise defined herein shall have the meanings given to them in the Motion.

requested in the Motion is warranted and appropriate under Civil Rule 66, the Court finds the Motion well-taken.

**WHEREAS**, Wesley Holdings filed its Complaint on May 5, 2017 seeking foreclosure and other relief stemming from the defaults of 3si Systems under the Note and Loan Documents and other alleged misconduct by the Defendants; and

**WHEREAS**, to secure the Note, on or about May 13, 2016, 3si Systems executed and delivered to Wesley Holdings the Security Agreement, which granted Wesley Holdings a first-priority, senior secured interest and lien on the assets of 3si Systems, including but not limited to the assets listed in the attached Exhibit 1 (the “**Collateral**”), as more fully set forth in the Security Agreement; and

**WHEREAS**, Wesley Holdings perfected its security interest in the Collateral by filing the Financing Statement with the Delaware Secretary of State, and thus has a legally protectable interest in the Collateral; and

**WHEREAS**, 3si Systems is in default of the Note and Security Agreement and, as a result, Wesley Holdings is entitled to enforce its rights and remedies available under the Note and Security Agreement and by law; and

**WHEREAS**, Wesley Holdings filed the Motion seeking the appointment of William A. Brandt, Jr. of Development Specialists, Inc. as receiver to take possession of, recover, control, protect, market and sell the Collateral for the benefit of Wesley Holdings and other creditors; and

**WHEREAS**, this Court is satisfied that Wesley Holdings has shown, by clear and convincing evidence as articulated in the Motion, in the reply in support of the Motion and at the conferences held by the Court, that (i) probable cause exists to believe that Wesley Holdings has

a legally protectable interest in the Collateral, and (ii) that some or all of the Collateral may be lost, removed, dissipated or materially injured if a receiver is not appointed; and

**WHEREAS**, for the foregoing reasons, and for the reasons more fully stated in the Court's oral ruling at the July 28 2017 conference, which reasons constitute the Court's additional findings of fact and conclusions of law incorporated herein by reference, legal cause exists under Civil Rule 66 for the appointment of a receiver to take possession of the Collateral, manage, control, recover and protect the Collateral, prepare the Collateral for sale, and sell the Collateral.

**NOW, THEREFORE, IT IS HEREBY ORDERED, THAT:**

1. The Motion is GRANTED in its entirety.
2. **Appointment.** The Court hereby appoints William A. Brandt, Jr. of Development Specialists, Inc. (the "Receiver") to serve as receiver of 3si Systems and the Collateral. Such appointment shall become effective immediately upon the Effective Date (as that term is defined herein), and the Receiver shall be deemed to have taken possession of the Collateral upon the Effective Date. The Receiver is qualified to act as Receiver in this case and shall have primary responsibility for this receivership. The Receiver shall have sole authority over the Collateral and is hereby vested with all necessary authority to take such corporate actions on behalf of 3si Systems with respect to the Collateral, as the Receiver deems necessary or appropriate in accordance with this Order and the Receiver's business judgment.
3. **No Bond.** The Receiver's appointment shall not be conditioned upon the posting of bond or other security.
4. **Authority of the Receiver.** At this time, the Receiver's sole responsibility is to gather, recover, market and sell the Collateral in a professional manner as expeditiously as

possible. Accordingly, the Court hereby authorizes the Receiver, as of the Effective Date, to do any act authorized under Civil Rule 66 or by law that is necessary to facilitate the Receiver's responsibilities, including all of the following acts:

- a. To immediately take and have complete and exclusive possession, control and custody of the Collateral, including, but not limited to, all intellectual property, patents, hardware, software, licenses, equity interests, contract rights, investment property, general intangibles, accounts, accounts receivable, equipment, inventory, documents, books and records, chattel paper, earnings, issues, income, profits, proceeds, proceeds from insurance, rents and other revenues associated with said Collateral, now due and unpaid or hereafter becoming due and payable or hereafter acquired by 3si Systems, of whatever nature and wherever located or in which 3si Systems asserts or may assert an interest (collectively, the "**Proceeds**"). The Proceeds and Collateral are hereby placed in *custodia legis* and are subject to the exclusive jurisdiction of this Court. The Receiver shall also have and exercise all rights of 3si Systems to occupy and control the portion of any real property leased or currently occupied by 3si Systems (the "**Facility**"), to the extent the Receiver deems it necessary or appropriate to facilitate a prompt sale of the Collateral. The Receiver is hereby authorized, with landlord approval, to change locks and secure the Collateral, as the Receiver deems necessary or appropriate under the circumstances.
- b. To take all necessary steps to gather, recover, conserve, protect, market and sell the Collateral, including, without limitation, filing or pursue any patents, patent expansions or patent-related protections related to the Collateral, appearing in legal proceedings involving the Collateral, facilitating any beta testing of the Collateral, taking all prudent steps to collect all accounts receivable owed to 3si Systems by all third parties, taking whatever action is necessary to prepare, advertise or appraise the value of the Collateral for sale and to preserve and maximize the value of the Collateral, subject to and consistent with the terms and conditions hereof, and effectuating a transfer, assignment, or sale of the Collateral, or any part thereof, free and clear of liens, to a third-party purchaser or secured creditor that submits a credit bid for the Collateral (wherever located) by public or private sale, in either event on notice to all parties in this matter and with an opportunity for them to be heard. The terms of any Sale of the Collateral shall be subject to further order of the Court. Wesley Holdings and the Receiver contemplate that a sale of the Collateral will take place within six (6) months of the Effective Date; provided, however, that nothing in this Order requires the Receiver to sell the Collateral within six (6) months of the Effective Date.
- c. To borrow from Wesley Holdings, on a senior secured basis, any funds ("**Funds**") necessary to assist the Receiver in the discharge of his duties and/or to preserve and maintain the Collateral; provided, however, that any borrowing of Funds shall be subject to and in accordance with Paragraph 5 of this Order. Any Funds

advanced by Wesley Holdings shall be and are secured by a first priority security interest — senior in priority to any and all existing claims, whether secured or unsecured, in the Collateral — provided, however, that such security interest shall be subject to the Fee Carve-Out (as defined in Paragraph 6 below). Further, any Funds advanced by Wesley Holdings shall be held in a separate bank account and shall not be commingled with any existing funds held by 3si Systems in connection with the Collateral. Wesley Holdings is not required to file any additional financing statements or other documents or take any action to validate or perfect such security interest.

- d. To utilize the service of any persons or entities necessary for the Receiver to carry out his receivership duties and to pay such persons or entities for such services, so long as such persons or entities are approved by Wesley Holdings and such expenses are in accordance with the terms of the Budget attached as Exhibit 2.
- e. To collect all profits and Proceeds generated from the Collateral. The Receiver may use the Proceeds to pay reasonable and necessary expenses and expenditures related to the receivership and the preservation and maintenance of the Collateral for the benefit of 3si Systems' creditors, so long as such expenses are in accordance with the Budget attached as Exhibit 2. Expenses that are not authorized by the Budget shall not be paid unless (i) Wesley Holdings consents to payment of such expenses, and (ii) such expenses are approved by Order of the Court after notice and a hearing.
- f. To maintain detailed records, supplied to the Court and to Wesley Holdings upon reasonable request, including an itemized accounting of any funds received by or on behalf of the Receiver and any disbursements made by or on behalf of the Receiver (including the Receiver's fees), and to file such reports of receipts and disbursements as required under this Order.
- g. To take inventory of all Collateral and/or Proceeds, wherever located, and to conduct a reasonable search for all person(s) or entities with a security interest or other interest, or who claim to have such an interest, in the Collateral and/or Proceeds.
- h. To use and retain 3si Systems' existing bank accounts, investment accounts, safe deposit boxes, statutory accounts, petty cash or other accounts of any nature whatsoever (collectively the "**Accounts**"), with signing authority transferred to the Receiver and/or his delegates, and no other signatory retained. The Receiver is further authorized to open and maintain bank accounts to be used exclusively for the receivership estate and to direct payors, including but not limited to any parties to any services agreement involving the Collateral, to deposit funds due and owing to 3si Systems in such accounts as directed by the Receiver, and to supply Wesley Holdings with the identity of such bank accounts, along with copies of all monthly statements of account received by the Receiver as to such accounts. Any bank, savings and loan association, depository, financial institution, or other entity, wherever located (collectively, the "**Banks**"), are

authorized and directed to continue to service and administer, without interruption, the Accounts as accounts under the control and supervision of the Receiver, and in their usual and ordinary course, receive, process, and pay any and all checks, drafts, wires, or automated clearing house transfers drawn on the accounts after the appointment of the Receiver. Subsequent to the entry of this Order, the Banks at which the Accounts are maintained are prohibited from offsetting, freezing or otherwise impeding the use or transfer of, or access to, any funds deposited by 3si Systems into the Accounts before or after the appointment of the Receiver without first obtaining either the prior written consent of the Receiver or an order of this Court allowing such action. The Receiver may use 3si Systems' business forms in accordance with his duties under this Order.

- i. To perform under any existing contracts of 3si Systems related to the Collateral, or to terminate such existing contracts of 3si Systems related to the Collateral, where the Receiver determines in his reasonable business judgment that such performance will not act to preserve or maximize the value of the Collateral.
- j. To maintain or purchase appropriate insurance for the Collateral from any agent or carrier, of any type reasonably necessary, and to pay any premiums for additional insurance coverage for the Receiver (including, without limitation, an errors and omissions policy and an umbrella policy) in connection with the Collateral, provided, however, that the Receiver deems, in his reasonable business judgment, the existing insurance for the Collateral to be insufficient or nonexistent.
- k. To take possession of or, if needed, to recover all mail or packages addressed to 3si Systems related to the Collateral. The United States Postal Service and equivalent private delivery services (e.g. United Parcel Service) are directed to release all such mail and packages related to the Collateral to the Receiver or a designee of the Receiver.
- l. To immediately retain and employ professional persons who, in the Receiver's judgment, are necessary to advise and assist the Receiver, commencing upon the entry of this Order (the "**Professionals**"). Such professional persons, whose retention is hereby authorized, include Development Specialists, Inc. and Rosen & Associates, P.C., to assist the Receiver with the execution of his duties as Receiver in this matter and to perform other duties as required, pursuant to the Budget set forth on the attached Exhibit 2. In addition, the Receiver is authorized to compensate such Professionals subject to the procedures and limitations set forth in Paragraphs 4(f)(iii), 5, 6 and 7 of this Order. No further order of the Court is required approving the retention of the Professionals on the list above. The Receiver may retain Professionals not identified on the list above upon appropriate motion to the Court.
- m. To pay and disburse to the Receiver a fee for the Receiver's services and reimbursement for any reasonable and necessary disbursements the Receiver

incurs on behalf of the estate, in accordance with the procedures and limitations set forth in Paragraphs 4(f), 5, 6 and 7 of this Order.

If, after the Collateral is sold, there are assets left in the receivership estate after repaying in full the costs of the receivership and the senior secured loan owed to Wesley Holdings, the Receiver may be asked by the parties or the Court to provide additional receivership services (the “**Additional Services**”), subject to a further Court order providing for such services and approval of a new or revised Budget for such Additional Services.

The Receiver will report back to the Court within 45 days of his appointment with respect to the status of his undertakings and investigations. Nothing in this Order will preclude the Receiver from seeking other or further relief from the Court as a result of circumstances determined by the Receiver in his engagement.

**5. Budget and Benchmarks.** The Receiver is directed to handle this matter as cost-effectively as possible, consistent with the Receiver’s duties herein and reasonably prudent business judgment, and shall delegate duties and conserve resources to the extent it is reasonable to do so. To that end, the Receiver and his Professionals are subject to the budget (the “**Budget**”) and associated benchmarks (the “**Benchmarks**”) attached hereto as Exhibit 2. Any obligation of Wesley Holdings to advance funds to pay the expenses authorized by the Budget shall be subject to the Receiver satisfying the Benchmarks. If the Benchmarks are not satisfied, Wesley Holdings shall have no obligation to continue advancing funds to pay the costs of the receivership, but, in its sole discretion, may continue to fund the receivership without waiving any right to stop funding the receivership in the future.

If the Receiver believes that he is likely to, or has, exceeded the Budget or that the timeline for the Benchmarks needs to be extended, the Receiver shall notify Wesley Holdings and shall promptly provide an explanation for the Budget overages or reason for extending the

Benchmarks, and shall provide a new or revised proposed budget and/or benchmarks. If Wesley Holdings consents to the proposed modification of the Budget and/or Benchmarks, the Receiver shall file the revised Budget and/or Benchmarks with the Court. The parties shall have seven (7) days to submit any objections, responses, or other comments to any new or revised budgets or benchmarks submitted pursuant to this Paragraph. Any new or revised budgets or benchmarks submitted pursuant to this Paragraph must be approved by Order of the Court.

If Wesley Holdings does not consent to the proposed modification of the Budget and/or Benchmarks, Wesley Holdings shall have no obligation to continue advancing funds to pay the costs of the receivership. If Wesley Holdings ceases advancing funds to pay the costs of the receivership, the Receiver and any Professional retained by the Receiver may, at their sole discretion, either (i) resign from their duties under this Order by filing a notice of resignation with the Court, which filing shall immediately terminate any and all of Receiver's obligations under this Order, or (ii) continue performing its duties as a Receiver and/or Professional.

If the Receiver is asked to provide Additional Services in accordance with Paragraph 4, the Receiver shall have no obligation to provide such Additional Services absent (i) a new or revised Budget approved by Order of the Court and (ii) adequate assurance of payment for providing the Additional Services, as determined in the Receiver's sole discretion. Wesley Holdings has no obligation to continue advancing funds to pay the costs for any Additional Services.

**6. Carve-Out for Fees.** Wesley Holdings' liens and security interests in the Collateral and/or Proceeds, and any other liens or interests in the Collateral and/or Proceeds, shall be and are subject and subordinate in all respects to the compensation for services rendered or reimbursement of expenses incurred by the Receiver and the Professionals that are (i) within

the Budget, and (ii) approved and allowed by the Court after notice and, if necessary, a hearing (the “**Fee Carve-Out**”).

7. **Payment of Fees and Expenses.** The Receiver and Professionals retained by the Receiver shall receive compensation for the services rendered pursuant to the Receiver’s duties as specified in this Order, pursuant to the Budget attached to this Order as Exhibit 2. The Receiver and Professionals retained by the Receiver shall further receive reimbursement of expenses and disbursements incurred in connection with the performance of their duties.

The Receiver and the Professionals shall file a notice of invoice, attaching a copy of the Receiver and Professionals’ invoice at issue (each, an “**Invoice**”), on a monthly basis for all fees billed and out-of-pocket expenses incurred during the prior month. Parties shall have fourteen (14) days from the date such notice of invoice is filed to file an objection to the Invoice. If no objection is filed, the Receiver and the Professionals are entitled to receive payment of 75% of the fees and 100% of the out-of-pocket expenses included in the Invoice, on an interim basis. If a party files a timely objection to an Invoice, the Court may approve the Invoice or set the matter for hearing.

The Receiver and the Professionals shall file interim and/or final fee applications no less than every three (3) months seeking Court approval for full payment of Invoices, including payment of any unpaid 25% holdback and any other unpaid fees or expenses. Parties shall have fourteen (14) days from the date such interim fee application is filed to file an objection to the interim fee application. If no objection is filed, the Court may enter an order approving the interim or final fee application. If a party-in-interest files a timely objection to an interim or final fee application, the Court may approve the interim or final fee application or set the matter for hearing.

All payments authorized pursuant to this Paragraph shall come first from the assets of the receivership estate and then, if the assets of the receivership estate are insufficient, from advances made by Wesley Holdings.

**8. Insurance.** The Receiver shall be named as a primary insured party on existing liability and property damage insurance coverage for the Collateral and, if needed, the Receiver is authorized to pay any and all related premiums.

**9. Limitations on Receiver's Liability.** The Receiver, and his employees, agents, attorneys and other professionals retained to assist the Receiver with the performance of his duties, and others engaged in connection herewith, shall not be liable for actions taken, actions not taken, or decisions made in performing the duties of the Receiver under this Order which are based upon the exercise of reasonably prudent business judgment. The Receiver shall have no responsibility for accounts receivable funds (if any) not transferred to the Receiver, other than to seek recovery of such funds from any entity that purports to or does hold them. Further, so long as the Receiver acts as authorized herein, the Receiver shall be indemnified and held harmless by the receivership estate from any right, claim, or causes of action brought against the Receiver, including the costs and expenses of defense of such claims or actions. The Receiver shall not be liable for the obligations of 3si Systems to any third parties (including, without limitation, persons or entities not a party to this litigation) including, without limitation, tax liability (payroll taxes, income taxes, or back taxes of any kind); worker's compensation and unemployment compensation premiums or other obligations; wages, benefits, and other compensation due to employees, officers, directors, or agents; and contract indebtedness to third parties arising prior to his appointment and qualification as the Receiver.

10. **Injunction of 3si Systems and Other Defendants.** 3si Systems, Surgical Safety Solutions, LLC, Downing Health Technologies, LLC, Downing Investment Partners, LP, Downing Partners, LLC, Hanover Square Capital Partners, LP, CliniFlow Technologies, LLC, and David W. Wagner (collectively, “**Defendants**”), and all creditors, officers, directors, managers, members, attorneys, accountants, agents, employees, affiliates, independent contractors, or any other persons or entities acting in concert or participating with Defendants, and all those who are under Defendants’ direction or control, are hereby enjoined and directed (i) to fully and completely cooperate with the Receiver and (ii) forthwith upon entry of this Order, to surrender and deliver to the Receiver:

- a. Any and all intellectual property, hardware, software, computer source code and passwords, licenses, books, records, contracts, agreements, leases, purchase orders, invoices, checks, checkbooks, bank account statements, ledgers, journals, and other documents of any kind or description, in whatever form they may be maintained (including in any electronic media or paper), related to the Collateral or the Proceeds, that the Receiver may deem necessary (in the Receiver’s sole discretion) to fulfill the Receiver’s duties and responsibilities pursuant to this Order and applicable law;
- b. All cash, bank accounts and equity interests (including any and all bank accounts or equity interests of any kind in the name of 3si Systems or any present or former agent of 3si Systems), plans and specifications, service agreements, investments, solicitation of investments, insurance, accounts payable and receivable and correspondence with current or former employees of or investors in the Collateral, of any kind, relating to the Collateral and its maintenance, use or operation;
- c. All Proceeds, including all accounts receivable, earnings, issues, profits, rents and other revenues of any kind or description previously, currently, or hereafter derived from or in any manner related to the Collateral; and
- d. Any and all documents necessary to name the Receiver as a primary insured party on any existing liability and property damage insurance coverage applicable to the Collateral.

The Receiver shall have the right to remove the current owner(s), officers, directors, shareholders, agents, employees, representatives, creditors and any other interested persons from

the direct or indirect management of 3si Systems or the Collateral if they fail to cooperate with the Receiver.

**11. Injunction of Other Claimants and Creditors.** All Defendants, creditors, claimants, bodies politic, parties-in-interest, and their respective attorneys, agents, employees, and all other persons, firms, and corporations be, and they hereby are, jointly and severally enjoined and stayed from commencing or continuing any action at law or suit or proceeding in equity to foreclose any lien or enforce any claim against the Collateral, the books, records, revenues, profits, and related assets associated with the Collateral, or against the Receiver in any Court. The parties are further stayed from executing or issuing or causing the execution or issuance out of any Court of any writ, process, summons, attachment, subpoena, replevin, execution or other process for the purpose of impounding or taking possession of or interfering with, or enforcing any claim or lien upon any of the Collateral or the books, records, revenues, profits and related assets associated with the Collateral, or upon the Receiver, and from doing any act or thing whatsoever to interfere (i) with the Receiver in the discharge of his duties in this proceeding or (ii) with the exclusive jurisdiction of this Court over the Collateral and the books, records, revenues, profits and related assets associated with the Collateral. **Notwithstanding the provisions of this paragraph, this Order shall not act to stay or enjoin the prosecution or continuation of the action pending in this Court captioned *Hilderbrand, et al. v. Downing Investment Partners, LP, et al.*, Case No. 16-04040; or the following arbitrations currently pending before the American Arbitration Association: *David Hilderbrand v. 3si Systems, LLC, et al.*, Case No. 01-16-0004-7563; *Andrew Hatch, et al. v. Downing Investment Partners, LP, et al.*, Case No. 01-16-0005-6312; and *Chad Decker, et al. v. Downing Investment Partners, LP, et al.*, Case No. 01-17-0001-3167; or the action pending in the New York**

Supreme Court captioned *Russell Wakelin v. 3si Systems, LLC*, Index No. 653003/2017; provided, however, that no such actions shall interfere with the Receiver's control of the Collateral or the discharge of his duties in this proceeding.

12. **Payment of Proceeds.** All parties indebted or otherwise obligated to 3si Systems for any matters related to the Collateral are hereby directed to pay over to the Receiver all Proceeds now due or unpaid or that may hereafter become due related to the Collateral, until further order of this Court.

13. **Accountings.** The Receiver shall file a report within sixty (60) days from the Effective Date of this Order detailing all assets collected, money received and money disbursed, and providing an update on the status of the marketing and sale of the Collateral. Thereafter, the Receiver shall file similar reports with the Clerk of this Court no less than every thirty (30) days.

14. **Tax Returns.** The Receiver shall have no responsibility to prepare or file state or federal income tax returns relating to 3si Systems, the receivership or the operation of the Collateral. The Receiver may choose to file an appropriate tax return if he determines one is necessary in order to open or maintain bank accounts or otherwise to comply with his obligations pursuant to this Order, but the Receiver is under no obligation to file any such tax return.

15. **No Claims Administration Duties.** The Receiver has no duty to engage in claim administration-related activities at this time. If there are assets left in the receivership estate after the Collateral is sold and the receivership costs and Wesley Holdings are repaid in full, the Receiver may be asked to provide Additional Services that may include claim administration-related activities. Any such Additional Services shall be subject to Paragraphs 4 and 5 of this Order.

Notwithstanding anything written above, the Receiver shall (i) ascertain by commercially reasonable means (including, but not limited to, conducting a standard lien search) those persons or entities who claim a security interest or lien on the Collateral, and (ii) provide such persons with notice of any sale of the Collateral. Any determination by the Receiver as to the identity or persons or entities claiming a security interest or lien on the Collateral shall be deemed for informational purposes only and shall not be considered an admission of the validity or priority of any claim by any such person or entity against the Collateral.

**16. No Forensic Investigation Duties.** The Receiver has no duty to engage in forensic investigation-related activities at this time. If there are assets left in the receivership estate after the Collateral is sold and the receivership costs and Wesley Holdings are repaid in full, the Receiver may be asked to provide Additional Services that may include forensic investigation-related activities. Any such Additional Services shall be subject to Paragraphs 4 and 5 of this Order.

**17. Penalties for Interference.** Any entity, person or individual who willfully interferes with the authority of the Receiver as set forth in this Order or any subsequent order of this Court shall be subject to all appropriate penalties provided for under the laws of the State of New York and the United States, and any such conduct may be punishable as a contempt of Court.

**18. Effective Date.** This Order shall become effective as of August \_\_, 2017 or the date this Order is journalized, whichever comes first.

**19. No Just Reason for Delay.** The Court has determined that there is no just reason for delay.

SO ORDERED.

**SO ORDERED** this 5 day of September, 2017



## **EXHIBIT 1**

### **3SI SYSTEMS COLLATERAL**

The Collateral subject to the receivership includes, but may not be limited to, all of 3si Systems, LLC's right, title and interest in and to any of the following, whether now or hereafter owned, acquired, arising or existing, whether acquired by contract or operation of law, and wherever located:

- 1) All equity interests held by 3si Systems, LLC, including but not limited to 3si Systems, LLC's 83% equity interests in Surgical Safety Solutions, LLC. The assets of Surgical Safety Solutions, LLC include, but are not limited to, all rights to patents and other intellectual property relating to the operation of hospital room voice command systems, and the basic patent source code associated with those patents.
- 2) All rights under any existing or future contractual agreements between 3si Systems and any other person or entity, including but not limited to:
  - That certain *Distributor Agreement* dated December 23, 2014, by and between Surgical Safety Solutions, LLC and Downing Digital Healthcare Group, LLC n/k/a 3si Systems, LLC, as such agreement may be amended from time to time
  - That certain *License Agreement* dated June 25, 2014, by and between President and Fellows of Harvard College and Surgical Safety Systems, LLC n/k/a 3si Systems, LLC, as such agreement may be amended from time to time
  - Contract rights under any yet-to-be-executed agreements between Duke University and 3si Systems, LLC
- 3) All equipment or other 3si Systems, LLC assets identified by David Wagner, including but not limited to:<sup>1</sup>
  - Bank account at Citizens Bank, Account No. 2393-796-3 (Providence, Rhode Island)
  - Rubbermaid Medical – no battery (1)
  - Rubbermaid Medical M3800A40HL LCD Cart 40 LiFe PO4 Battery (1)
  - Spiral Cord Extended Length (1)
  - Dual Display Mount for Cart (2)
  - Furman Power Conditioner (2)
  - HP Elite Desk 800 – Intel Core i7 8GB RAM (2)
  - 8 GB RAM (2)
  - Self Encrypting Drive (2)
  - USB washable Keyboard and Mouse (2)
  - VESA Stand (2)
  - 21.5" LED LCD Touch Screen Monitor 1920x1080 (2)
  - 31.5" LED HD Screen (2)
  - Boyton Rack Case DTRK 718 (2)
  - Sanus full motion mount (2)

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<sup>1</sup> This list is derived from Mr. Jesser's email to counsel for Wesley Holdings dated August 8, 2017.

- 4) All equipment or other 3si Systems, LLC assets in the possession of Jeff Rice, including but not limited to:<sup>2</sup>
  - TASCAM US1800 (1)
  - Furman Power Conditioner (1)
  - CAD Bodypack (F Band) (2)
  - CAD Bodypack (G Band) (2)
  - Mic cable – 3ft (4)
  - Boyton Rack Case DTRK 718 (2)
  - Toshiba eStudio 287CS copier/printer Copy machine (1)
  - Former employees' laptop (1)
  - Demo Kit – system used to demo product for potential customer (1)
  
- 5) All equipment or other 3si Systems, LLC assets in the possession of Michael Grumbine, including but not limited to:<sup>3</sup>
  - Rubbermaid Medical M3800A40HL LCD Cart 40 LiFePO4 Battery (2)
  - Spiral Cord Extended Length (2)
  - Dual Display Mount for Cart (2)
  - Personus Audiobox (2)
  - Furman Power Conditioner (2)
  - CAD Bodypack (F Band) (16)
  - CAD Bodypack (G Band) (12)
  - Mic Cable – 3ft (23)
  - HP Elite Desk 800 – Intel Core i7 8GB RAM (2)
  - 8 GB RAM (2)
  - Self-Encrypting Drive (2)
  - USB washable Keyboard and Mouse (2)
  - VESA Stand (2)
  - 21.5" LED LCD Touch Screen Monitor 1920x1080 (2)
  - 31.5" LED HD Screen (2)
  - Vortex mounting systems (4)
  - Sanus full motion mount (1)
  - Moto 8 (1)
  - Former employees' laptop (3)
  
- 6) To the extent not already listed above, all of 3si Systems, LLC's right, title and interest in and to any of the following, whether now or hereafter owned, acquired, arising or existing, whether acquired by contract or operation of law, and wherever located:

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<sup>2</sup> This list is derived from Mr. Rice's letter to the Court dated June 15, 2017 (Case No. 16-cv-04040, ECF No. 142) and Mr. Jesser's email to counsel for Wesley Holdings dated August 8, 2017.

<sup>3</sup> This list is derived from Mr. Grumbine's letter to the Court dated June 15, 2017 (Case No. 16-cv-04040, ECF No. 142) and Mr. Jesser's email to counsel for Wesley Holdings dated August 8, 2017.

- All other goods, ancillaries, and related items, accounts, general intangibles, deposit accounts, document, instruments, commercial tort claims, letters of credit, letters of credit rights, investment property and all other property and assets of 3si Systems
- All additions to, substitutions for, replacements and products of the foregoing
- All cash and non-cash proceeds of or from the foregoing

## **EXHIBIT 2**

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**Wesley Holdings LTD v. 3si et al**  
**Projection of 6 Month Administration and Related Costs\***  
**6 Month Model**

	8/31/2017	9/30/2017	M/E	10/31/2017	M/E	11/30/2017	M/E	12/31/2017	M/E	1/31/2018	M/E	Transaction Fee	TOTALS
<b>PROJECTED COSTS DURING ADMINISTRATION</b>													
** Receivers Fees	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$62,500.00	\$92,500.00	
** Receivers Expenses	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$2,500.00	
- Receiver's Counsel Fees	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
- IP Counsel Fees	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
- IP Counsel Expenses	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$0.00	\$0.00	
- IT Related and Support Fees and Expenses	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$0.00	\$0.00	
- Equipment Transport/Storage Expenses	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$500.00	\$0.00	\$0.00	
<b>Total Operations Expenses</b>	<b>\$22,500.00</b>	<b>\$20,000.00</b>	<b>\$11,000.00</b>	<b>\$11,000.00</b>	<b>\$11,000.00</b>	<b>\$11,000.00</b>	<b>\$11,000.00</b>	<b>\$15,000.00</b>	<b>\$15,000.00</b>	<b>\$15,000.00</b>	<b>\$62,500.00</b>	<b>\$158,000.00</b>	
<b>Total Projected 6 Month Funding Needs</b>												<b>\$158,000.00</b>	

- \* This Projected 6 Month Administration and Related Expense Budget contains projections by the Receiver for planning purposes only - actual fees/expenses for Receiver's Counsel and IT are subject to change based upon additional information received
- \*\* The projected Receiver's Fees are on a reduced flat fee per month as opposed to an hourly rate and a 5% Transaction Fee provided Secured Lender credit bids their amount owed by the Debtor - Receiver will track all professional time for administration of the Receivership Estate and provide same upon request.
- \*\* The projected Receiver's Expenses are inserted without knowing what further IT development time may be and what BETA testing time may be - as such, the Receivership has projected potential sale related efforts starting in month 4 and expenses related thereto but such is subject to advancement and/or delay based upon realtime circumstances
- \*\* The projected Receiver's Counsel's fees are on a flat fee per month as opposed to an hourly rate - Counsel will track all professional time for legal services to the Receiver
- \*\* It is anticipated that Receiver's Counsel's expenses will be paid for by the Receiver thus no expenses are projected
- \*\* The projected IP Counsel Fees are based upon discussions regarding that certain amounts remain unpaid to IP counsel regarding completion of certain patent and patent related issues - subject to confirmation
- \*\* The projected IP Counsel Expenses are projections for completion of patent related filings - subject to later confirmation
- \*\* The projected IT Related Support Fees and Expenses are on a flat fee per month as opposed to an hourly rate - Michael Giumbine expected to provide IT related support and services
- \*\* The Equipment Transport/Storage Expenses are projections based upon location of equipment and possible costs of shipping

## EXHIBIT 2

3si Systems, LLC

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		HOURS
11/06/2017	DJS Review communication from Receiver Brandt regarding Rosen & Associates invoice and issues related to same.	0.10
11/07/2017	DJS Prepare/send communication to Sandy Rosen/Alice Ko regarding monthly invoice notice and issues related to same.	0.30
11/08/2017	DJS Preparation of Bill Brandt's invoice per budget, review same, and prepare/send same to Sandy Rosen/Alice Ko and request preparation/filing of Notice and review same as filed. SLV Review e-mail from Alice Ko indicating receiver's September invoice has been filed.	0.20 0.10
11/13/2017	DJS Review communication from Sandy Rosen regarding payment of invoices and follow-up communications/teleconference with Steve Victor regarding same. DJS Teleconference with Steve Victor regarding preparation of Receiver Brandt's invoice for October 2017. DJS Review communication from Receiver Brandt regarding payment of professional fees based upon invoices and prepare/send response to same.	0.10 0.10 0.20
11/14/2017	DJS Review communication from Steve Victor to Alice Ko providing Receiver Brandt's invoice and request for filing.	0.10
12/04/2017	DJS Prepare/send communication to Steve Victor regarding the receiver's notices of fees and payment thereof.	0.10
12/18/2017	DJS Follow-up communications from Sandy Rosen regarding expiration of objection period for fees.	0.10
12/28/2017	DJS Review status of the November 2017 notice and communications with Steve Victor regarding no objection to same.	0.20
01/29/2018	DJS Teleconferences and communications with Steve Victor regarding budget-related issue and expenditures and preparation of filing related to same.	0.20
01/31/2018	DJS Teleconferences with Steve Victor with regard to fee-related issue and prepare/finalize/send Receiver's Notice of Filing to Sandy Rosen related to Receiver's fees and Receiver's Counsel's fees and Transaction Details. SLV Review proposed notice of filing receiver's fee statement.	0.20 0.10

3si Systems, LLC

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			HOURS
02/07/2018	DJS	Teleconference with Sandy Rosen regarding draft Notice of Filing and issues related to same.	0.20
02/08/2018	DJS	Teleconference with Steve Victor regarding notice/fee application-related issues and status of budget-related issues.	0.10
	DJS	Prepare the First Application of William A. Brandt, Jr. and Development Specialists, Inc., Receiver and Financial Consultants, for Compensation and Reimbursement of Expenses and prepare/forward same to Steve Victor for review and comments.	1.80
	SLV	Telephone call with Dan Stermer regarding first application.	0.10
02/09/2018	DJS	Additional review of draft fee application and issues related to same.	0.30
02/12/2018	DJS	Teleconference with Steve Victor regarding Brandt/DSI fee application, review time records received, review comments from Steve Victor, and finalize fee application and provide to Steve Victor.	0.40
	SLV	Review fees and expenses for fee application.	0.80
	SLV	Review revised receiver's first application for payment of fees sent by Dan Stermer.	0.20
02/14/2018	DJS	Review redlined fee application received from Sandy Rosen and revise/finalize Receiver Brandt/DSI's First Fee Application and forward same to Sandy Rosen for filing.	0.30
	SLV	Telephone call and e-mail with Sandy Rosen regarding redline to fee application.	0.20
03/08/2018	SLV	Review e-mail from Sandy Rosen confirming that defendants have no objections to the fee applications.	0.10
	SLV	Review e-mail from Sandy Rosen regarding signed fee orders.	0.10
03/12/2018	DJS	Various communications to/from/with Chris DePaul regarding DSI invoicing, review status of same, and request invoices for Noticing.	0.30
	DJS	Review ECFed Notices of DSI invoices for December 2017 and January 2018 and provide same to Steve Victor and Chris DePaul.	0.10
	SLV	Review e-mails from Chris DePaul and Dan Stermer regarding the fee order and receiver's fee application.	0.20
04/13/2018	DJS	Review communication from Steve Victor to Sandy Rosen with the DSI invoice and follow-up teleconference with Steve Victor regarding same.	0.10
05/15/2018	DJS	Prepare/send communication to Chris DePaul/Dinah Deloria requesting April 2018 DSI work-in-process/cost statement and review cost detail received from Chris DePaul.	0.10
05/18/2018	SLV	Telephone call with Dan Stermer and Sandy Rosen regarding professional fees.	0.20
09/02/2018	DJS	Prepare/send request to Carrie Manganiello for	

3si Systems, LLC

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			HOURS
		notices filed related to DSIs invoices.	0.10
09/04/2018	DJS	Various communications to/from/with Carrie Manganiello/Sandy Rosen's office regarding notices filed related to DSIs invoices and prepare/send follow up to Steve Victor/Chris DePaul regarding same.	0.20
10/16/2018	DJS	Review the communications to/from/with Steve Victor and Sandy Rosen related to the September 2018 fees and prepare/send follow up providing the September notice.	0.10
11/06/2018	SLV	Review DSIs invoice and expenses.	0.20
11/08/2018	DJS	Review communication from Patty Taubr with DSIs invoices and prepare/send same to Sandy Rosen for the preparation/filing of the notice.	0.10
	SLV	Review of DSIs invoices for filing with the court.	0.20
11/21/2018	SLV	Review fee statement.	1.10
12/10/2018	DJS	Communications to/from/with Steve Victor/Dinah Deloria and Sandy Rosen related to DSIs fee invoice/notice and follow up related to same.	0.20
	SLV	Prepare invoice for November 2018 for receiver fees, forward to Sandy Rosen for filing.	0.30
01/07/2019	SLV	Review hours and prepare invoice; forward to Terry Manganillo regarding December 2018.	0.10
03/23/2019	SLV	Review fee statement.	3.50
04/01/2019	SLV	Discussions with Chris DePaul regarding review of fees and the holdbacks outstanding.	0.30
		Fee Application/Client Billing	
10/05/2017	SLV	Telephone call with Dan Stermer and forward copy of independent contract agreement for Michael Grumbine.	0.20
10/06/2017	DJS	Prepare independent contractor agreement for Michael Grumbine, prepare/send same to Steve Victor, teleconference with Steve Victor regarding same, and finalize/send same to Michael Grumbine for execution with W-9, and receive fully executed independent contractor agreement and W-9.	1.00
10/16/2017	SLV	Telephone call with Dan Stermer regarding need to coordinate with Michael Grumbine regarding information request and independent contractor agreement.	0.20
11/07/2017	SLV	Review e-mails from Dan Stermer to Sandy Rosen and Alice Ko regarding professional fees.	0.10
11/15/2017	DJS	Communications related to no objections to the Rosen invoices and processing of same.	0.10
11/16/2017	SLV	Telephone call with Sandy Rosen regarding legal fees and filing.	0.10
12/05/2017	DJS	Review Rosen & Associates' November 2017 invoice and	

3si Systems, LLC

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			HOURS
		prepare/send/review follow-up communications to/from/with Alice Ko regarding same.	0.10
01/10/2018	DJS	Review the Rosen & Associates invoice forwarded by Steve Victor.	0.10
01/25/2018	DJS	Communications to/from/with Sandy Rosen/Steve Victor regarding professional fee-related issues and status of same.	0.10
02/07/2018	DJS	Review communication from Receiver Brandt regarding Rosen & Associates invoice and prepare/send response to same.	0.10
02/21/2018	DJS	Review communication from Sandy Rosen regarding outstanding professional fees due and prepare/send response to same.	0.10
02/23/2018	DJS	Review the Rosen & Associates fee application as received from Sandy Rosen.	0.10
03/07/2018	DJS	Review the Rosen & Associates fee application as received.	0.10
03/09/2018	DJS	Review communication received from Michael Grumbine as it relates to consulting contract and status of same.	0.10
	SLV	Review e-mails from Mike Grumbine and Dan Stermer regarding Grumbine's fees and payments.	0.10
03/13/2018	DJS	Communications to/from/with Sandy Rosen and Steve Victor regarding status of payment to Rosen & Associates for approved fees and related issues.	0.20
	SLV	Review e-mail from Dan Stermer regarding processing of Sandy Rosen's fee payment.	0.10
04/10/2018	DJS	Review of the Rosen & Associates invoice forwarded by Sabrina Hilpp and prepare/send follow up regarding same.	0.10
04/17/2018	DJS	Review communication from Sandy Rosen regarding the Rosen & Associates March 2018 fees.	0.10
05/15/2018	DJS	Communications to/from/with Sandy Rosen regarding Rosen & Associates Fee Notice and review same.	0.10
05/18/2018	DJS	Communications to/from/with Sandy Rosen/Chris DePaul regarding Rosen & Associates payment and issues related to same.	0.20
06/22/2018	DJS	Review communication from Sandy Rosen regarding the monthly fee invoice and payment for same and follow up with Sandy Rosen regarding same.	0.20
07/09/2018	DJS	Review Rosen & Associates invoice for June 2018.	0.10
07/20/2018	DJS	Review communication from Goodwin Proctor with invoice for the June 2018 professional fees/expenses.	0.10
08/01/2018	SLV	Telephone call to Dan Stermer regarding Michael Grumbine's independent contractor agreement and	

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			HOURS
		review of same.	0.20
08/07/2018	DJS	Review of the Rosen & Associates invoice forwarded by Sabrina Hilpp.	0.10
08/10/2018	DJS	Review of the DSI and Rosen & Associates invoices and status of same; prepare/send communications to/from/with Chris DePaul/Steve Victor regarding same; prepare/send request to Sandy Rosen for confirmation of same.	0.40
08/15/2018	DJS	Communications to/from/with Chris Stamos/Goodwin related to outstanding amounts due, communications to/from/with Chris DePaul regarding sending of wire to Goodwin, and follow up regarding same.	0.30
08/17/2018	DJS	Review communication from Diane Racicot/Goodwin regarding August 2018 invoice and follow up regarding same.	0.20
08/20/2018	DJS	Communications to/from/with Chris DePaul regarding the Rosen & Associates wire for outstanding fees.	0.10
09/11/2018	DJS	Review Rosen & Associates invoice for services rendered in August 2018.	0.10
09/24/2018	DJS	Review communications to/from/with Sandy Rosen/Steven Victor regarding Rosen & Associates' invoice and processing of same.	0.10
	SLV	Review e-mail from Sandy Rosen with fee statement documents.	0.10
10/04/2018	DJS	Review Rosen & Associates' October 2018 invoice and prepare/send follow up regarding same.	0.10
	SLV	Review legal invoice.	0.10
	SLV	Telephone call with Sandy Rosen regarding legal fees and holdback.	0.20
10/16/2018	SLV	Telephone call and e-mail with Sandy Rosen regarding fees due to Rosen & Associates.	0.10
10/23/2018	DJS	Review of communication from Goodwin Proctor related to the October 2018 invoice and review status of open invoices.	0.20
11/02/2018	SLV	Review e-mail from Cristina Nolan regarding status of invoice for 3si.	0.10
11/06/2018	SLV	Review of invoice from Sandy Rosen.	0.10
11/09/2018	SLV	Review e-mails from Martin Gomez (2) regarding estimated cost for Goodwin through the end of the year, forward same to Mike VanNiel.	0.20
11/19/2018	DJS	Review the voicemail message received from CAC LLC and prepare/forward same to Steve Victor.	0.10
11/29/2018	SLV	Telephone call with Sandy Rosen regarding fees outstanding.	0.20
12/13/2018	SLV	Review e-mail from Martin Gomez with invoice in preparation for closing and cost through the end of	

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			HOURS	
		2018.	0.10	
12/27/2018	SLV	Review e-mail from Rosen & Associates regarding invoices.	0.10	
03/02/2019	SLV	Review e-mail from Rosen Associates and prepare for the payment of fees and expenses for January 2019.	0.10	
03/20/2019	SLV	Telephone call and e-mail with Carrie Manganiello of Rosen & Associates regarding Rosen's fees and invoice.	0.20	
04/04/2019	SLV	Review of the Sandy Rosen invoice.	0.10	
04/25/2019	SLV	Telephone call and e-mail with Carrie Manganiello of Rosen & Associates regarding Sandy Rosen's fees and updated pleading.	0.10	
05/17/2019	SLV	Review invoice from Sandy Rosen. Retention/Engagement Matters	0.10	<u>7.60</u> <u>3,965.50</u>
10/20/2017	DJS	Review additional communications regarding Receiver's First Status Update and prepare/send communication to Bill Brandt regarding execution/submission of same.	0.10	
	DJS	Review proposed comments/edits to Receiver's First Status Report and teleconference with Steve Victor regarding same.	0.20	
10/25/2017	SLV	Review e-mail from Sandy Rosen to Steve Jesser regarding further update on request for information.	0.10	
11/21/2017	DJS	Review draft correspondence to Judge Failla received from Alice Ko and prepare/send response to same and review as filed document.	0.10	
11/22/2017	DJS	Review Memo Endorsed Order in response to Receiver's correspondence and discuss same with Steve Victor.	0.10	
12/07/2017	DJS	Review communication from Alice Ko attaching letter to Judge Failla regarding lack of response from defendants and hearing-related issues.	0.10	
12/11/2017	DJS	Review subpoena issued for Dr. Zenati and teleconference with Steve Victor regarding same.	0.10	
	SLV	Review e-mails with the Dr. Zenati subpoenas.	0.20	
12/12/2017	SLV	Telephone call with Michael Nacht, counsel for plaintiff in another suit, direct him to Sandy Rosen.	0.10	
	SLV	Review e-mail from Sandy Rosen and Ethan Brown regarding request for information held by counsel pursuant to order.	0.10	
12/14/2017	DJS	Review communication modifying court hearing date from December 2017 to January 2018.	0.10	
	SLV	Review e-mail from Michael Nacht regarding service to which I directed him to Sandy Rosen.	0.10	
01/08/2018	DJS	Review communication from Sandy Rosen to the court regarding receipt of Jesser documents and request to cancel upcoming hearing.	0.10	

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		HOURS	
01/09/2018	DJS	Review the Memorandum Endorsement from the court canceling the January 11, 2018 hearing.	0.10
01/12/2018	DJS	Review follow up regarding filing of the amended budget and review same as received from Sandy Rosen.	0.10
	DJS	Review the Memo Endorsement from Court granting Notice of Receiver's Amended Budget (.4), teleconferences with Steve Victor regarding same, review receiver's payments made during administration and discuss same with Steve Victor, review Steve Victor follow up with plaintiff's counsel, and prepare/send additional follow up to plaintiff's counsel regarding original budget, next steps and potential to create second amended budget.	1.10
02/09/2018	DJS	Review communication from Sandy Rosen and correspondence to Judge Failla.	0.10
	SLV	Review e-mail from Sandy Rosen with letter to Judge Failla.	0.10
	SLV	Review e-mails from Sandy Rosen regarding memorandum endorsed order to Honorable Catherine Polk Failla regarding 3si.	0.20
02/13/2018	DJS	Review communications from Sandy Rosen related to recent Notices and filings.	0.10
02/15/2018	DJS	Review communication from Sandy Rosen forwarding First Application of Receiver/DSI for Compensation.	0.10
02/27/2018	DJS	Review the scheduling order entered by Judge Failla and follow-up teleconference with Steve Victor regarding same.	0.20
03/08/2018	SLV	Review e-mail from Sandy Rosen with patent sale resolutions, bid letters, motion for entries and order approving bid procedures and other documents for moving forward on 3si pending negotiations and resolutions of open issues.	1.00
03/09/2018	DJS	Review the First Fee Order entered by the court and teleconference with Steve Victor regarding same and budget-related issues.	0.20
03/16/2018	DJS	Review communication from Sandy Rosen with the receiver's second status report.	0.20
03/19/2018	DJS	Review communication from Michael VanNiel and request Sandy Rosen prepare/file notice related to budget update and approval by Wesley.	0.10
	SLV	Review e-mail from Sandy Rosen and Dan Stermer regarding review of status report.	0.20
03/22/2018	DJS	Review ECFed Receiver's Second Interim Report.	0.10
03/23/2018	SLV	Telephone conference call with Sandy Rosen and Dan Stermer regarding draft pleadings and direction of case.	0.30
03/27/2018	DJS	Review DSI invoice and prepare/send to Sandy Rosen for preparation/filing of notice and related issues.	0.20

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			HOURS
04/11/2018	DJS	Review communication from Sandy Rosen with draft letter to the court and request for comments regarding same.	0.10
	DJS	Review revised draft letter prepared by Sandy Rosen.	0.10
	DJS	Prepare/send response to Sandy Rosen with proposed additional language for letter to the court.	0.20
	DJS	E-mails to/from/with Sandy Rosen/Receiver Brandt regarding the Rosen letter to the court and position of Receiver Brandt regarding same.	0.20
	SLV	Review e-mail from Bill Brandt regarding language in letter for conference call; telephone call with Sandy Rosen and Dan Stermer regarding same.	0.20
	SLV	Review updated draft for the court from Sandy Rosen and comments from Dan Stermer.	0.20
	SLV	Review updated draft for the court from Sandy Rosen and comments from Dan Stermer.	0.20
	SLV	Review e-mail from Sandy Rosen with revised letter for BakerHostetler's review.	0.20
04/16/2018	DJS	Review follow-up communications to/from/with Sandy Rosen and Michael VanNiel regarding the latest Rosen letter to Judge Failla.	0.10
	SLV	Review e-mails from Sandy Rosen (2) regarding language review in draft letter.	0.20
04/19/2018	DJS	Review of order entered by the court regarding the Rosen & Associates letter setting a telephonic hearing for May 9, 2018.	0.10
	SLV	Review e-mail from Sandy Rosen with order for 5918 status conference.	0.10
05/08/2018	DJS	Review transcript from Kiderman hearing before Court on April 16, 2018.	0.60
	SLV	Review transcript from oral hearing last week on other transcripts in the 3si family and Wagner cases that may or may not relate to our case but do mention the word receiver.	2.10
05/09/2018	DJS	Teleconferences with Receiver Brandt, Steve Victor, Sandy Rosen, BakerHostetler attorneys, Steven Jesser, and others with Judge Failla.	0.80
	SLV	Attend telephonic status hearing on 3si.	0.80
05/22/2018	DJS	Review communication regarding Notices filed related to the receiver/DSI invoices and issues related thereto.	0.10
06/20/2018	DJS	Review correspondence by Sandy Rosen and sent to Judge Failla regarding status related issues.	0.10
	SLV	Review e-mails from Mike VanNiel regarding issues raised in the telephonic hearing regarding the server not in possession of the receiver.	0.20
06/21/2018	SLV	Telephone call to Sandy Rosen regarding status of case and patent subpoena.	0.20
06/29/2018	DJS	Review communication from Sandy Rosen with the status letter filed with the court.	0.20
07/19/2018	SLV	Review sale motion for 3si System and sale order.	0.80
07/23/2018	JER	Review and annotate the auction procedures motion.	2.00

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			HOURS
	JER	Review Exhibits A, B, C, E, F, G-1, and G-2 of the sale motion sent from S. Victor.	1.40
08/06/2018	DJS	Review of the most recent filings related to the sale and bid procedures motions.	0.50
08/22/2018	SLV	Telephone call with Mike VanNiel regarding status of case, upcoming hearing and filing.	0.50
08/24/2018	SLV	Attend Federal District Court regarding status of sale and objections to the sale process.	1.80
09/21/2018	SLV	Review most recent court documents and orders on the case as filed in Pacer.	0.60
10/09/2018	SLV	Telephone call with Bill Brandt and e-mails with Mike VanNiel regarding court hearing that had been scheduled but probably moved as a sale been pushed out.	0.40
10/10/2018	SLV	Telephone call with Mike VanNiel regarding court dates.	0.10
11/06/2018	DJS	Review Rosen & Associates October 2018 invoice.	0.10
11/08/2018	DJS	Review communication from Carrie Manganiello providing uploaded notices related to DSI September 2018 and October 2018 invoices.	0.10
11/16/2018	SLV	Attend hearing regarding approval of auction.	1.00
11/21/2018	DJS	Review communication from Steve Victor and the Sale Order entered by the court.	0.10
12/12/2018	DJS	Review the Rosen & Associates November 2018 invoice.	0.10
12/26/2018	DJS	Prepare/send follow up to Sandy Rosen related to notices filed and request for same for October 2018 and November 2018.	0.10
12/27/2018	DJS	Communications to/from/with Carrie Manganiello related to Rosen & Associates Notices, review same, and prepare/send follow up related to same.	0.20
	DJS	Communications to/from/with Steve Victor related to outstanding fees and related issues.	0.20
01/02/2019	DJS	Review communication from Steve Victor related to DSI invoice for December 2018.	0.10
01/09/2019	DJS	Review Rosen & Associates' December 2018 invoice.	0.10
01/22/2019	DJS	Review communication from Sandy Rosen relative to amounts due to Rosen & Associates.	0.10
02/21/2019	DJS	Prepare/send follow up to Sandy Rosen requesting the fee notice be filed in order to process payment.	0.10
03/05/2019	SLV	Review the draft of joint letter to Judge Failla, joint stipulation, resolutions and other information forwarded from Mike VanNiel to Sandy Rosen regarding winding down the 3si case.	1.00

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			HOURS
03/07/2019	SLV	E-mail to Mike VanNiel regarding slight revision on the letter to Judge Failla.	0.10
03/14/2019	SLV	Review e-mail from Sandy Rosen to Mike VanNiel with redline changes to the joint stipulation for wind down.	0.20
03/15/2019	SLV	Review e-mail from Alexis Beachdell regarding the joint stipulation, 3si System's bankruptcy resolutions, the SSS bankruptcy resolutions and the 3si International bankruptcy resolutions for discussions.	0.20
03/25/2019	SLV	Review e-mail from Mike VanNiel regarding his efforts to reach Stacy Ferrera and Peter Furness regarding documents to sign to close the case and file bankruptcy and move it to the Wagner estates.	0.40
05/28/2019	SLV	Telephone call with Alexis Benchdell from Baker Hostetler regarding status of letter to the court. Attend Court Hrgs/Rev Pleadgs	0.20 25.40
09/13/2017	SLV	Review e-mail from Dan Stermer with receivership cost and proposal.	0.60
09/27/2017	SLV	Review e-mails from Alice Ko (3) regarding letters to banks, drafts and comments on same.	0.30
10/05/2017	SLV	Telephone call with Sandy Rosen regarding need for cash funding to hire Michael Grumbine.	0.10
10/06/2017	DJS	Review communication from Steve Victor regarding opening of receivership bank account and follow up regarding same, communications to/from/with Michael VanNiel regarding FEIN and obtaining same, teleconference with Receiver Brandt regarding same, teleconference with Steve Victor regarding same, and e-mails to/from/with Yale Bogen regarding same.	0.30
	SLV	E-mails with Yale Bogen and Chris DePaul regarding bank account and check forwarded to New York office.	0.10
10/09/2017	YSB	Follow-up e-mail to Dan Stermer regarding obtaining the receivership order to open the bank account.	0.10
	YSB	Telephone call with Chris DePaul to discuss opening the bank account.	0.10
	YSB	Telephone call with Bill Brandt regarding opening the bank account.	0.10
	YSB	Complete the new account checklist; telephone calls with John Wheeler and Steve Victor in order to obtain the information needed for the checklist; e-mail the checklist, data and comments to Justin Roth.	0.40
	YSB	Follow-up e-mails with Bill Brandt and Dan Stermer regarding the bank account.	0.20
10/12/2017	YSB	Review the new account agreement and comments from Susan Czertko at Sterling National Bank; e-mail to Susan Czertko requesting clarification on certain matters.	0.20
	YSB	Follow-up e-mails with Justin Roth regarding the bank account; review the new signature cards and bank agreement; e-mails with Chris DePaul regarding	

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			HOURS
	SLV	completing the signature cards.	0.30
	SLV	Review bank account and signature cards for account in New York.	0.10
10/13/2017	CCD	Review banking documents and assist in set up of online banking agreement and obtaining necessary signatures.	0.50
	YSB	Review the additional signature card to open the bank account; e-mail comments to Chris DePaul in order to complete the opening and new bank account.	0.30
10/16/2017	CCD	Send completed forms to Sterling Bank and setup online banking.	0.50
	SLV	Discussions with Dinah Deloria and Chris DePaul regarding bank account.	0.10
	DJS	Various communications regarding opening of bank account and issues related to same.	0.10
	YSB	Review and respond to e-mail from Dan Stermer regarding the status of opening the bank account.	0.10
	YSB	Follow-up e-mails with Bill Brandt and Dan Stermer regarding the checking account; review e-mail from Chris DePaul to Susan Czertko at Sterling National Bank regarding the account and review follow-up e-mail from Susan Czertko; e-mails with Sabrina Hilpp regarding depositing the check.	0.40
10/19/2017	YSB	Begin installation of e-banking systems	0.20
10/20/2017	CCD	Review bank account information with Dinah Deloria to set up QuickBooks accounting.	0.10
	CCD	Transfer funds.	0.10
	YSB	Complete installation of e-banking systems.	0.30
	YSB	E-mails with Justin Roth regarding the checking account balance and status of getting the revised deposit agreements to him.	0.10
10/24/2017	SLV	Discussions with Dinah Deloria and Dan Stermer regarding Grumbine payment.	0.10
11/01/2017	DJS	Review communication from Michael Grumbine regarding November, telephone call with Steve Victor and review November 2017 invoice received.	0.10
11/07/2017	DJS	Review communication from Receiver Brandt regarding the Rosen & Association invoice and prepare/send follow up regarding same.	0.10
11/14/2017	YSB	E-mails with Dinah Deloria regarding the wire transfer to be done; transfer funds from the money market account to checking account in order to cover the wire transfer.	0.40
11/15/2017	YSB	E-mails with Dinah Deloria and Susan Czertko of Sterling National Bank regarding the wire transfer; complete the required wire transfer request form.	0.30
	YSB	E-mail to Steve Victor and Dinah Deloria providing the confirmation of the wire transfer to Rosen and Associates.	0.10
11/20/2017	DJS	Teleconference with Steve Victor regarding preparation of amended budget; prepare/amend approved budget and prepare/send same to Steve	

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			HOURS
	SLV	Victor; teleconference with Steve Victor regarding same.	1.20
	SLV	Telephone call with Dan Stermer regarding updated budget and assumption, and follow up with Bill Brandt regarding same.	0.40
11/21/2017	DJS	Teleconference with Steve Victor regarding amended budget and prepare/send same to Sandy Rosen/Alice Ko for review and further updating.	0.20
11/22/2017	DJS	Teleconference with Sandy Rosen and Alice Ko regarding preparation of the amended budget and scope of Receiver's duties and responsibilities and issues related to same.	0.60
12/05/2017	SLV	Telephone call with Dan Stermer (2) regarding budget, fee, expenses and estimates needed from Goodwin Proctor.	0.30
	SLV	Review e-mail from Sandy Rosen regarding IP counsel and budget.	0.10
	SLV	Review e-mail from Dan Stermer regarding revised amended 6 month projected budget.	0.20
12/14/2017	DJS	Review communication from Michael Grumbine attaching December 2017 Invoice and follow up regarding same and teleconference with Steve Victor regarding same.	0.20
12/19/2017	CCD	Transfer funds from money market to checking account.	0.10
	SLV	Prepare check and send e-mail to Sandy Rosen regarding wire for 75 percent of fees and 100 percent of expenses for November.	0.10
01/09/2018	DJS	Additional teleconference with Steve Victor regarding further amendment of budget and issues related to same.	0.30
01/12/2018	SLV	Telephone call with Dan Stermer regarding budget, budget-to-actual and items to be amended.	0.40
01/15/2018	SLV	Telephone calls with Dan Stermer (3) regarding budget of additional information, interim budget needs and funding.	0.30
01/22/2018	DJS	Review communication from Sandy Rosen regarding fee/expense related issues and follow up with Steve Victor regarding same.	0.10
01/23/2018	SLV	Telephone call with Sandy Rosen regarding fees, budget and funding.	0.10
01/24/2018	SLV	E-mails with Chris DePaul and Dan Stermer regarding wires, checks and reconciliation of accounts.	0.30
	SLV	Confirm wire for patents.	0.10
01/25/2018	CCD	Review and sign wire transfer payment order for Rosen and Associates and send to Sterling Bank.	0.10
01/29/2018	SLV	Review e-mail from Dan Stermer regarding amended budget and preparation of report for court.	0.20
02/06/2018	DJS	Review latest budget-related issues and status of	

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			HOURS
		same.	0.20
02/12/2018	SLV	Review receiver's notice of filing of overview of disbursement for the receiver and receiver's counsel and transaction detail report for the receiver's bank account.	0.40
02/19/2018	SLV	Review e-mails from Mike Grumbine (2), Dan Stermer regarding fees and budget.	0.10
02/26/2018	CCD	Review e-mail from Dan Stermer regarding payment to Grumbine.	0.10
	CCD	Transfer funds from money market account to operating account.	0.10
	DJS	Review communication from Michael Grumbine regarding status of the February 2018 invoice payment and process same.	0.30
	SLV	Telephone call and e-mail with Dinah Deloria regarding invoices from Michael Grumbine.	0.20
02/27/2018	SLV	Review e-mails from Mike Grumbine and Dan Stermer regarding the February 2018 invoice.	0.10
02/28/2018	DJS	Review issues related to budget and status of same.	0.20
03/01/2018	DJS	Review latest issues pertaining to status of matter and budget-related issues and status of funds.	0.30
03/15/2018	SLV	Review e-mail from Dan Stermer regarding revised second amended budget.	0.20
	SLV	Review e-mail from Dan Stermer to Mike VanNiel and Matt Goldman regarding budget issues.	0.30
03/16/2018	DJS	Follow-up communication from Sandy Rosen regarding budget funding and prepare/provide response to same.	0.10
03/19/2018	DJS	Review latest proposed budget and payment history and prepare/send follow up to Steve Victor regarding same.	0.20
04/03/2018	DJS	Review bank reconciliation received from Dinah Deloria.	0.10
	DJS	Review communications to/from/with Michael Grumbine and Steve Victor regarding continuing services by Michael Grumbine and payment for same.	0.20
	SLV	Review March 2018 bank receiver's reconciliation.	0.10
04/17/2018	SLV	Prepare wire transfer for payment of Sandy Rosen's fees.	0.10
05/02/2018	YSB	Download the April 2018 bank statements and e-mail same to Dinah Deloria.	0.10
05/09/2018	DJS	Review budget-related issues and pending prepared 2nd Revised Amended Budget.	0.20
	SLV	E-mail to Matt Goldman ad Mike VanNiel regarding latest update with Michael Grumbine and MicroData and payment logistics.	0.10
05/10/2018	DJS	Review budget-related issues.	0.20
05/17/2018	DJS	Revise and update the proposed revised 2nd amended	

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			HOURS
		12-month budget and prepare/send same to Steve Victor and follow-up call regarding same.	1.30
05/30/2018	DJS	Update and revise the proposed revised 2nd amended 12-month budget per Michael Grumbine's e-mails/VanNiel's discussions and circulate same.	0.20
06/01/2018	DJS	Communications to/from/with Chris DePaul regarding processing of the May Grumbine invoice and DSI's March invoice.	0.20
	DJS	Further review and update/revise the proposed revised 2nd amended 12-month budget based upon the latest Michael Grumbine communication related to the anticipated costs and related expenses; prepare/send same to Michael VanNiel.	0.30
06/05/2018	DJS	Review latest budget-related issues and timing of certain invoices and payment of same.	0.20
06/06/2018	DJS	Review latest budget-related issues based upon latest discussions and need for cash infusion in order to continue operating.	0.20
06/11/2018	DJS	Communications regarding receipt of invoice and issues related to same.	0.10
	DJS	Review latest related to the budget and status of same.	0.20
06/12/2018	DJS	Additional follow up with Steve Victor regarding correspondence received and issues related thereto.	0.10
06/13/2018	DJS	Communications to/from/with Chris DePaul/Steve Victor regarding status of funding and issues related to same.	0.10
06/21/2018	SLV	Telephone call with Dan Stermer regarding budget and patent fees.	0.20
06/22/2018	DJS	Review communication from Michael Grumbine related to the June 2018 invoice and from Steve Victor regarding Michael Grumbine's invoice and follow-up regarding same.	0.20
	SLV	Review invoice from Mike Grumbine regarding work on demo.	0.10
	SLV	Review e-mail from Sandy Rosen regarding fees and funding.	0.10
07/16/2018	DJS	Teleconference with Steve Victor regarding the upcoming conference call and request to prepare/send budget-related information for Receiver Brandt.	0.10
	DJS	Prepare/send communication to Steve Victor providing budget-related information in advance of the upcoming call.	0.30
08/01/2018	DJS	Additional teleconference with Steve Victor regarding Michael Grumbine-related issues and budget-related issues.	0.20
08/07/2018	DJS	Review of Sterling Bank's reconciliation received from Dinah Deloria.	0.10
	SLV	Telephone call with Dinah Deloria and Dan Stermer regarding the 3si bank accounts and reconciliation.	0.10

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			HOURS
08/10/2018	SLV	Telephone call to Yale Bogen and Chris DePaul regarding confirmation of funding from Wesley.	0.10
08/14/2018	DJS	Teleconference with Steve Victor regarding the next steps in the matter and Goodwin payment-related issues.	0.20
10/04/2018	DJS	Review communication from Steve Victor with pre-sale funding request and issues related to same.	0.20
11/01/2018	SLV	Telephone calls with Maria Chaidez regarding BusinessWire invoice.	0.10
11/02/2018	SLV	Review expenses and preparation of considerations for post-sale open receiver items.	0.40
11/06/2018	SLV	Telephone call and e-mail with Maria Chaidez of Business Wire regarding invoices that have not been received for 3si ad.	0.20
		Accounting	<u>0.20</u>
			<u>21.50</u>
			10,739.50
09/11/2017	SLV	Telephone call with Dan Stermer regarding meeting and objections.	0.30
09/14/2017	SLV	Prepare e-mail to Sandy Rosen and Alice Ko regarding funding, collateral, IT information and corporate governance.	0.20
	SLV	E-mail to Alice Ko regarding corporate governance.	0.10
	SLV	Continue review of public documents for information about the product and/or product line.	1.20
09/18/2017	SLV	Telephone call with Dan Stermer regarding status of case and efforts to be completed.	0.30
	SLV	Telephone calls with Dan Stermer (2) regarding bank accounts information request and status of case.	0.30
10/09/2017	YSB	Follow-up e-mails with Justin Roth regarding opening the bank account.	0.10
10/16/2017	DJS	Teleconference with Steve Victor regarding the next steps and prepare/send follow up to Michael Grumbine.	0.10
10/19/2017	DJS	Review communication from Steve Victor and Sandy Rosen regarding preparation of Receiver's update to Court, prepare/send communication to Sandy Rosen proposing update details, and review Receiver's First Status Update received from Sandy Rosen.	0.30
10/20/2017	YSB	Check the status of the check delivery to the Chicago office; e-mail to Dan Stermer and Steve Victor regarding the check status and requesting the amount needed to move to the checking account to cover prospective checks.	0.10
10/25/2017	DJS	Review communication received from Michael Grumbine related to 3si employees as of July 29, 2017.	0.10
	SLV	Review e-mail from Mike Grumbine regarding employees.	0.10
11/06/2017	DJS	Review Hartford Business Journal article forwarded	

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			HOURS
		by Michael VanNiel, perform research regarding same, and teleconference with Steve Victor regarding same.	0.20
11/07/2017	SLV	Telephone conference call with Dan Stermer and Sandy Rosen regarding case update and direction.	0.30
11/22/2017	DJS	Teleconferences with Steve Victor related to discussions with Sandy Rosen and next steps with regard to matter and issues related to same.	0.20
11/29/2017	SLV	Telephone call with Dan Stermer regarding update under direction of receivership.	0.30
12/11/2017	DJS	Review communication from Receiver Brandt containing communication from Jeff Rice and payroll-related data and teleconference/communications with Steve Victor regarding same.	0.20
	SLV	Review e-mail from Bill Brandt regarding information on payroll information for 3si and its source.	0.10
12/12/2017	DJS	Communications to/from/with Steve Victor and Sandy Rosen regarding communication received regarding payroll data and issues related to same.	0.20
	SLV	Review e-mails from Dan Stermer and Sandy Rosen, including data sent from Mr. Rice with Payroll Data.	0.10
12/13/2017	DJS	Review communications from Sandy Rosen to former counsel to 3si and review follow up regarding same.	0.20
12/15/2017	YSB	Review e-mail from Dinah Deloria requesting the transfer of funds; execute the transfer and provide details to Dinah Deloria.	0.20
12/20/2017	SLV	Telephone call with Sandy Rosen regarding follow up to earlier call and direction of case.	0.30
12/22/2017	DJS	Review Steve Jesser e-mail and issues related to discovery as forwarded by Sandy Rosen.	0.20
01/03/2018	DJS	Follow-up communications to/from/with Sandy Rosen regarding setting up of conference call with BakerHostetler attorneys.	0.10
	SLV	Review e-mail from Michael Grumbine regarding data collection and invoices from Microdata and Starlight Solutions.	0.30
	SLV	Review e-mails from Glenn Mores of Microdata to Dan Stermer regarding back up of 3si data/e-mail.	0.20
01/09/2018	SLV	Telephone call with Bill Brandt regarding update on status of case and information flow.	0.30
	SLV	Review e-mails from Sandy Rosen and respond regarding document in e-mail record dump that he has provided.	0.50
01/11/2018	DJS	Teleconferences with Steve Victor regarding communications/documents forwarded by Sandy Rosen from Steve Jesser and issues related to same.	1.10
	DJS	Telephone call with Steve Victor regarding budget and related issues as to the Jesser files in dropbox.	0.20
	SLV	Telephone call with Sandy Rosen regarding numerous e-mails forwarded by Jesser; telephone call with Dan	

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			HOURS
		Stermer regarding these e-mails and dropbox we have created for same.	0.60
	SLV	Numerous e-mails and attachments forwarded by Jesser in response to demand on Wagner.	2.90
	SLV	Telephone calls with Dan Stermer regarding Dropbox of files forwarded from Sandy Rosen.	0.20
01/16/2018	DJS	Review documents forwarded by Sandy Rosen as received from Steve Jesser.	1.50
01/25/2018	YSB	Review e-mail from Susan Czeterko regarding the wire transfer to Rosen & Associates; review files to determine the accuracy of the information sent to her; respond accordingly to Susan Czeterko.	0.20
	YSB	Complete the revised wire transfer request as needed; e-mail the wire transfer request form to Chris DePaul for further processing.	0.20
02/14/2018	SLV	Telephone call with Dan Stermer regarding update and status.	0.20
03/07/2018	SLV	Telephone call with Dan Stermer regarding invoices and direction of case.	0.20
	SLV	Telephone call with Sandy Rosen regarding status of process.	0.20
03/14/2018	SLV	Conference call with Dan Stermer and Sandy Rosen regarding status of case.	0.50
03/16/2018	SLV	Discussions with Bill Brandt regarding his need to discuss the 3si case with Sandy Rosen and outstanding issues.	0.30
03/20/2018	SLV	Review e-mail from BakerHostetler and Sandy Rosen regarding Surgical Safety Solutions, LLC draft resolutions by written action of 3si LLC controlling member of Surgical Safety Solutions, LLC.	0.20
04/09/2018	DJS	Review voicemail message from Rick Davis and return call for same.	0.10
04/11/2018	DJS	Teleconference with Marc Lawrence regarding data/server-related issues and request follow-up e-mail regarding same.	0.20
04/17/2018	DJS	Review communications forwarded by Receiver Brandt from Mark Rubin; prepare/send follow up to Sandy Rosen; review response and follow up regarding same.	0.20
04/20/2018	SLV	Review e-mail from Bill Brandt regarding Wagner's Chapter 7 Trustee questions and issues.	0.10
05/23/2018	SLV	Telephone call with Sandy Rosen regarding status of case.	0.20
06/29/2018	SLV	Review e-mails from Sandy Rosen regarding status report.	0.40
07/17/2018	DJS	Review communication from Sandy Rosen and attached Resolutions for execution by Receiver Brandt.	0.20
09/20/2018	SLV	Review e-mail from Sandy Rosen to Bill Brandt	

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			HOURS	
		regarding resolutions to be executed.	0.20	
11/27/2018	SLV	Telephone call to Sandy Rosen regarding process going forward.	0.20	
05/21/2019	SLV	Telephone call and e-mail with Mike Grumbine regarding employment verification.	0.20	
05/23/2019	SLV	Telephone call with Sandy Rosen regarding the case. Business Analysis	0.10	
			17.70	9,944.00
09/14/2017	SLV	E-mails with Alice Ko regarding request and demands for bank account balances.	0.10	
09/22/2017	SLV	Telephone call with Dan Stermer regarding bank accounts and marketing.	0.20	
09/27/2017	DJS	Review Steve Victor communications to/from/with Alice Ko regarding correspondence to banks regarding marshaling of assets.	0.10	
09/28/2017	SLV	Telephone call with Dan Stermer regarding bank account and information request.	0.20	
10/04/2017	DJS	Teleconference with Steve Victor regarding marshaling of assets, review Grumbine proposal, and prepare/send communication to Michael Grumbine regarding marshaling of hardware and related issues.	0.40	
10/05/2017	DJS	Teleconference with Baker & Hostetler attorneys and Steve Victor regarding marshaling of assets and the next steps regarding matter.	0.50	
10/06/2017	YSB	Review e-mails from Bill Brandt and Dan Stermer regarding the bank account; follow-up e-mails with Dan Stermer regarding same.	0.30	
10/11/2017	DJS	Further communications with Michael Grumbine regarding setting up conference call and issues related to same as to collection of assets.	0.10	
10/19/2017	DJS	Teleconference with Steve Victor regarding follow up with Michael Grumbine and prepare/send communications to Michael Grumbine and set up conference call.	0.10	
10/20/2017	SLV	Telephone conference call with Michael Grumbine and Dan Stermer regarding status of computers and assets.	0.70	
	DJS	Teleconference with Steve Victor and Michael Grumbine regarding marshaling of assets and issues related to same.	0.70	
10/24/2017	DJS	Additional communications to/from/with Michael Grumbine regarding marshaling of assets and related issues.	0.20	
	SLV	Review e-mail from Dan Stermer to Mike Grumbine regarding need for updates and marshall of assets.	0.10	
10/26/2017	DJS	Review communication received from Michael Grumbine related to advisory agreements and review agreements, teleconference with Steve Victor		

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		HOURS
	regarding same, prepare/send follow-up response to Michael Grumbine, and prepare/forward same to Michael VanNiel.	0.20
DJS	Review communication from Michael Grumbine regarding Collection Plan, teleconference with Steve Victor regarding same and prepare/forward draft response to Steve Victor, teleconference with Steve Victor regarding same, prepare/send follow-up response to Michael Grumbine and prepare/forward same to Michael VanNiel.	0.50
SLV	Review e-mails from Mike Grumbine and Dan Stermer regarding asset retrieval and expenses.	0.40
SLV	Telephone conference call with Mike Grumbine regarding equipment, creditors, hardware and software.	0.60
SLV	Review e-mail from Mike Grumbine regarding open agreements and data sources.	0.10
SLV	Review e-mail from Dan Stermer to Mike Grumbine regarding plans to marshall assets.	0.20
SLV	Review e-mail from Dan Stermer regarding draft response to Mike Grumbine.	0.20
10/27/2017	DJS Teleconference with Steve Victor in advance of call with Michael VanNiel regarding marshaling issues, teleconference with Steve Victor and Michael VanNiel regarding marshaling issues and next steps, and follow-up teleconference with Steve Victor regarding the next steps.	0.50
10/29/2017	DJS Communications to/from/with Michael Grumbine and Steve Victor regarding marshaling of assets and plan for same.	0.20
10/30/2017	DJS Review communication from Michael Grumbine with the asset collection plan and proposal for same and discuss same with Steve Victor.	0.20
	DJS Review communication from Michael Grumbine with regard to Microsoft account status and discuss same with Steve Victor.	0.10
	DJS Teleconference with Michael Grumbine, Michael VanNiel, Sandy Rosen, Alice Ko and Steve Victor regarding marshaling of assets, including in cloud and issues related to same.	0.40
	DJS Additional communications to/from/with Michael Grumbine and Steve Victor regarding preparation of invoice for asset collection plan.	0.10
	SLV Review e-mails from Michael Grumbine (2) regarding Microsoft account and issues.	0.20
	SLV Telephone call and e-mail with Dan Stermer regarding proposal drafts on equipment retrieval.	0.30
	SLV Review e-mail from Mike Grumbine regarding proposal and logistics for payment on equipment retrieval.	0.20
	SLV Conference call with Sandy Rosen, Dan Stermer, Mike VanNiel and Mike Grumbine regarding data, storage and access to equipment.	0.30
10/31/2017	DJS Teleconference with Steve Victor, Michael VanNiel, Sandy Rosen/Alice Ko regarding the latest marshaling related issues and updates with regard to same.	0.40
	DJS Prepare/send/respond to additional follow up with Michael Grumbine and Rick Madrid regarding marshaling of collateral-related issues and	

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		HOURS
	costs/expenses for same and teleconferences with Steve Victor regarding same.	0.30
SLV	Review e-mail from Dan Stermer (2) regarding proposal details with picking up equipment and logistics.	0.30
SLV	Review e-mail from Rick Madrid from S&H Hauling regarding logistics for movement of equipment.	0.10
SLV	Review e-mails from Mike Grumbine regarding proposal details and equipment.	0.20
11/01/2017	DJS Teleconference with Steve Victor regarding plan to recover assets and issues related to same.	0.20
	DJS Review draft correspondence prepared by Michael Grumbine regarding asset recovery, teleconference/communications with Steve Victor regarding same, redraft and finalize correspondence and attachments, and prepare/send same to UVA regarding Collateral pickup on November 14, 2017.	0.40
SLV	Review e-mails from Dan Stermer (2) regarding Michael Grumbine's proposal and invoice.	0.10
SLV	Review e-mails from Michael Grumbine (3) regarding letter for collections, proposal details and November invoice.	0.10
SLV	Review e-mails from Dan Stermer regarding the Bradley collection letter and the draft Haskal-Angle collection letter.	0.10
SLV	E-mails with Dan Stermer regarding the collection letter to Bruce Bradley at the University of Virginia Health System.	0.20
SLV	E-mails with Dan Stermer regarding plans on contacting Dr. Zenati.	0.10
SLV	Telephone calls with Dan Stermer (2) regarding update and information flow, and efforts to retain assets and documents.	0.30
11/02/2017	DJS Teleconference with Steve Victor and Michael VanNiel regarding budget and certain next step/sale-related issues.	0.50
	DJS Prepare/send communication to Sandy Rosen/Alice Ko requesting preparation of communication to Goodwin Proctor regarding patent-related issues.	0.10
SLV	Review e-mails from Dan Stermer and Sandy Rosen regarding discussion with Michael VanNiel and information about Goodwin & Proctor and patent issues.	0.10
SLV	Review e-mail from Mike VanNiel confirming Mike Shaut reached out to get information on contact information on Dr. Zenati.	0.10
SLV	Telephone calls with Dan Stermer (2) regarding equipment retrieval and scheduling, also discuss budget and outstanding issues.	0.40
11/03/2017	DJS Additional communications to/from/with Michael Grumbine regarding marshaling of assets and issues related to same.	0.10
	DJS Teleconference with Steve Victor and prepare/send communication to Dr. Zenati requesting conference call and issues related to same.	0.20
SLV	Prepare payments for Mike Grumbine and S&H.	0.20
SLV	Review e-mail from Mike Grumbine regarding status of trip and questions still outstanding.	0.10
	SLV Review e-mail from Dan Stermer to Dr. Zenati	0.10

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			HOURS
		introducing himself as the receiver's agent.	0.10
11/07/2017	DJS	Teleconference with Steve Victor regarding latest communications to/from/with Michael Grumbine and marshaling of assets.	0.10
	SLV	Review e-mail from Dan Stermer and Mike Grumbine regarding schedule of trip to pick up assets.	0.10
11/08/2017	DJS	Prepare/send follow up communication to Dr. Zenati requesting conference call.	0.10
	DJS	Prepare/send follow up communication to Michael VanNiel regarding Grumbine follow-up and issues related to same.	0.10
	DJS	Teleconference with Michael Grumbine regarding status of asset recovery and scheduling related to same.	0.20
11/09/2017	DJS	Review draft letter prepared by Alice Ko to Goodwin Proctor and prepare/send comments regarding same, teleconference with Steve Victor regarding same, and teleconference with Sandy Rosen/Alice Ko regarding same.	0.10
	DJS	Teleconference with Steve Victor and Michael VanNiel regarding marshaling of assets, updating of budget based upon discussed costs, and issues related to patent and other matters.	0.50
	DJS	Communications with Michael Grumbine regarding trip to recover assets, teleconference with Steve Victor regarding same, and follow up communications with Michael Grumbine.	0.20
	DJS	Additional communications to/from/with Michael Grumbine regarding postponing of asset marshaling trip and issues related to same.	0.20
	SLV	Telephone call with Dan Stermer regarding review of calls and direction of case and budget; discuss schedule for equipment retrieval.	0.20
	SLV	Review e-mail from Alice Ko regarding draft letter to Goodwin Proctor regarding patent.	0.10
	SLV	Review e-mail from Mike Grumbine and telephone call with Dan Stermer regarding scheduling issues on Grumbine's trip to pick up assets.	0.20
	SLV	Review e-mail from Dan Stermer to Mike Grumbine pushing off trip due to inability to coordinate schedules at the various parties that needed to be involved.	0.10
11/10/2017	DJS	Additional communications to/from/with Michael VanNiel regarding Dr. Zenati and asset recovery-related issues.	0.10
	DJS	Review communication from Steve Victor to Receiver Brandt providing update with regard to asset recovery and related issues.	0.10
	SLV	Review e-mail from Mike VanNiel with updated phone numbers for Dr. Zenati.	0.10
	SLV	E-mail to Bill Brandt regarding update of Mike VanNiel's effort on the 3si case.	0.20
11/15/2017	DJS	Additional communications with Michael VanNiel regarding recovery efforts and issues of Glenn Mores' e-mail communications.	0.10
	DJS	Prepare/send response to Glenn Mores/MicroData in response to same and provide explanation as to	

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			HOURS
		receivership and issues related to same.	0.10
11/16/2017	DJS	Review follow up from Glenn Mores and prepare/send request asking for additional information.	0.10
11/17/2017	DJS	Teleconference with Steve Victor regarding latest communications with Sandy Rosen and follow up with regard to same.	0.10
	SLV	E-mail to Sandy Rosen regarding his conversations with Baker Hostetler and issues.	0.10
11/21/2017	SLV	Telephone call with Sandy Rosen regarding subsidiary issues on 3si.	0.20
	SLV	Telephone call with Sandy Rosen regarding distribution agreement and his conversations with Goodwin Proctor.	0.20
11/22/2017	DJS	Review communications to/from/with Alice Ko and Dr. Zenati and telephone call with Steve Victor regarding same and follow up regarding same.	0.10
	DJS	Review further communications to/from/with Dr. Zenati and issues related to same and next steps.	0.20
	SLV	Telephone calls with Dan Stermer (3) regarding budget, patent and assets.	0.30
11/27/2017	DJS	Teleconference with Steve Victor regarding communications with Dr. Zenati and issues related to same.	0.10
11/28/2017	DJS	Review communication from Michael Grumbine regarding asset recovery-related issues.	0.10
	DJS	Teleconference with Steve Victor regarding latest recovery-related issues and next steps with regard to same.	0.20
11/29/2017	DJS	Teleconference with Steve Victor, Sandy Rosen, and Dr. Zenati regarding status of matter and recovery of collateral.	0.30
	DJS	Follow-up communications with Steve Victor regarding Dr. Zenati issues, scheduling of asset recovery with Michael Grumbine, and next steps with secured lender.	0.20
	DJS	Review communication from Michael VanNiel regarding Dr. Zenati-related issues.	0.10
	DJS	Review follow-up communication from Michael Grumbine regarding Dr. Zenati-related issues and prepare/send follow up regarding same.	0.10
	DJS	Prepare/send follow-up communication to Michael VanNiel and Matt Goldman regarding teleconference with Dr. Zenati and issues related to same.	0.10
	SLV	Conference call with Dan Stermer, Alice Ko, Sandy Rosen and Dr. Zenati regarding records and retention of hardware and software.	0.30
	SLV	Telephone call with Sandy Rosen regarding patents renewals and his conversations with patent counsel.	0.20
	SLV	Telephone call with Mike VanNiel regarding update on conversation with Dr. Zenati and budget.	0.20
11/30/2017	DJS	Review latest issues/communications regarding asset recovery and follow up regarding same.	0.20
12/05/2017	DJS	Multiple teleconferences with Steve Victor (2)	

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			HOURS
		regarding status of marshaling of collateral and related issues and next steps with regard to same.	0.30
	SLV	Review e-mail from Mike VanNiel to Dan Stermer and myself regarding status of efforts to collect assets from Dr. Zenati.	0.10
	SLV	Review e-mail from Dan Stermer to Mike Grumbine with receiver's amended budget and agreement to retrieve collateral from VA hospital.	0.10
12/06/2017	DJS	Additional communications to/from/with Michael Grumbine and teleconference with Steve Victor regarding marshaling of collateral and issues related to same.	0.20
	DJS	Review communication received from Glenn Mores/MicroData, prepare/send follow up to Glenn Mores, and prepare/send follow up to Steve Victor/Sandy Rosen regarding same.	0.30
	SLV	Telephone call with Dan Stermer regarding budget, fees, expenses and Mike Grumbine's schedule.	0.20
	SLV	Review e-mail from Glen Mores of Microdata regarding private cloud virtual servers.	0.10
12/07/2017	DJS	Additional communications regarding MicroData-related issues and follow up with Michael Grumbine regarding same.	0.20
	DJS	Review communications regarding patent-related issue and follow up from Sandy Rosen/Matthew Goldman regarding same and related issues involving Dr. Zenati.	0.30
	SLV	Review e-mail from Sandy Rosen regarding patents and cost of patents.	0.20
12/08/2017	DJS	Prepare/send follow-up communication to Glenn Mores/MicroData regarding data/information storage and marshaling of same.	0.10
	DJS	Additional communications to/from/with Michael Grumbine regarding marshaling of equipment as previously discussed.	0.10
12/09/2017	DJS	Prepare/send follow up to Michael Grumbine regarding retrieval of equipment and follow up regarding same.	0.10
12/12/2017	DJS	Review latest communication from Michael Grumbine regarding collateral marshaling and prepare/send follow up regarding same.	0.10
12/14/2017	DJS	Communications with Michael Grumbine regarding marshal-related efforts and status.	0.10
	DJS	Communications received from Sandy Rosen with communications/documents received from Dr. Zenati and follow up regarding same.	0.30
	SLV	Telephone call with Dan Stermer regarding schedule for pick up of equipment.	0.10
	SLV	Review e-mail from Dr. Marco Zenati to Sandy Rosen regarding the files he had on his work desktop at the VA hospital.	1.20
12/15/2017	DJS	Additional communications to/from/with Sandy Rosen with follow-up communications/documents received from Dr. Zenati.	0.30
	DJS	Follow up with Michael Grumbine regarding December invoice and status.	0.10

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		HOURS
	DJS Receive communication from Bruce Bradley/UVA regarding marshaling of equipment located there, prepare receipt, and prepare/send/follow up regarding same.	0.30
	DJS Communications to/from/with Dr. Zenati regarding marshaling of equipment at VA and follow up with Michael Grumbine and Jennifer Gabany regarding same.	0.40
	SLV Review e-mail from Michael Grumbine and Bruce Bradley regarding form of receipt the hospital will get when Grumbine takes computer and IP on behalf of the receiver.	0.10
	SLV Review e-mail from Bruce Bradley regarding contact for contact at the VA hospital.	0.10
	SLV Review first and second amended and restated limited liability company agreement forwarded from Dr. Zenati.	0.60
12/18/2017	DJS Review latest marshaling-related issues and follow up regarding same.	0.20
12/19/2017	DJS Additional review of scheduling of collateral marshaling and issues related to same.	0.20
12/21/2017	DJS Review latest marshaling of equipment issues and follow up regarding same.	0.20
12/26/2017	DJS Prepare/send follow up to Jennifer Gabany regarding scheduling of assets in Boston and status of same.	0.10
12/27/2017	DJS Follow-up communications with Jennifer Gabany and Michael Grumbine regarding asset recovery and scheduling of same.	0.20
	SLV Telephone call with Dan Stermer regarding status of case and asset retrieval.	0.10
01/03/2018	DJS Review communication received from Glenn Mores/MicroData and research same regarding marshaling of data/laptop.	0.20
	DJS Review/prepare/send various communications with Michael Grumbine regarding marshaling of assets and issues related to same.	0.30
	SLV Receive e-mail from Dan Stermer regarding technical HUB side of equipment to be marshaled.	0.20
	SLV Review e-mail from Michael Grumbine regarding Microdata information, user information and services receivable need.	0.20
	SLV Review e-mails from Michael Grumbine (3) regarding equipment collections and shipping cost break down.	0.40
	SLV Review e-mail to Michael Grumbine regarding latest of data and information of CodeJumpers and Star Light Solutions have on their respective systems.	0.10
01/04/2018	DJS Review further communications from Michael Grumbine regarding marshaling of assets from Virginia and Boston and Rice and IT-related issues.	0.30
01/05/2018	DJS Review the latest communication from Michael Grumbine regarding scheduling of recovery trip for assets and issues related to same.	0.20
	DJS Teleconference with Steve Victor and Michael Grumbine regarding new marshaling of assets plan and communications with certain vendors regarding same.	0.30

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		HOURS
	DJS Prepare/send communications to CodeJumpers regarding marshaling of code/data and payment of open invoice.	0.10
	DJS Prepare/send communications to Starlight Solutions regarding marshaling of code/data and payment of open invoice.	0.10
01/08/2018	DJS Additional communications to/from/with Starlight Solutions regarding turnover of laptop/data and payment of outstanding amount due.	0.10
	DJS Further communications related to resolution of CodeJumpers invoice and marshaling of data/information from CodeJumpers.	0.10
01/09/2018	DJS Review communication from Sandy Rosen forwarding Goodwin Procter's e-mail regarding intellectual property-related issues and teleconference with Steve Victor regarding same.	0.20
	DJS Update from Michael Grumbine regarding marshaling of equipment and status of same.	0.10
	SLV Review e-mails from Dan Stermer (6) regarding information and equipment retrieval and description of status to vendors.	0.30
	SLV Review e-mails from Mike Grumbine regarding schedule for pickup of equipment.	0.10
	SLV Telephone call and e-mail with Dan Stermer regarding the budget and e-mails to BakerHostetler regarding patent maintenance expense.	0.30
01/10/2018	DJS Additional communications to/from/with Michael Grumbine as relates to upcoming asset recovery trip and status of same.	0.20
	DJS Communications from Steve Victor regarding Chicago building requirements for insurance and follow up regarding same as relates to delivery of marshaled assets.	0.10
	DJS Teleconference with Steve Victor regarding overall status of matter and issues related to marshaling of assets.	0.10
	SLV Telephone call and e-mail with Sandy Rosen regarding update on assets.	0.10
	SLV Telephone calls and e-mails with Mike Grumbine, Lisa Vazquez and others regarding certificate of insurance for bringing the equipment into our building and logistics related thereto.	0.30
01/12/2018	DJS Additional follow up with Michael Grumbine regarding upcoming asset recovery trip and prepare/send receipt for use with Zenati retrieval.	0.30
	DJS Follow-up communications with CodeJumpers regarding payment sent and status of sending of USB with data/information.	0.10
	SLV Telephone call with Michael Grumbine regarding equipment delivery.	0.10
	SLV Telephone call with Mike Grumbine regarding the pick up and his estimated time of arrival to Chicago.	0.20
	SLV E-mails with Mike Grumbine regarding software and files.	0.10
	SLV E-mails with Dan Stermer regarding assets to the discussions and e-mails with creditors, people with equipment and creditors.	0.60
01/16/2018	DJS Communications to/from/with Michael Grumbine	

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			HOURS
	DJS	regarding marshaling of equipment from UVA and review issues related to same.	0.20
	SLV	Communications to/from/with Dinakar Manchiraju/CodeJumpers regarding USB received and review same and contents as marshaled.	0.40
		E-mail to Dan Stermer regarding Mike Grumbine status and location.	0.10
01/17/2018	DJS	Additional communications to/from/with Michael Grumbine regarding marshaling of equipment in Boston, MA, and travel to Chicago, IL, and discuss same with Steve Victor and review the Certificate of Insurance forwarded by Michael Grumbine for S&H.	0.30
	DJS	Additional/further communications with Dinakar Manchiraju/CodeJumpers and review USB drive and subfolders on data/information marshaled.	0.50
01/18/2018	TFC	Assist in retrieval of systems delivered from UVA, Grumbine and Dr. Zenati's office.	2.00
	DJS	Teleconferences with Steve Victor regarding status of marshaling of equipment and delivery to Chicago and status of funding and related issues regarding the next steps.	0.30
	DJS	Prepare/send follow-up communication to Xander Demos/Starlight Solutions and status of same in order to marshal code/data.	0.10
	DJS	Follow-up conference call with Steve Victor regarding marshaling issues and building-related issues.	0.10
	ALR	Assist retrieval of systems delivered from UVA, Grumbine and Dr. Zenati's office.	2.00
	SLV	Assist Michael Grumbine, Adam Rhum and Taylor Caruso bring the equipment and HUBS that Michael has driven across the country from UVA, Dr. Zenati, and elsewhere, assist in transfer to DSI's Chicago office.	2.00
	SLV	Review e-mail from Dan Stermer regarding marshalling assets.	0.10
	SLV	Review e-mail from Glen Mores regarding migration of data in the cloud environment.	0.10
	SLV	Telephone call with Dan Stermer regarding status of equipment coming to Chicago.	0.30
01/19/2018	SLV	Review e-mail from Dan Stermer regarding e-mail to Michael Grumbine on patents.	0.20
01/22/2018	DJS	Review recent communication received from MicroData regarding proposal and prepare/send communications to Michael VanNiel regarding same.	0.20
01/23/2018	DJS	Review communication from Sandy Rosen related to patent-related issues and follow up regarding same.	0.10
	SLV	Review e-mail from Sandy Rosen to Mike VanNiel regarding Australia patent issues.	0.10
01/24/2018	DJS	Review communication from Michael VanNiel regarding funding-related issues and patent-related issues, prepare/send follow up to Goodwin regarding moving forward with Australian patent-related issues and follow up regarding same and other patent-related issues and further communications to/from/with Goodwin regarding same, communications to/from/with	

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		HOURS
	Michael Grumbine regarding invoices/expenses and payment of same, communications to/from/with Xander Demos regarding remitting of payment to Starlight Solutions and obtaining of laptop from same, prepare/send communication requesting wire/checks for certain expenses and follow up with regard to same, review communications regarding receipt of incoming wire from Wesley and issues related to same, further communications with Goodwin regarding sending of wire and issues related to same, and teleconferences with Steve Victor regarding issues of the day.	3.20
	SLV Conference call with Dan Stermer, Sandy Rosen and Mike VanNiel regarding status and update on the 3si matter, confirm funding and update regarding need for process and court approval to proceed, also discuss status of patent counsel and patent maintenance.	0.70
	SLV Review e-mail from Chris Stamos regarding Australian patent.	0.10
	SLV Review e-mail from Michael Grumbine regarding microdata information.	0.10
01/25/2018	DJS Teleconference with Chris Stamos/Goodwin with regard to patent and patent/retention-related issues.	0.30
	DJS Review receipts forwarded by Lauren Lakeberg regarding marshaled assets from UVA and VA.	0.10
	DJS Review communication from Martin Gomez/Goodwin with regard to Australian divisional application and initial review of same.	0.30
	SLV Telephone call with Dan Stermer regarding his conversations with Goodwin Proctor as it relates to 3si and Surgical Solutions patents.	0.20
	SLV Review e-mail from Martin Gomez regarding Australian application on patent.	0.10
01/26/2018	DJS Additional follow up to/from/with Chris Stamos regard intellectual property/patent-related issues and review same for use with potential sale-related efforts.	0.30
01/29/2018	DJS Review divisional application related to Australian patent received from Goodwin.	0.30
	DJS Communications to/from/with Sandy Rosen and Steve Victor regarding potential sale-related issues and patent-related issues.	0.20
	SLV Telephone call with Dan Stermer regarding patents.	0.20
01/30/2018	SLV Telephone call with Dan Stermer with e-mail including information he's pulled off the USPTO website regarding patents.	0.40
01/31/2018	DJS Prepare/send follow up to Xander Demos/Starlight Solutions regarding status of marshaling of laptop/data and related issues.	0.10
02/01/2018	DJS Communications and teleconference with Xander Demos/Starlight Solutions regarding recovery of laptop/data and payment of outstanding invoice and follow up with regard to same.	0.20
	SLV Review e-mails from Dan Stermer and Xander Demos regarding laptop with updated code.	0.10

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			HOURS
02/02/2018	SLV	Telephone call with Dan Stermer regarding Mike Grumbine note.	0.20
	SLV	Review e-mail from Mike Grumbine regarding code he supplied when he brought the boxes and the database on the laptop that is to be received.	0.20
02/08/2018	DJS	Review communication from Marty Gomez regarding Australian patent-related issues and prepare/send follow up with regard to same.	0.10
02/09/2018	DJS	Review latest patent-related issues and communications and prepare/send follow up to Sandy Rosen and Steve Victor regarding same.	0.20
	DJS	Follow up with Chris Stamos/Goodwin regarding American patent and related issues and fees related to next steps.	0.20
	SLV	Review e-mail from Martin Gomez regarding Australian patent.	0.10
	SLV	Review e-mails from Dan Stermer regarding patents questions and issues raised by Sandy Rosen.	0.20
	SLV	Telephone calls with Dan Stermer regarding patents, fee applications and open issues.	0.20
	SLV	Review e-mail from Christopher Stamos of Goodwin Proctor regarding increases in cost of patent maintenance.	0.10
02/12/2018	DJS	Teleconference with Steve Victor regarding Starlight Solutions and status of receipt of data/information from Xander Demos.	0.10
	DJS	Follow up with Chris Stamos regarding patent-related issues.	0.20
	DJS	Teleconference with Steve Victor regarding payment to Goodwin for patent-related filing, communications with Chris Stamos regarding fees related to filing and issues related to same, and request processing of wire transfer to Goodwin for same.	0.20
	SLV	Review e-mail from Christopher Stamos regarding patent expenses and extensions.	0.10
	SLV	Review e-mails from Dan Stermer (2) to Christopher Stamos regarding payment to Goodwin Proctor for patent work.	0.10
	SLV	Review e-mail from Christopher Stamos regarding submission for 3si to maintain U.S. application pending patent office.	0.10
02/14/2018	DJS	Follow up and additional communications to/from/with Marty Gomez/Goodwin with regard to patent-related issues, costs for same and next steps for same.	0.20
	DJS	Teleconference with Steve Victor regarding status of matter, status of Starlight Solutions computer, status of fee application, and status of setting up of conference call with Sandy Rosen/Michael VanNiel.	0.20
	SLV	Review e-mail from Dan Stermer regarding Australian patent.	0.20
	SLV	Review e-mail from Martin Gomez regarding Australia official fees for patent application.	0.10
	SLV	Review e-mails from Martin Gomez and Dan Stermer regarding increase fees for USPTO on patents.	0.20
02/15/2018	DJS	Review communication from Martin Gomez/Goodwin regarding patent-related issues and filing	

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			HOURS
		completed.	0.20
	SLV	Review e-mail from Martin Gomez regarding notice to file missing parts and issues related to patent on 3si.	0.20
02/16/2018	DJS	Review latest communication from Marty Gomez regarding patent-related issues and prepare/send additional follow up with regard to same.	0.20
	DJS	Teleconference with Steve Victor regarding receipt of computer from Starlight Solutions, review e-mail from Steve Victor, and prepare/send follow up to Michael Grumbine regarding visit to Chicago to assemble Hub and review data/code for potential sale.	0.10
	SLV	Review e-mail from Martin Gomez regarding response to Dan Stermer regarding USPO Examiner and patent issues.	0.10
	SLV	Review e-mail from Dan Stermer to Michael Grumbine regarding assembly of HUB.	0.10
	SLV	Telephone call with Dan Stermer regarding computer from Starlight.	0.10
02/19/2018	DJS	Communications to/from/with Michael Grumbine regarding next steps in assembling system and testing code/data and issues related to same.	0.40
02/21/2018	SLV	Telephone call with Dan Stermer regarding proposals from patent counsel.	0.10
02/23/2018	DJS	Review communications to/from/with Michael Grumbine and Steve Victor regarding request received for archived e-mail and issues related to same.	0.20
02/26/2018	DJS	Review communication from Marty Gomez/Goodwin regarding patent-related issues and potential next steps; review attachments and prepare/send follow up to BakerHostetler regarding same.	0.40
	SLV	Review e-mail from Martin Gomez of Goodwin Proctor regarding notice of applicant from patent office.	0.10
02/27/2018	DJS	Additional communications with Michael Grumbine regarding status of matter.	0.10
03/02/2018	DJS	Review status of patent-related issues and next steps related to same.	0.20
03/16/2018	DJS	Follow-up communications to/from/with Michael Grumbine regarding next steps and issues related to same.	0.20
03/26/2018	DJS	Prepare/send follow up regarding communication from Marty Gomez regarding the Australian patent-related issues.	0.10
03/27/2018	DJS	Review latest issues pertaining to the Australian patent per communication from Marty Gomez; communications and discussions with Steve Victor; prepare/send communication to Marty Gomez authorizing next step and request wire transfer per request.	0.30
04/12/2018	DJS	Communication from Marc Lawrence regarding	

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			HOURS
		ESI-related issues and follow up from Michael Grumbine regarding same.	0.30
	SLV	Review e-mail from Michael Grumbine regarding request to Mike Lawrence for information and located in microdata.	0.10
04/16/2018	DJS	Additional communications to/from/with Marc Lawrence regarding ESI-related issues and follow up with Steve Victor and Sandy Rosen regarding same.	0.20
04/17/2018	DJS	Follow-up communications to/from/with Steve Victor and Sandy Rosen regarding an inquiry by Marc Lawrence regarding access to ESI.	0.20
	SLV	Review e-mail with Dan Stermer and Sandy Rosen regarding 3si service and information on microdata and information request.	0.20
	SLV	Review e-mails from Sandy Rosen to Mark Lawrence regarding 3si records and litigation.	0.10
04/26/2018	SLV	Review e-mails from Dan Stermer and Goodwin Proctor regarding reporting certificate of grant on Australian patent application.	0.30
04/30/2018	DJS	Review communication/invoice received from Chris Stamos/Goodwin Proctor regarding patent-related fees/expenses; review budget-related issues; prepare/send communication to Sandy Rosen/Michael VanNiel/Matthew Goldman/Steve Victor regarding need to fund same.	0.30
05/01/2018	SLV	Review e-mail from Dan Stermer regarding comments to draft of White Paper from Michael Grumbine.	0.30
05/09/2018	SLV	Telephone call with Glen Mores of MicroData regarding status of information on the server.	0.40
	SLV	Review e-mails from Dan Stermer regarding Goodwin Proctor patent attorney and legal fees and patent expense.	0.40
05/11/2018	SLV	Telephone call to Glen Mores regarding his change in demands for use and access to MicroData, now wanting his grants and outstanding claims paid as part of the deal, even though he had indicated differently two days earlier when I was reporting to court, e-mail to Sandy Rosen, Dan Stermer and Mike VanNiel regarding same.	0.70
05/12/2018	SLV	E-mail to Mike Grumbine regarding MicroData.	0.10
05/23/2018	SLV	Telephone call and e-mail with Dan Stermer regarding patents and assignment of renters.	0.20
05/31/2018	DJS	Review communication from Sandy Rosen regarding request to have a conference call with Goodwin Proctor and various communications setting same.	0.20
06/04/2018	DJS	Review communication from Martin Gomez regarding the Wagner assignment and review related documents.	0.20
06/07/2018	DJS	Teleconference with Steve Victor(2) regarding Michael Grumbine-related issues.	0.20

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			HOURS
06/08/2018	SLV	Review e-mail from Martin Gomez of Goodwin Procter regarding published 3si pending U.S. patent applications	0.20
06/13/2018	DJS	Review communication from Sandy Rosen to Goodwin Proctor providing receivership order.	0.10
06/19/2018	DJS	Review latest patent-related issues and invoice received from Goodwin Proctor.	0.20
06/20/2018	DJS	Communications to/from/with Michael VanNiel regarding court hearing and questions regarding same and prepare/send responses to same.	0.20
06/21/2018	DJS	Review the Goodwin Proctor invoice received, and prepare and forward same to all counsel.	0.20
	DJS	Review communication from Joseph Farrell and Goodwin Proctor regarding the third quarter annuity fees due for various patents and applications, and prepare and forward same to all counsel.	0.20
	SLV	Review e-mails from Dan Stermer and Procter Goodwin regarding patents and maintenance fees.	0.30
07/03/2018	DJS	Review Goodwin Proctor's statement received and prepare/send follow up to BakerHostetler, Steve Victor and Sandy Rosen regarding same.	0.10
	DJS	Review follow up from Joseph Farrell/Goodwin Proctor regarding upcoming annuity fees due and reminder for same; prepare/send follow-up response to same.	0.10
	SLV	Review e-mail from Dan Stermer regarding demonstration of system software.	0.10
07/08/2018	SLV	Review e-mails from Martin Gomez from Goodwin Procter regarding Canadian patent application.	0.10
	SLV	Review e-mail from Dan Stermer regarding response to Martin Gomez	0.10
07/10/2018	DJS	Review communication from Marty Gomez/Goodwin regarding upcoming deadline to request examination of Canadian patent application and follow-up communications to/from/with Steve Victor/Michael VanNiel/Matthew Goldman/Sandy Rosen/Bill Brandt regarding same.	0.20
	SLV	Review e-mail from Dan Stermer to Mike VanNiel regarding Canadian patent issues and expense.	0.10
07/12/2018	DJS	Various communications to/from/with Marty Gomez/Goodwin Proctor regarding upcoming Canadian deadline and issues related to payment of fees; follow up regarding same.	0.20
	DJS	Teleconference with Michael VanNiel regarding budget and issues related to patent and related issues.	0.20
	SLV	Review e-mail from Martin Gomez regarding Surgical Safety Solutions' 3rd quarter annuity fees.	0.10
	SLV	Review e-mail from Dan Stermer to Mike VanNiel regarding Surgical Safety Solutions' 3rd quarter annuity fees.	0.10
07/13/2018	DJS	Additional communications to/from/with Marty Gomez and Chris Stamos regarding upcoming expiration deadline and issues related to grace period related to Canadian patents and issues related to same.	0.20

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			HOURS
08/03/2018	SLV	Review e-mail from Dan Stermer regarding the Australian grant of patent and U.S. patent application.	0.20
	SLV	Review e-mail from Dan Stermer regarding the Canadian patent application.	0.20
08/08/2018	DJS	Review communication from Marty Gomez/Goodwin with the United States Patent and Trademark Office action and related documents.	0.30
	SLV	Review e-mails and attachments from Martin Gomez and Dan Stermer regarding actions for 3si's pending U.S. patent application.	0.20
08/09/2018	DJS	Prepare/send follow-up communication to Martin Gomez regarding communication from the United States Patent and Trademark Office and review same.	0.30
08/10/2018	DJS	Review communication from Chris Stamos/Goodwin regarding the Marty Gomez contact by Peter Furness/Trustee counsel and follow up regarding same; review attachment sent by Sandy Rosen related to same.	0.30
	SLV	Review e-mail from Marty Gomez regarding attorney stating that he represented the trustee in the Wagner case and questioning ownership and assignment of patents.	0.20
	SLV	Review e-mail from Martin Gomez regarding European patent application.	0.10
	SLV	Review e-mail from Michael Nacht regarding Microdata.	0.10
08/13/2018	DJS	Review communication from Marty Gomez/Goodwin regarding European Patent Application and review of documents related to same.	0.20
	DJS	Review communication from Sandy Rosen to Peter Furness regarding patent assignment and related issues.	0.20
08/14/2018	DJS	Follow-up communications to/from/with Marty Gomez regarding European Patent Office Action and follow up regarding same.	0.30
	DJS	Prepare/send communication to Chris Stamos/Goodwin seeking confirmation of outstanding invoices and amounts due.	0.20
08/17/2018	SLV	Review e-mail from Mike Grumbine indicating that there is so much equipment to send back that he's going to send via ground as opposed to FedEx overnight.	0.10
08/22/2018	DJS	Communications to/from/with Steve Victor and Michael Grumbine regarding equipment sent by Michael Grumbine.	0.10
09/13/2018	JER	Review of e-mail from M. Gomez regarding the status of 3si's European patents.	0.10
	SLV	Review e-mail from Martin Gomez regarding reporting office actions; European patent application.	0.10
09/14/2018	DJS	Review communication from Goodwin Proctor and September invoice related to IP issues.	0.10

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			HOURS
10/02/2018	SLV	Telephone call and e-mail with Martin Gomez regarding estimated patent cost during the sale process.	0.30
10/23/2018	SLV	Review e-mails from Goodwin Proctor compare to what had been paid recently prepare check request for remainder.	0.20
10/29/2018	DJS	Review communication from Marty Gomez/Goodwin related to Israeli patent-related issues and extension-related issues.	0.20
	SLV	Review e-mail from Martin Gomez regarding Hebrew language Israeli patent application and alternatives.	0.30
10/30/2018	DJS	Review follow up from Steve Victor to Marty Gomez as it relates to the Israeli patent-related issues.	0.10
11/01/2018	DJS	Review follow-up communication from Marty Gomez/Goodwin as it relates to the Israeli patent-related issues.	0.10
	SLV	Telephone call and e-mail with Martin Gomez of Goodwin Proctor regarding patent expense and extensions and dates for same.	0.30
11/16/2018	DJS	Review communication from Goodwin Proctor with invoice and prepare/forward same to Steve Victor.	0.10
11/27/2018	SLV	Telephone call with Dan Stermer regarding Dr. Zenati.	0.10
12/03/2018	DJS	Review communication from Martin Gomez/Goodwin related to the Canadian patent application and prepare/send follow up to Michael VanNiel/Matthew Goldman related to same.	0.10
	SLV	Review e-mails from Dan Stermer and Martin Gomez regarding abandonment and deadline to reinstate 3si's Canadian patent application.	0.20
01/13/2019	SLV	Review e-mail from Marty Gomez regarding the deadlines for patents, forward him to the Wesley Group.	0.10
01/14/2019	DJS	Review communications from Marty Gomez/Goodwin related to intellectual property-related issues and follow-up communications to/from/with Steve Victor related to same.	0.10
	SLV	Review reminder e-mail from Martin Gomez regarding upcoming deadlines and response with the need to reach out to the Wesley Group; follow up with Dan Stermer.	0.20
01/16/2019	DJS	Review communications from Marty Gomez to Patrick Roche related to intellectual property-related matters and how to proceed.	0.10
01/18/2019	DJS	Communications to/from/with Goodwin Proctor regarding the December 2018 invoice and prepare/send response with sale-related update.	0.10
01/23/2019	DJS	Review follow up from Marty Gomez to Patrick Roche	

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			HOURS
	DJS	relative to the upcoming final deadline to respond to pending European Office and related issues.	0.10
	DJS	Review follow up from Patrick Roche to Marty Gomez relative to IP-related issues.	0.10
02/18/2019	DJS	Review communication from Diane Racicot/Goodwin regarding IP/patent-related issues for January 2019; prepare/send follow up to Steve Victor related to same.	0.10
	DJS	Follow-up communications to/from/with Steve Victor and Diane Racicot relative to the Goodwin invoice for post-closing professional services.	0.10
		Asset Analysis and Recovery	0.10
			68.10
			<u>34,738.00</u>
09/08/2017	SLV	Review documents and court order to prepare for upcoming meeting in New York.	1.10
09/26/2017	DJS	Review follow-up communication from Steve Victor regarding marketing-related issues.	0.10
10/06/2017	DJS	Review workbook forwarded by Michael VanNiel regarding potential parties to provide notice of potential sale and discuss same with Steve Victor.	0.20
	DJS	Review various communications received from Michael VanNiel with various marketing-related attachments for sale efforts as provided by Michael Shaut and discuss same with Steve Victor.	2.20
	SLV	Follow-up telephone call with Dan Stermer regarding the receivership and need to get funds to make case move more quickly.	0.10
10/10/2017	SLV	E-mail to Dan Stermer regarding sale process and diligence materials.	0.10
10/11/2017	DJS	Teleconference with Steve Victor regarding sale status update and issues related to same.	0.10
10/20/2017	SLV	Telephone conference call with Dan Stermer and Mike Grumbine regarding plan for getting hardware and software.	0.70
10/25/2017	SLV	Telephone call with Dan Stermer regarding update and need for assistance from Mike Grumbine.	0.20
10/26/2017	SLV	Telephone call with Dan Stermer regarding update and need for assistance from Mike Grumbine.	0.30
10/27/2017	SLV	Telephone call with Dan Stermer regarding Mike Grumbine and equipment retrieval.	0.10
12/05/2017	SLV	Review e-mail from Bill Brandt to parties willingness to assist and issues and background on Downing asset liquidation.	0.10
12/06/2017	SLV	Telephone call with Sandy Rosen regarding update on the Mr. Houff as he may be helpful in the sale process.	0.20
12/07/2017	SLV	Telephone call with party regarding interest in the assets and perhaps aiding receivership with sale.	0.20
12/15/2017	ALR	Research potential buyers of the 3si marketing plan	

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			HOURS
		and patents; create list of potential candidates with contact information.	4.30
12/20/2017	ALR	Research potential buyers of 3si marketing plan and patents; create list of potential candidates with contact information.	0.50
01/05/2018	DJS	Teleconference with Steve Victor, Sandy Rosen and BakerHostetler attorneys regarding marshaling of assets, upcoming hearing before court, issues related to amended budget and sale-related issues.	0.70
01/23/2018	SLV	Telephone call with Sandy Rosen regarding sale of assets.	0.30
01/24/2018	SLV	Telephone calls with Dan Stermer (5) regarding sale process, issues and process.	0.90
01/25/2018	DJS	Teleconference with Steve Victor regarding next steps and sale-related issues.	0.20
01/26/2018	DJS	Review e-mails/attachments previously received from S. Jesser for potential use for marketing/sale-related issues.	1.20
01/29/2018	DJS	Prepare/send communication to Michael Grumbine related to potential marketing/sale-related issues and next steps with regard to same.	0.20
	SLV	Pull together marketing materials, forward same to Dan Stermer for review.	1.20
01/30/2018	DJS	Teleconference with Steve Victor regarding marketing-related issues pertaining to potential sale and gathering of potential purchasers, review marketing materials previously received, and prepare/send communication to Michael Grumbine regarding same.	0.40
	ALR	E-mail to J. Romey to delegate task of researching buyers for 3si.	0.40
	ALR	Telephone call with J. Romey to explain project to research potential buyers of 3si.	0.20
	JER	Telephone call with Adam Rhum regarding aggregating potential buyers for 3si voice-command medical device product and patent.	0.20
	JER	Research potential buyers for 3si products and patents using CapIQ database.	2.00
	SLV	Review product summary PowerPoint, forward to Dan Stermer and Mike Grumbine for use in marketing material.	0.20
	SLV	Telephone call with Sandy Rosen regarding status of case.	0.10
01/31/2018	DJS	Additional review of marketing materials on hand as it relates to potential sale efforts and discuss same with Steve Victor.	0.40
	DJS	Review communication from Michael Grumbine regarding marketing/sale-related issues and demonstration-related issues.	0.20
	JER	Research potential buyers and contact information for 3si products and patents in CapIQ database.	3.00
	SLV	Telephone call with Dan Stermer regarding marketing materials and information from Mike Grumbine.	0.20

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			HOURS
	SLV	Review e-mail from Mike Grumbine to Dan Stermer regarding presentations that were on the system and sale process.	0.20
02/01/2018	JER	E-mails with Adam Rhum regarding 3si product and patent sale contact research.	0.10
	JER	Research potential buyers for 3si products and patents using CapIQ database.	3.00
02/02/2018	DJS	Teleconference with Steve Victor and review marketing/sale-related issues and listing of potential marketing parties gathered and issues related to same.	0.20
	DJS	Follow-up communications from Michael Grumbine regarding potential demonstration/marketing-related issues and potential next steps with regard to same.	0.30
	JER	Research, review, and organize potential buyer list for 3si product and patent sale; send final list to Steve Victor for his review.	4.00
02/05/2018	DJS	Review potential marketing-related materials and potential use of same going forward related to marketing/sale of collateral.	0.80
02/14/2018	SLV	Review e-mails from Michael Grumbine regarding invoices and marketing.	0.70
02/21/2018	DJS	Review various communications to/from/with Michael Grumbine regarding potential demonstration-related issues so as to sell assets and issues related to same and follow-up communications with Steve Victor regarding same.	0.30
	SLV	Review e-mail from Mike Grumbine regarding status of demo.	0.10
	SLV	E-mails with Mike Grumbine regarding demo and confirmation that there is no protected data on videos.	0.30
	SLV	Review e-mail from Mike Grumbine regarding demonstration of system software.	0.20
02/23/2018	SLV	Review e-mail from Michael Grumbine regarding Marc Lawrence,	0.10
03/08/2018	DJS	Review various draft sale related documents forwarded by Sandy Rosen as received from BakerHostetler attorneys.	0.80
03/09/2018	DJS	Review the Carmel list related to potential interested parties in sale of assets as forwarded by Sandy Rosen and discuss same with Steve Victor.	0.30
	SLV	Review e-mail from Sandy Rosen regarding Carmel list of interested buyers.	0.10
03/14/2018	DJS	Review sale-related document prepared by BakerHostetler and forwarded by Sandy Rosen in advance of conference call.	0.60
	DJS	Teleconference with Sandy Rosen and Steve Victor regarding status of matter and sale-related issues and next steps/strategy regarding same.	0.50
03/19/2018	JER	Meeting with B. Brandt to discuss plans for the asset sale process.	0.20

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			HOURS
03/21/2018	DJS	Review latest sale documents forwarded by Sandy Rosen from BakerHostetler and prepare/send comments/edits/suggestions to Wesley Holdings stalking horse bid letter.	1.30
03/22/2018	DJS	Teleconference with Steve Victor regarding latest issues in matter, budget and sale-related issues and documents forwarded by BakerHostetler.	0.10
	DJS	Review of certain proposed sale-related documents as received from BakerHostetler.	0.50
	SLV	Telephone call with Dan Stermer regarding budget on sales-related issues.	0.10
03/23/2018	DJS	Teleconference with Steve Victor and Sandy Rosen regarding latest discussions with Receiver Brandt regarding proposed sale-related issues and strategy/next steps with regard to same.	0.30
	JER	Review and research 3si case information and product information in preparation to begin the sale process for various intellectual property.	3.50
04/03/2018	DJS	Communications to/from/with Steve Victor and James Romey with regard to potential sale and related assistance.	0.10
	SLV	E-mails with James Romey regarding possible assistance with the sale of the business.	0.20
	SLV	Review e-mail from Michael Grumbine with call to Dan Stermer regarding Grumbine's complaint and timing.	0.10
	SLV	Review e-mails from Dan Stermer and follow up from Mike Grumbine regarding demo equipment.	0.20
	SLV	E-mails to Michael Grumbine indicating my confusion with his e-mail and that we are still looking for a demo and marketing materials.	0.20
04/05/2018	DJS	Communications to/from/with Steve Victor and Michael Grumbine regarding next steps in sale process and continued assistance of Michael Grumbine.	0.10
	SLV	E-mail to Mike Grumbine indicating that the sale process is taking longer than we had anticipated and that we hope for his cooperation in the future.	0.10
04/12/2018	SLV	Telephone call with Michael Grumbine regarding information on development and diligence material.	0.30
04/18/2018	DJS	Review communications to/from/with Michael Grumbine and Steve Victor regarding status and next steps needed from Michael Grumbine to move towards sale.	0.20
	SLV	Review e-mail from Michael Grumbine regarding concerns on white paper and marketing.	0.20
04/19/2018	DJS	Review Michael Grumbine's communication regarding presentation for potential use with demonstration/sale.	0.10
	SLV	Review e-mail from Michael Grumbine in response to my e-mail requesting marketing material.	0.10
	SLV	Review e-mail from Mike Grumbine with more thoughts on the sale and development of the hub.	0.20
04/24/2018	DJS	Review communication from Michael Grumbine regarding April invoice and follow up to/from/with Steve Victor regarding same and prepare/send follow up to	

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			HOURS
		Michael Grumbine regarding preparation of sale/presentation-related materials.	
	SLV	E-mail to Dan Stermer regarding Michael Grumbine.	0.30
			0.20
04/26/2018	DJS	Review latest presentation/sale-related document preparation issues and status of same.	0.20
04/27/2018	DJS	Follow-up communications to/from/with Michael Grumbine regarding preparation of presentation for sale-related issues.	0.10
04/30/2018	SLV	Review white paper draft from Mike Grumbine.	0.40
	SLV	Telephone call and e-mail with Dan Stermer regarding updates on accounting and sale process.	0.60
	JER	Review 3si's white paper draft sent from S. Victor.	2.00
	JER	E-mail to S. Victor regarding 3si's white paper with my views about its quality as representative of 3si's HUB product.	0.10
05/01/2018	DJS	Review abstract/white paper received from Michael Grumbine for use with potential sale and prepare/send comments to same.	0.80
	SLV	Review comments to the white paper on the hub and software.	0.30
05/02/2018	DJS	Prepare/send follow up to Michael Grumbine regarding the abstract and next steps related to the potential sale.	0.10
	SLV	Review e-mail from Mike Grumbine regarding his work on presentation for due diligence.	0.20
05/08/2018	DJS	Review communications from Steve Victor to James Romey regarding potential sale-related issues and documents for same.	0.30
	JER	Review of Black Duck's coding audit to assess suitability of material to assist with the asset sale process.	1.50
	JER	Review of 3si's JPM conference management presentation to assess suitability of material to assist with the asset sale process.	1.00
	JER	Review of 3si's N.A. Executive Overview 2015 presentation to assess suitability of material to assist with the asset sale process.	1.00
	JER	Review of 3si's product summary presentation to assess suitability of material to assist with the asset sale process.	0.50
	JER	Review of sb171 Operating Room Procedure Trends to assess suitability of material to assist with the asset sale process.	0.50
	JER	Review of the Zenati abstract on Intraoperative Vigilance to assess suitability of material to assist with the asset sale process.	0.30
	JER	Review of JAMA research letters to assess suitability of material to assist with the asset sale process.	0.50
	JER	Review of the JTEHM paper on 3si's HUB technology to assess suitability of material to assist with the asset sale process.	1.00
	JER	Draft summary e-mail to S. Victor and D. Stermer with my review and thoughts on the quality of the marketing materials for 3si's HUB product and potentially using these materials to help with the	

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			HOURS
	JER	asset sale process.	0.50
	JER	Review e-mail responses from S. Victor and D. Stermer regarding my review of the 3si marketing materials with instructions to create a pitch book draft for the asset sale process.	0.10
	JER	Pull portion pieces of the 3si marketing materials sent from S. Victor to be used for the asset sales pitch book and organize an outline for the marketing material.	1.50
	SLV	Forward information to James Romey regarding marketing materials for 3si hub.	0.50
05/09/2018	DJS	Teleconference with Steve Victor and Michael Grumbine regarding sale-related issues.	0.30
	JER	Begin work on drafting a product sale pitch book for the 3si asset sale.	2.50
	JER	E-mails with S. Victor regarding the pitch book for the asset sale.	0.10
	SLV	Telephone call with Dan Stermer and Mike Grumbine regarding hosting services, asset issues and preparation for sale and diligence.	0.30
05/10/2018	JER	Work on preliminary draft for product sale pitch book.	5.00
05/11/2018	DJS	Review communication from Michael Grumbine regarding demo-related issues and prepare/send follow up regarding same as relates to MicroData.	0.20
	JER	Complete first draft for 3si product sale pitch book.	3.00
	JER	Telephone call with S. Victor regarding the 3si pitch book.	0.10
	SLV	Review e-mail from Mike Grumbine with new projections for demo plans.	0.20
	SLV	Telephone call with James Romey regarding pitch book.	0.10
05/15/2018	JER	Review of additional marketing materials sent from S. Victor to assist in the pitch book creation for the 3si asset sale.	1.50
	DJS	Teleconference with Steve Victor regarding latest discussions with Michael Grumbine and MicroData and issues related to same and sale.	0.20
	SLV	Review e-mail from Mike Grumbine regarding MicroData and demo.	0.10
	SLV	Telephone call with Dan Stermer regarding MicroData.	0.20
05/16/2018	JER	Incorporate new marketing materials into pitch book final draft.	1.50
05/21/2018	DJS	Teleconference with Steve Victor and Michael Grumbine regarding presentation and sale and funding-related issues.	0.40
	DJS	Additional review of potential sale-related materials and potential use of same.	0.30
	SLV	Telephone conference call with Dan Stermer and Michael Grumbine regarding Grumbine's demands and budgets for demonstration.	0.40
05/22/2018	DJS	Review potential marketing/sale-related issues/documents.	0.30

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			HOURS
05/23/2018	DJS	Review communication from Sandy Rosen regarding patent-related issues, research same, prepare/send communications to Goodwin Proctor regarding patent and related issues, review documents related to patents, and prepare response to same.	0.30
	DJS	Review communication from James Romey and draft marketing materials prepared for potential sale.	0.80
	JER	Research the 3si patent portfolio data.	0.70
	JER	Finalize draft of the 3si asset sales pitch book in preparation for meeting with S. Victor.	1.50
	JER	Review final draft of the 3si asset sales pitch book with S. Victor.	0.20
	JER	Draft e-mail to S. Victor and D. Stermer with the final draft version of 3si pitch book and questions regarding their pending patents.	0.20
	SLV	Prepare e-mail to James Romey and Dan Stermer regarding sale information related to patents.	0.10
	SLV	Discussions with James Romey regarding marketing information and teaser.	0.20
05/24/2018	DJS	Additional communications to/from/with Steve Victor/James Romey/Martin Gomez regarding patent issues and applications as it relates to potential marketing/sale-related materials.	0.60
	JER	Review patent information sent from D. Stermer for additional details for the asset sales pitch book.	0.30
05/29/2018	DJS	Review communications to/from/with Steve Victor and Michael VanNiel regarding VanNiel's discussions with Michael Grumbine and budget-related issues as relates to sale-related issues.	0.10
05/30/2018	DJS	Review communication from Michael Grumbine and teleconference with Steve Victor regarding same.	0.20
	SLV	Review of e-mails from Dan Stermer and Sandy Rosen regarding demonstration of system, Michael Grumbine and patent issues.	0.20
	SLV	Review e-mail from Michael Grumbine regarding additional fees he is requesting.	0.10
05/31/2018	DJS	Review various communications from Michael Grumbine related to monthly invoices and demo/sale-related issues/concerns; prepare/send response to same; teleconference with Steve Victor regarding same.	0.40
06/04/2018	DJS	Teleconference with Michael VanNiel and BakerHostetler attorneys, Sandy Rosen, and Goodwin Proctor attorneys regarding intellectual property/patent-related issues and status of same as it relates to a potential sale.	0.50
06/21/2018	DJS	Review communication from Sandy Rosen and follow-up communications to, from and with Steve Victor regarding same.	0.20
	DJS	Review communication from Michael Grumbine and teleconference with Steve Victor regarding same.	0.20
06/25/2018	DJS	Review communication received from Michael Grumbine and prepare/send follow up as it relates to sale status and issues related to same.	0.20
	DJS	Teleconference with Steve Victor regarding Michael Grumbine/budget-related issues and status of same.	0.10

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			HOURS
06/27/2018	DJS	Communications to/from/with Michael Grumbine and Steve Victor regarding the June invoice and issues related to preparation of sale-related materials; review videos provided by Michael Grumbine.	
	SLV	Review e-mail from Mike Grumbine regarding the video diligence.	0.30
			0.30
07/18/2018	DJS	Communications to/from Steve Victor and Sandy Rosen regarding the latest sale-related documents/pleadings.	0.10
07/19/2018	DJS	Review communications from Steve Victor regarding handling of the upcoming sale-related process.	0.10
	JER	E-mail to S. Victor regarding 3si sale process continuation.	0.10
	JER	Review of 3si's past marketing materials, research publications, and patent information to begin preparation for the sale process.	3.00
07/20/2018	DJS	Review communication from Receiver Brandt regarding sale-related issues and process related to same.	0.10
	SLV	E-mail to Bill Brandt regarding status of sale process and pleadings.	0.30
07/21/2018	SLV	Review e-mails from Dan Stermer regarding his conversations with Mike VanNiel regarding the sale process and budget issues.	0.30
07/23/2018	JER	Review and annotate Exhibit J the auction procedures order sent from S. Victor.	1.00
	JER	Review and annotate the sale motion and the sale order sent from S. Victor.	1.00
	SLV	Telephone call with Sandy Rosen with follow up to Dan Stermer regarding sale process and advertising.	0.20
07/31/2018	DJS	Review communication from Receiver Brandt with the fully-executed Wesley offer/bid letter and teleconference with Steve Victor related to same.	
	SLV	Telephone call with Dan Stermer regarding status of fees and expense.	0.30
	SLV	Telephone call and e-mails with James Romey regarding sale process.	0.20
	JER	Discussion with B. Brandt regarding the sale process and bid procedures.	0.60
			0.20
08/01/2018	DJS	Teleconference with Steve Victor regarding marketing and sale related issues.	0.20
	DJS	Review various Steve Victor communications with Wesley Holdings Bid Letter, Brandt Declaration and various pleadings/orders in matter for use in data room to be built for sale- related issues.	
	JER	Telephone call with S. Victor regarding the 3si sale.	0.70
	JER	Review e-mail thread (.1) forwarded from B. Brandt and the attached original bid letter (.5).	0.30
	JER	Review of the 3si Brandt declaration as forwarded by B. Brandt.	0.60
	JER	Review of the Wagner/3si e-mail response sent by B. Brandt.	0.30
	JER	Review of the endorsed status report.	0.40
	JER	Review of July 28, 2018 hearing transcript.	0.40
			0.70

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		HOURS	
JER	Review the Order Granting Motion to Appoint Receiver.	0.50	
JER	Review of 6-month projection of administration and related costs for the sale of assets.	0.40	
JER	Review the Defendant's Memorandum of Law in Opposition to Plaintiff's Motion for the Appointment of a Receiver.	0.40	
JER	Review of Answer of All Defendants to Complaint filing.	0.40	
JER	Correspondence with S. Victor and D. Stermer regarding 3si marketing materials.	0.10	
JER	Review of letter to M. Grumbine as sent by D. Stermer.	0.20	
JER	Create data room to host sale diligence materials, set permissions for S. Victor and D. Stermer, and populate with files.	0.50	
JER	Forward all court filings received from B. Brandt to S. Victor for his review.	0.10	
SLV	Review e-mails from James Romey and Bill Brandt regarding the original bid letter, Brandt declaration and pleadings related to the case and the sale.	0.80	
SLV	Telephone calls with James Romey regarding due diligence for sale.	0.30	
SLV	Telephone call with Mike Grumbine regarding status of the sale process.	0.20	
08/02/2018	DJS	Review certain documents relative to upcoming marketing/sale-related issues.	0.80
	JER	Telephone call with S. Victor regarding the 3si Hub videos for use as marketing materials for the data room.	0.10
	JER	Review Hub Videos for suitability to use for marketing materials in the data room.	0.50
	JER	E-mails with A. Levin regarding advertising quotes in the Wall St. Journal and New York Times.	0.20
	JER	Telephone call with A. Levin to discuss general quotes for ad placements in the New York Times and the Wall Street Journal for sale notices.	0.20
	JER	Review and compare pricing grid for New York Times and Wall Street Journal advertising options sent from A. Levin, and send to S. Victor and D. Stermer for review.	0.30
	JER	Review and edit the old 3si presentation materials for data room suitability data room, and upload appropriate documents to the data room.	3.00
	JER	Research the bid letter, sale motion, sale order, and e-mail thread with B. Brandt and Alexis Beachdell to outline the procedures for the bid deadline, auction, and sale hearing, and sent to S. Victor for review.	0.80
	JER	Review ad copy materials sent from S. Victor and W.G. Brandt.	0.50
	JER	Review e-mails from S. Victor regarding hardware on hand for the 3si Hub station.	0.20
	SLV	Telephone call with Mike Grumbine regarding computer and coding.	0.20
	SLV	Telephone call with James Romey regarding diligence material.	0.10
	SLV	Telephone call to Sandy Rosen regarding funding and sale.	0.10
	SLV	Review e-mail from James Romey regarding cost to	

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		HOURS
	advertise.	0.20
08/03/2018	DJS Communications/teleconferences with Steve Victor and James Romey regarding sale-related issues and Dropbox-related issues and add/delete documents for same; communications to/from/with the Goodwin attorneys regarding patent-related documents/information for use related to the sale; communications to/from/with the BakerHostetler attorneys requesting/receiving documents/pleadings and update same.	1.60
	JER Review the non-disclosure agreement contact file sent from L. Lakeberg and reformat for 3si's sale process.	0.30
	JER Source, review, and add documents to the Dropbox data room.	1.00
	JER Add contacts to interested party contact list.	0.50
	JER Review the Order Setting Deadlines.	0.10
	JER Review the Motion to Set Auction Procedures.	0.50
	JER Review the Motion to Sell Assets sent from A. Beachdell.	0.40
	JER Prepare draft of the sale teaser for S. Victor review.	3.00
	JER Review of patent-related documents sent from D. Stermer for data room usage.	1.00
	JER Telephone calls (x3) with D. Stermer regarding the available 3si patents for sale, and discussions on the data room structure.	0.30
	JER Review of 3si asset sale disclaimer.	0.20
	JER Telephone calls (x2) with D. Stermer regarding the data room contents and patent information.	0.20
	SLV Prepare draft sales documents.	2.70
	SLV Telephone call with Dan Stermer regarding Michael Grumbine's independent contractor agreement and review of same.	0.20
	SLV Telephone call to Mike VanNiel regarding sale, funding and diligence.	0.40
	SLV Review e-mail from James Romey regarding draft teaser.	0.20
08/06/2018	JER E-mails with A. Levin and S. Victor regarding timing of the advertisements for the sale process.	0.20
08/07/2018	DJS Review communication from Steve Victor with the draft non-disclosure agreement and disclaimer and other sale-related issues.	0.30
	JER Research the auction and notice procedures, sale motion, and memorandum sent from S. Rosen (.4) and draft e-mail to S. Victor with a timeline for the sale process (.1).	0.50
	SLV Telephone call to Alexis Beachdell from Hostetler regarding sale process and schedule.	0.20
	SLV Telephone call to Sandy Rosen regarding funding and sale.	0.20
	SLV Telephone call with Alexis Beachdell from Hostetler regarding disclaimer.	0.30
	SLV Review of draft Dropbox of diligence material.	0.30
08/08/2018	SLV Review e-mail from James Romey regarding the draft of teaser.	0.20
08/09/2018	DJS Review communication from Steve Victor with the	

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			HOURS
	DJS	draft sale teaser.	0.20
	DJS	Review communication from Steve Victor regarding funding and teleconference with James Romey regarding same.	0.30
	DJS	Review communication from Sandy Rosen as forwarded from Peter Furness/counsel for Wagner Trustee; prepare/send follow up regarding same.	0.30
	JER	Telephone call with S. Victor to review items in the data room that will require counsel approval to be used for marketing materials.	0.20
	JER	Review the updated 3si Systems non-disclosure agreement and disclaimer sent from S. Victor.	0.30
	JER	Review e-mail (.1) and attached the revised non-disclosure agreement and the receiver's disclaimer sent from A. Beachdell.	0.40
	JER	Source and review marketing materials, Code Quality Audits, and white papers and draft e-mail to counsel to determine suitability of the materials to be made available in the data room.	1.00
	JER	Review of e-mail (.1) and attached updated sale teaser (.1) sent from S. Victor.	0.20
	SLV	Work on teaser letter.	1.00
	SLV	Review e-mail from James Romey regarding diligence material.	0.50
	SLV	Review redline comments to the receiver's disclaimer and non-disclosure agreement.	0.80
	SLV	Review e-mail and telephone call with Mike VanNiel regarding teaser.	0.20
08/10/2018	JER	Evaluate the proposed revised second amended 12-month budget sent from D. Stermer for the asset sale process.	0.50
	JER	Review redline draft form with edits to the sale teaser sent from M. VanNiel.	0.20
	JER	Review of e-mail and attached reports from the European patent office regarding the status of 3si's patent process.	0.50
	SLV	Review professional fee funding; telephone call with Dan Stermer and Sandy Rosen regarding same.	0.20
08/13/2018	DJS	Teleconference with Steve Victor and Michael Grumbine regarding marketing/sale of 3si assets and issues related to same.	0.40
	JER	Telephone call with S. Victor regarding the data room materials and the sale process.	0.20
	JER	Review the auction procedure motion and draft summary of qualified competing bid requirements to send to S. Victor for review.	0.50
	JER	Review correspondence from D. Stermer with M. Gomez regarding the 3si European patent status.	0.30
	SLV	Telephone call to James Romey regarding diligence room.	0.20
	SLV	Telephone conference call with Mike Grumbine and Dan Stermer regarding update and request for computer/code.	0.40
08/14/2018	DJS	Review documents forwarded by Steve Victor related to the proposed sale/non-disclosure agreement/disclaimer/teaser.	0.40
	JER	Review of estimates from M. Gomez for costs related to keeping the European patents active.	0.10
	JER	Follow up with A. Beachdell requesting counsel	

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			HOURS
		feedback on marketing materials currently being used in the data room.	0.10
	SLV	Conference call with Dan Stermer and Sandy Rosen regarding draft disclaimers and non-disclosure agreements.	0.20
	SLV	E-mails with James Romey regarding Dropbox.	0.20
08/15/2018	DJS	Teleconference with Steve Victor and Sandy Rosen regarding sale-related issues and review of documents prepared for same.	0.50
	DJS	Teleconference with Steve Victor following up earlier conference call with Sandy Rosen and discuss follow up with Michael Grumbine.	0.20
	SLV	Review teaser and conference call with Sandy Rose and Dan Stermer regarding same.	0.60
	SLV	Conference call with Dan Stermer and Sandy Rosen regarding sale documents and drafts.	0.50
08/16/2018	DJS	Review the latest draft of non-disclosure agreement/disclosure/teaser and issues related to same.	0.30
	JER	Telephone call with S. Victor regarding the distribution agreements (.1), review e-mails from S. Victor and A. Beachdell regarding the same (.1), and upload new documents into the data room (.1).	0.30
	SLV	Telephone calls to James Romey (3) regarding Dropbox for diligence.	0.30
08/17/2018	DJS	Review communications to/from/with Sandy Rosen/Steve Victor/James Romey regarding potential sale contact list and issues related thereto.	0.30
	DJS	Review communication from Michael Grumbine regarding sending of equipment to the Chicago office.	0.10
	JER	Correspondence with S. Victor and M. VanNiel regarding additional contacts to add to the perspective buyer list.	0.20
	JER	Review and reformat prospective contact list in preparation for meeting with S. Hilpp regarding same.	0.50
	JER	Meeting with S. Hilpp to discuss prospective contact list information search.	0.10
	SLV	Review e-mail from Sandy Rosen regarding documents from Peter Furness with possible interested parties but no contact information, request James Romey to search for contact information which is difficult when you only have a name or a company.	0.40
	SLV	Telephone call with Mike Grumbine regarding return of assets in his custody and status of his.	0.40
08/20/2018	JER	Telephone call with S. Victor to discuss the sale process.	0.20
	JER	E-mails with A. Beachdell regarding feedback on the marketing material in the data room to use for the sale process.	0.30
	SLV	Review e-mails from James Romey regarding Dropbox.	0.20
	SLV	Review e-mails from Alexia Beachdell (2) regarding Dropbox and marketing materials.	0.30
	SLV	Telephone call with James Romey regarding sale process.	0.20
08/21/2018	DJS	Review communication from Sandy Rosen to Steve Victor regarding the non-disclosure agreement and	

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			HOURS
		disclaimer.	0.10
JER		Conference call with S. Victor regarding the sale process and outstanding tasks related.	0.40
JER		E-mails with A. Levin regarding updated ad quotes for the asset sale marketing effort.	0.20
JER		Format and send potential buyer contact list and draft e-mail to L. Lakeberg and S. Victor with details of the file in order to track our sale process and any non-disclosure agreement distributed and signed.	0.30
SLV		Review e-mails with Sandy Rosen regarding marketing material.	0.20
SLV		Telephone call with Michael Mark regarding possible alternative Dropbox.	0.20
SLV		Telephone call with James Romey regarding sale process.	0.40
08/22/2018	DJS	Review communications to/from/with Steve Victor and Michael Grumbine regarding potential buyers.	0.10
	JER	Update data room permissions settings for external user tracking capabilities, and discussions with L. Lakeberg regarding same.	0.30
	JER	Telephone call with S. Victor to discuss advertising pricing to market the asset sale.	0.10
	SLV	Work with James Romey on Dropbox and alternative diligence rooms.	0.10
08/23/2018	DJS	Communications to/from/with James Romey regarding addition of potential additional purchasers to list.	0.10
	JER	Populate potential bidder list with additional contact names provided by D. Stermer and send to L. Lakeberg for contact tracking.	0.20
08/24/2018	JER	E-mails with S. Victor regarding the potential buyer contact list in preparation for his meeting today.	0.10
08/25/2018	DJS	Teleconference with Steve Victor regarding hearing at court and issues related to same.	0.20
	SLV	Telephone call with Dan Stermer regarding outcome of hearing.	0.20
09/04/2018	DJS	Review latest draft of teaser with BakerHostetler comments and prepare/revise same and prepare/send same to Steve Victor and Sandy Rosen for review.	0.60
	JER	Review e-mails from S. Victor and M. VanNiel regarding the outcome of the recent hearing on the sale process.	0.10
	SLV	Review e-mail from Dan Stermer of direct form of teaser.	0.10
09/07/2018	SLV	Telephone call with Sandy Rosen regarding status of negotiations between Wesley and object to creditors on sale.	0.30
09/11/2018	JER	E-mails with A. Levin regarding the advertising quote rates and progress on our end related.	0.10
09/14/2018	SLV	Continue research and update for the sale process of 3si technology and assets.	1.00
09/15/2018	SLV	Review e-mail from Dan Stermer with comments to teaser.	0.20

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			HOURS
09/17/2018	DJS	Review communication from Steve Victor regarding latest sale-related issues.	0.10
	JER	Review of e-mail from S. Victor with new proposed sale date of November 15, 2018.	0.10
	SLV	Review updated quote request for advertising in the New York Times and Wall Street Journal.	0.20
09/18/2018	DJS	Teleconference with Steve Victor and Sandy Rosen regarding court hearings and next steps regarding sale-related issues.	0.20
	SLV	Review e-mail from Mike Grumbine regarding status of sale.	0.10
	SLV	Telephone conference call with Sandy Rosen and Dan Stermer regarding sale process.	0.20
09/20/2018	SLV	Continue work on logistics of buyer's diligence room.	1.00
	SLV	Telephone call with Alexis Beachdell regarding order required by 3si to be filed today and plans on draft of ad to be published.	0.30
09/21/2018	SLV	Continue marketing hub system.	2.00
09/24/2018	SLV	Continue to work on non-disclosure agreement and disclosure for sale process.	0.90
	SLV	Continue to work on the draft ad for 3si.	1.00
09/25/2018	DJS	Review latest version of teaser forwarded by Steve Victor and prepare/send follow-up comments/edits related to same.	0.40
	DJS	Review of the non-disclosure agreement/disclaimer and prepare/send comments to Steve Victor regarding same.	0.40
	DJS	Review draft ad received from Steve Victor and prepare/send comments for same.	0.30
	DJS	Review the Sharefile created for DataRoom and prepare/send follow up to Lauren Lakeberg regarding same.	0.30
	SLV	Continue work on teaser.	0.30
	SLV	Telephone call and e-mail with Mike VanNiel regarding comments to documents for diligence room.	0.30
	SLV	Review e-mails from Dan Stermer (5) regarding sale process and documents with comments.	0.70
09/26/2018	SLV	Prepare and submit press release for 3si, work with Business Wire to assure maximum visibility.	1.20
09/27/2018	SLV	Work with Bill G. Brandt to move equipment and hardware into our diligence room.	3.00
	SLV	Review e-mail from Sandy Rosen and Alexis Beachdell regarding comments to teaser disclaimer and draft of sale ad.	0.90
	SLV	E-mails with Matt Goldman regarding sales and time of closing.	0.20
	WGB	Prepare assets for sale due diligence.	3.00
09/28/2018	SLV	Telephone call and e-mail with Peter Egloff regarding proofs for ad for 3si.	0.30
	SLV	Continue to prepare draft press release.	1.00
10/01/2018	DJS	Review various communications/documents from Steve	

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		HOURS
	Victor related to sale-related issues and prepare/send follow up regarding same.	0.20
DJS	Additional communications to/from/with Steve Victor and Sandy Rose regarding sale-related documents, ad, teaser, and related documents.	0.20
DJS	Additional communications and review of draft teaser/non-disclosure agreement/ad and follow up to/from/with Sandy Rosen/Steve Victor regarding same.	0.20
DJS	Additional review/communications to/from/with Steve Victor of various sale-related documents.	0.20
JER	E-mails with S. Victor regarding the 3si branding options.	0.10
SLV	Continue to work on sale process and marketing.	7.30
SLV	Continue to work on press release and distribution set for same along with pulling logos.	1.10
SLV	Telephone calls with Sandy Rosen regarding his comments and changes to the ad.	0.20
SLV	Review e-mail from Peter Egloff of Miller Legal Services regarding revised proof of ad for the Wall Street Journal and New York Times.	0.30
SLV	Adjust ads and resubmit to Miller Legal Services and review revised proof before they are advertised and also seek approval of Sandy Rosen and secured lenders for same.	0.40
SLV	Telephone call and e-mail with Sandy Rosen regarding adjustments to disclaimer and non-disclosure agreement, follow up with a call with Dan Stermer.	1.30
10/02/2018	DJS Review latest draft of release/teaser/non-disclosure agreement based upon most recent comments/edits and issues related to same.	0.20
	DJS Review latest communication related to sale/advertising-related documents and issues related to same.	0.10
	DJS Further review of the Dropbox site and upload documents as necessary and review documents for sale/advertising-related uses; prepare/send follow up to Steve Victor and Sandy Rosen regarding same.	0.30
	DJS Communications to/from/with Lauren Lakeberg regarding the Sharefile and review same as relates to sale-related issues.	0.40
	WGB E-mails with S. Victor regarding contact and formatting for placement of a sale notice in the DailyDac.	0.10
	JER Review the e-mails from D. Stermer and S. Victor regarding the non-disclosure agreements and the disclaimer language.	0.10
	SLV Prepare press release issued through Business Wire, including numerous conversations with Business Wire regarding distribution content and other questions that will help make the press release more attractive for potential buyers or people with interest.	1.50
	SLV Telephone call and e-mail with Cristina Nolan of Financial Poise regarding DailyDAC advertising logistics.	0.30
10/03/2018	SLV Review e-mail from Dan Stermer regarding drafts of narrative for e-mail introduction of assets to be sold.	0.20
	SLV Telephone call and e-mail with Cristina Nolan	

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			HOURS
	SLV	regarding DailyDAC ads.	0.20
	SLV	Telephone calls and e-mails with Peter Egloff regarding publication affidavits.	0.10
10/04/2018	DJS	Review communication sent by Steve Victor to interested parties related to the sale of assets.	0.10
	YZ	Meeting with James Romey to discuss the interested party contact list research project (0.1); conduct background research regarding the additional contacts (3.0).	3.10
	JER	Conference call with S. Victor and L. Lakeberg regarding the interested party list.	0.10
	JER	Meeting with Y. Zhang to discuss the interested party contact list research project.	0.10
	SLV	Review e-mail from Michelle Schechter of Financial Posie with a copy of the notice of the DailyDAC ad.	0.20
	SLV	Continuing marketing efforts and efforts to reach out to potential interested parties.	2.40
10/05/2018	YZ	Conduct background research on potential interested parties.	1.90
	YZ	E-mail to potential interested parties summary report to James Romey for review.	0.10
	JER	Review of the updated interested party contact list sent by Y. Zhang.	0.20
	SLV	Continue calls and e-mails regarding assets.	2.00
10/08/2018	JER	Telephone call with L. Lakeberg to discuss the potential interested parties list.	0.20
	SLV	Review e-mail from Soft Solution Limited regarding press release on 3si.	0.20
	SLV	Review social media NUVI monitoring report for press release on 3si.	0.20
10/09/2018	SLV	Telephone calls and e-mails to potential interested parties.	0.40
10/10/2018	JER	Telephone call with S. Victor to discuss the interested parties and the sale process.	0.20
	JER	E-mails with Y. Zhang regarding the interested party list and access to the Capital IQ database.	0.10
	YZ	Telephone call with Lauren Lakeberg regarding undeliverable e-mails project (0.3), work on same. (3.6)	3.90
10/11/2018	SLV	Work on sale contact for 3si HUB assets.	2.00
10/12/2018	SLV	Continue to work on contacting potential interested parties.	1.20
10/16/2018	DJS	Prepare/send communication to Osmel Delgado/Cleveland Clinic related to the estate sale-related issues and the potential interest.	0.20
	SLV	Discussions with Dan Stermer regarding interest in 3si's hospital operating room voice command system technology and his reach out to a new hospital in Weston, FL.	0.20
10/24/2018	SLV	Telephone calls to potential interested parties.	0.90
10/26/2018	DJS	Teleconference with Steve Victor related to sale process and status of same.	0.20

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		HOURS	
DJS	Research/prepare/send communications to potential parties of interest related to the upcoming auction of 3si Hospital Operating Room Voice Command System Technology and teleconferences with Steve Victor regarding same.	2.80	
SLV	Continue effort to sell assets.	1.10	
SLV	Telephone calls with James Romey and Dan Stermer regarding efforts to sell 3si assets and ask them to also reach out to potential interested buyer list.	0.30	
SLV	Telephone calls with potential buyers.	0.30	
SLV	Telephone call with James Romey regarding sales calls he's made on 3si list of potential interested parties.	0.30	
SLV	Telephone call with Mike Grumbine regarding his possible interest in further reach out to others who might be interested in the assets.	0.40	
SLV	Telephone call to Dr. Zanotti regarding his possible interest in the 3si assets.	0.10	
SLV	Telephone call with Alexis Beachdell of BakerHostetler regarding 3si sales effort.	0.30	
SLV	Telephone call with Sandy Rosen regarding 3si, sale effort and update.	0.20	
JER	Telephone calls reaching out to numerous potentially interested parties in the 3si asset sale and drafting call logs with details of each call made.	2.50	
JER	Telephone calls (x2) with S. Victor to discuss contacting the potential interested parties regarding the 3si asset sale.	0.20	
10/27/2018	SLV	Review e-mail from Mike VanNiel regarding an e-mail he received from Mike Shaut to discuss a conceptual proposal.	0.20
10/29/2018	DJS	Follow-up communications to/from/with Steve Victor regarding responses to sale effort reach out and follow up related thereto.	0.20
	DJS	Review response received from sale effort communication and prepare/send response to same.	0.10
	SLV	Telephone calls with interested parties on 3si assets.	1.00
	SLV	Telephone call with Mike Shaut regarding his possible in 3si assets and background.	1.00
	SLV	Receive message that Dr. Zanotti is not interested in assets.	0.10
10/31/2018	SLV	Telephone call to Brett Griffin regarding interest in assets.	0.30
11/02/2018	SLV	Telephone calls and e-mails with perspective purchasers and people who may be interested in 3si hub assets.	2.20
	SLV	Continue to e-mail and telephone calls teaser to potential parties or industries who have interest in the 3si hub.	0.60
	SLV	Telephone call with Brett Giffin, former CEO of 3si, regarding interest in the hub.	0.40
11/05/2018	DJS	Teleconference with Steve Victor related to status of the sale process and issues related to same.	0.20
11/06/2018	DJS	Additional communications related to the sale process.	0.20

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			HOURS
	SLV	Begin compiling information for upcoming sale and auction, including all of the efforts the receiver has made to conduct a sale and advertise.	1.30
11/07/2018	SLV	Telephone calls and e-mails with Cristina Nolan the 3si invoice and proof for my preparation for auction next week.	0.30
	SLV	Review e-mails with Mike VanNiel regarding a summary of the effort the receiver has done to market the 3si assets for sale.	1.00
11/08/2018	DJS	Review the updated communication from Steve Victor relative to status of sale efforts and related issues.	0.20
	DJS	Teleconference with Steve Victor related to the status of sale efforts and upcoming auction/hearing related to same.	0.30
	SLV	Telephone call with Dan Stermer regarding update on sale process.	0.30
	SLV	Continue calls and e-mails for interested buyers.	2.00
11/09/2018	SLV	Telephone call with Sandy Rosen regarding his thoughts on fees going forward and in anticipation of upcoming hearing and possible questions from the court.	0.30
11/12/2018	SLV	Telephone call with Sandy Rosen regarding the upcoming receiver's auction.	0.20
	SLV	Telephone calls with Sandy Rosen and Mike VanNiel regarding the passage of the due date for qualifying bids.	0.30
	SLV	Telephone call with Sandy Rosen regarding preparation for upcoming sale.	0.20
	SLV	E-mail to Sandy Rosen regarding Mike Shaut as a possible bidder and sending wire deposit.	0.10
	SLV	Telephone call to Sandy Rosen regarding preparation for our upcoming sale of 3si.	0.10
11/13/2018	DJS	Review bid package forwarded by Steve Victor as received from Mike Shaut.	0.30
	SLV	Telephone calls with Mike Shaut (4) regarding interest in submitting a non-complying late bid, although he did not know that was also deficient due to the submission date; also discuss form of offer and process.	0.80
	SLV	Telephone calls with Mike VanNiel (3) regarding updates on offer I am told will be coming from Mike Shaut.	0.30
	SLV	Telephone calls and e-mails with Bill Brandt and Sandy Rosen regarding offer from REM.	0.40
	SLV	Work with Chris DePaul regarding account information for REM deposit; telephone call and e-mail with Mike Shaut regarding same and later confirming wire.	0.30
	SLV	Telephone calls with Dan Stermer regarding status of sale and offer from the Mike Shaut group and preparation for sale and hearing.	0.70
	SLV	Telephone calls with Michael Shaut and discussions with Chris DePaul regarding deposit account for wire for late non-confirming offer.	0.40
	SLV	Review e-mail from Michael Shaut regarding wire for deposit was received; confirm and indicate I am reviewing with receiver and receiver's counsel, and	

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			HOURS
	SLV	will forward secured lender for comment.	0.40
	SLV	Telephone call with Dan Stermer regarding non-confirming bid from Michael Shaut.	0.30
11/14/2018	DJS	Teleconference with Steve Victor related to sale-related issues and prepare/send follow up related to same.	0.20
	DJS	Review communication from BakerHostetler related to REM proposal and teleconference with Steve Victor in regard to same.	0.20
	DJS	Prepare/send follow-up communication to Mike Shaut providing correspondence from BakerHostetler and further teleconference with Steve Victor related to same.	0.20
	SLV	Telephone call with Dan Stermer regarding status of sale and offer from the Mike Shaut group and preparation for sale and hearing.	0.20
	SLV	Prepare for upcoming auction of the 3si hub assets.	0.20
	SLV	Telephone call and e-mail with Mike VanNiel regarding the Rhemandat medical offer.	1.50
	SLV	Telephone call with Dan Stermer regarding the secured lender's thoughts on non-qualifying bid, ask Dan to prepare transmittal letter of secured lender back to Mike Shaut.	0.40
	SLV	Telephone call with Dan Stermer regarding the secured lender's thoughts on non-qualifying bid, ask Dan to prepare transmittal letter of secured lender back to Mike Shaut.	0.20
11/15/2018	SLV	Meeting with Sandy Rosen regarding auction logistics.	0.50
	SLV	Conduct auction for the 3si assets.	0.70
	SLV	Telephone call with Mike Shaut regarding results of auction.	0.10
	SLV	Telephone call with Dan Stermer regarding auction.	0.20
	SLV	Meeting with James Romey regarding auction sale and REM bid.	0.20
	SLV	Telephone call to Mike Shaut regarding wire instructions to return deposit.	0.10
	SLV	Review e-mail from Mike VanNiel regarding draft report of sale.	0.30
	SLV	Review files and prepare for meeting with Sandy Rosen and auction for 3si assets.	1.80
11/16/2018	SLV	Prepare for upcoming hearing regarding status of auction held yesterday.	1.00
	SLV	Telephone call with Dan Stermer regarding status of hearing on auction.	0.70
11/21/2018	SLV	Review order granting the motion of the receiver to conduct the sale of the 3si hub assets free and clear of all liens, claims, interests and other encumbrances as filed on November 20, 2018.	0.70
	SLV	E-mail to Mike Shaut regarding return of deposits.	0.10
11/27/2018	SLV	E-mail to Mike Shaut given I've not seen any instructions for the return of the deposit.	0.10
11/28/2018	SLV	Continue to work on analysis of fees outstanding and sources and uses of sale.	0.90
12/03/2018	SLV	Telephone calls and e-mails with Michael Shaut regarding instructions for a return of earnest money deposit.	0.10
	SLV	Discussions with Chris DePaul and confirmation with Michael Shaut and Yale Bogen regarding earnest money	

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			HOURS
		return.	0.20
	SLV	Telephone calls and e-mails with Michael Shaut and Nadine Martin confirming that they did receive the \$250,000 deposit back.	0.20
12/07/2018	SLV	Telephone calls and e-mails with Mike VanNiel (2) regarding 3si equipment removal and questions about deposit from the other buyer and return of same.	0.30
12/11/2018	SLV	Telephone call with Mike VanNiel regarding removal of purchased assets.	0.20
	SLV	Telephone call with Mike VanNiel regarding closing and timing and logistics.	0.10
	SLV	Telephone call with Mike VanNiel removing the equipment purchased by his client and notifying Sophia Augier of some logistics.	0.20
12/12/2018	SLV	Telephone call with Mike VanNiel regarding removal of assets purchased by Wesley.	0.20
	SLV	Telephone call with Mike VanNiel regarding referrals for freight companies to remove the assets to purchase staff.	0.10
	SLV	Telephone call and e-mail and prepare descriptions and pictures of items that need to be moved to the Wesley Group.	0.50
12/17/2018	SLV	Telephone call with Sandy Rosen and review of transcript from sale.	0.10
	SLV	Telephone call and e-mail with Sophia Augier regarding logistics and planning for movers to get into 70 West Madison, Chicago, IL, to move the 3si equipment that was purchased at the sale.	0.40
	SLV	Telephone call with Sophia Augier from the Wesley Group confirming the movers will be at DSI on December 23, 2018.	0.20
	SLV	Telephone call with Sandy Rosen regarding transcript of sale and calculation of funds to be wired when we close after the holidays.	0.10
	SLV	Review files, document, orders, invoices, and notes from Goodwin Proctor regarding funds required for closing with the Wesley Group.	1.00
12/19/2018	SLV	Work with building and movers to have the assets purchased by Wesley moved through approved freight forwarders to the Wesley Holding site.	2.60
12/21/2018	SLV	Review draft bill of sale and notice of sale and closing; telephone call with Bill Brandt regarding same.	0.40
	SLV	Review e-mail including receiver bill of sale and draft notice of sale; telephone call with Sandy Rosen and Bill Brandt regarding same.	0.50
12/26/2018	DJS	Review communication from Michael VanNiel related to effectuating sale and related issues and the next steps in matter.	0.10
	DJS	Teleconference with Steve Victor regarding Michael VanNiel communication and issues related to same.	0.10
	DJS	Additional teleconference with Steve Victor regarding the bill of sale and notice of sale, review same, and prepare/send follow up to Sandy Rosen related to same.	0.30

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			HOURS
	SLV	Telephone call with Sandy Rosen regarding review of bill of sale and documents for closing.	0.20
	SLV	Review wire for closing funds from Wesley Holdings.	0.20
	SLV	Telephone calls with Sandy Rosen and Mike VanNiel regarding closing documents on Wesley's purchase of intellectual property.	0.70
	SLV	E-mails to Sandy Rosen, Mike VanNiel and discussion with Bill Brandt regarding executed bill of sale for the sale of patents to Wesley Holdings.	0.60
12/28/2018	DJS	Review communications to/from/with Michael VanNiel and Sandy Rosen related to closing-related issues and follow up to same.	0.20
	SLV	Review e-mail from Mike VanNiel with fully executed bill of sale.	0.20
01/03/2019	SLV	Review e-mail from Sophia Augier regarding confirmation that Wesley Holdings received assets and that I would overnight the flash drive.	0.10
01/16/2019	SLV	Review e-mail from Martin Gomez and telephone call with Dan Stermer regarding the Wesley Holdings and plans to transition patent work.	0.20
02/13/2019	DJS	Teleconference with Steve Victor regarding status of the matter and potential next steps regarding same.	0.10
04/09/2019	SLV	Review e-mail from Mike VanNiel regarding his update as to intellectual property and assignments of patents.	0.10
04/10/2019	SLV	Review of patent assignments and execution, forward same to Mike VanNiel. Sale of Assets	0.20
			240.40
			115,636.00
01/17/2018	DJS	Review communication forwarded by Sandy Rosen and Wagner Chapter 7 filing.	0.30
	SLV	Telephone call with Sandy Rosen regarding update as to how to move this case along.	0.20
01/18/2018	DJS	Review the Wagner bankruptcy filing as forwarded by Sandy Rosen.	0.30
03/19/2018	SLV	Telephone call with Bill Brandt and Sandy Rosen regarding status of case and direction of receivership.	0.30
04/20/2018	DJS	Review communication received from Receiver Brandt forwarding communication from David Wagner, trustee, and follow up regarding same.	0.20
	SLV	Review e-mail from Bill Brandt regarding e-mail he received from the Chapter 7 trustee.	0.10
	SLV	Review e-mail from Bill Brandt to Ms. Farera indicating he has limited time coming up for a call and to coordinate with Sandy Rosen, Dan Stermer and myself.	0.20
04/22/2018	SLV	Review e-mail from Bill Brandt indicating that he wants the BakerHostetler team to know that the trustee has reached out to the Receiver.	0.10
04/24/2018	DJS	Various communications related to setting up	

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			HOURS
		conference call with Wagner Trustee and issues related to same.	0.20
	DJS	Review communication from Michael VanNiel regarding discussions had with Wagner Trustee and potential follow up regarding same.	0.10
	SLV	Review e-mails from Dan Stermer regarding call with the trustee.	0.10
	SLV	Review e-mail from Mike VanNiel regarding his conversation with the trustee and update.	0.10
04/25/2018	DJS	Teleconference with Receiver Brandt, Steve Victor, Sandy Rosen, and Wager Trustee/Counsel regarding matter status and issues related to same.	0.60
05/04/2018	DJS	Review communication from Peter Furness/counsel for Wagner Trustee and follow up regarding same.	0.30
	SLV	Telephone call with Sandy Rosen and e-mail from Mike VanNiel regarding plans to setup conference call for next week prior to status conference.	0.20
	SLV	Review e-mail from Peter Furness to Bill Brandt regarding Chapter 7 trustee and counsel.	0.10
07/16/2018	DJS	Attend conference call with Receiver Brandt, Steve Victor, Sandy Rosen, BakerHostetler attorney, and Wagner Trustee/Counsel regarding status of matter and upcoming sale-related and patent-related issues.	0.60
	SLV	Conference call with Wagner Trustee, Bill Brandt, Dan Stermer, Sandy Rosen and the BakerHostetler team, including a call to Mike VanNiel and Bill Brandt in preparation for the call.	0.60
	SLV	Preparation for conference call with Wagner Trustee, Bill Brandt, Sandy Rosen, Dan Stermer, and the BakerHostetler team, including a call to Mike VanNiel and Bill Brandt in preparation for call.	1.10
07/24/2018	DJS	Review communication forwarded by Sandy Rosen from Peter Furness/counsel for Trustee and issues raised therein and follow up regarding same.	0.30
07/25/2018	DJS	Teleconference with Sandy Rosen, Steve Victor, Receiver Brandt, Matt Goldman and Michael VanNiel regard Wagner bankruptcy issues and communications from trustee's attorney and issues related to same.	0.30
07/27/2018	DJS	Review communication from Sandy Rosen to Peter Furness/counsel for Wagner Trustee and attached documents.	0.20
08/09/2018	SLV	Review e-mail from Sandy Rosen as forwarded from counsel regarding Wagner assignments as raised by attorney.	0.20
11/15/2018	SLV	Meeting with Bill Brandt and Matt Goldman regarding auction and the 3si case and next steps.	0.50
	SLV	Meeting with Sandy Rosen, Matt Goldman and Mike VanNiel regarding next steps in the case and closing considerations.	0.50
01/07/2019	SLV	Conference call with Mike VanNiel and Sandy Rosen regarding need to wind down case.	0.20
	SLV	Telephone call with Mike VanNiel regarding need to move the case along; telephone call to Sandy Rosen	

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		HOURS
	regarding same.	0.20
	SLV Conference call with Mike VanNiel and Sandy Rosen regarding our plans to wind down the case.	0.60
01/15/2019	SLV Telephone call with Mike VanNiel regarding the need to wind down the case.	0.10
01/22/2019	SLV Telephone call with Sandy Rosen regarding the need to speak with Mike VanNiel regarding closing of the case.	0.20
02/01/2019	SLV Review e-mail from Wix.com regarding internet and send same to Wagner's support staff so they can change if they chose to do so.	0.10
02/05/2019	SLV Telephone call with Alexis Beachdell regarding status of upcoming call with the trustee and counsel.	0.20
02/08/2019	SLV Telephone call with Alex Beachdell, Mike VanNiel, Sandy Rosen, Peter Furness and Stacy Ferrera regarding closing receivership and efforts to coordinate with Stacy as trustee for Wagner. SLV Telephone call with Dan Stermer to update him on the call with the trustee and direction of closing down the receivership. SLV Telephone call with Alexis Beachdell regarding my interpretation of our earlier call and concern. SLV Conference call Alexis Beachdell, Stacy Ferrera, Mike VanNiel, Sandy Rosen and Peter Furness regarding possible direction to close the receivership and move assets to Chapter 7 bankruptcy trustee. SLV Follow-up telephone call with Alexis Beachdell regarding our discussion with the Chapter 7 trustee for Wagner. SLV Telephone call with Sandy Rosen to follow up on our discussions with the Chapter 7 trustee and BakerHostetler.	0.50 0.20 0.20 0.50 0.20 0.20
02/12/2019	SLV Telephone call to BakerHostetler regarding status of stipulation.	0.10
02/13/2019	SLV Telephone call to Alexis Beachdell regarding status of pleadings and stipulation.	0.20
02/18/2019	SLV Telephone call from Alexis Beachdell regarding status of joint stipulation for a receivership.	0.10
02/28/2019	SLV Telephone call to Sandy Rosen regarding status of stipulation. SLV Telephone call with Alexis Beachdell regarding status of stipulation.	0.10 0.20
03/04/2019	SLV Telephone call with Alexis Beachdell regarding status of pleadings.	0.20
03/14/2019	SLV Telephone call with Sandy Rosen regarding status of pleading.	0.10
03/19/2019	SLV Telephone call and e-mail with Mike VanNiel regarding his efforts to reach Peter Furness and	

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			HOURS
		Stacy Ferrara, the trustees in the Wagner cases.	0.10
03/20/2019	SLV	Telephone call to Mike VanNiel regarding status of case and need to coordinate with trustee in Rhode Island.	0.20
03/21/2019	SLV	Telephone call with Dan Stermer regarding status of case.	0.10
03/27/2019	SLV	Telephone call to Peter Furness regarding 3si.	0.10
03/28/2019	SLV	Telephone call to Stacy Ferrera regarding status of the decision on direction of the bankruptcy case.	0.10
04/01/2019	SLV	Telephone call with Dan Stermer regarding the status of wind down.	0.10
	SLV	Telephone call with Mike VanNiel regarding the wind down of the case.	0.10
04/08/2019	SLV	Telephone call and e-mail with Mike VanNiel regarding update and status of wind down.	0.20
04/10/2019	SLV	Telephone call with Mike VanNiel regarding status and direction of case.	0.20
04/15/2019	SLV	Telephone call with Sandy Rosen regarding the update and status of case.	0.30
04/17/2019	SLV	Telephone call with Sandy Rosen regarding status of case and direction.	0.30
04/18/2019	SLV	Telephone call with Sandy Rosen regarding the status and wind down of the receivership.	0.20
04/22/2019	SLV	Review e-mail from Alexis Beachdell regarding e-mails from Stacy Ferrera regarding the wind up of our receivership issues we raised with her.	0.10
04/25/2019	SLV	Telephone calls with Sandy Rosen (2) regarding status of wind down of case.	0.20
	SLV	Telephone call with Alexis Beachdell regarding the wind of the case.	0.20
	SLV	Telephone call and e-mail with Bill Brandt regarding options and wind down of the case.	0.20
	SLV	Telephone call with Sandy Rosen regarding the further updates and direction to close case.	0.30
04/29/2019	SLV	Telephone call with Mike VanNiel regarding the wind up of the case.	0.20
06/05/2019	SLV	Telephone call to Baker Hostetler regarding status of case.	0.10
06/06/2019	SLV	Telephone call with Sandy Rosen regarding status of case.	0.10
06/28/2019	SLV	Telephone call with Sandy Rosen regarding update.	0.10
07/29/2019	SLV	Telephone call and e-mail with Sandy Rosen regarding status of case.	0.10
08/28/2019	SLV	Telephone call with Sandy Rosen and Mike VanNeil	

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			HOURS	
		regarding status of case.	0.20	
	SLV	Telephone call with Mike VanNeil regarding status of case.	0.10	
		Wind Down Operations	16.00	9,517.50
09/06/2017	SLV	Review e-mail from Michael VanNiel regarding order appointing Bill Brandt as receiver.	0.60	
09/08/2017	SLV	Telephone calls with Sandy Rosen and Dan Stermer (2) regarding upcoming meeting in New York with Baker Hostetler, Mike Grumbine and Mike Schaut.	0.20	
09/11/2017	SLV	Meeting at Baker Hostetler with Matt Goldman, Mike Schaut, Mike Grumbine, Sandy Rosen, Amy Cho, Mike VanNiel and others regarding status of case, update and discussion of assets and receiverships.	2.90	
09/18/2017	DJS	Teleconferences with Steve Victor in advance of conference call with Baker & Hostetler attorneys/Sandy Rosen regarding status of matter, review communications from Mr. Jesser and attachments thereto, teleconference with Bill Brandt, Steve Victor, Matthew Goldman, Michael VanNiel, Sandy Rosen, and Alice Ko.	1.70	
09/28/2017	DJS	Teleconference with Steve Victor regarding next steps and prepare/send communications to Sandy Rosen/Alice Ko regarding next steps and further discussions with Baker & Hostetler team regarding same.	0.50	
10/02/2017	DJS	Teleconference with Steve Victor regarding status of matter and prepare/send communication to BakerHostetler attorneys regarding the next steps in the matter and marshaling of assets.	0.30	
	DJS	Additional communications to/from/with Sandy Rosen regarding receipt of initial funds from BakerHostetler and request same be sent to Steve Victor.	0.10	
	SLV	Telephone conference call with Dan Stermer and Mike VanNiel regarding patent, sale, marketing material and update.	0.70	
10/27/2017	SLV	Conference call Mike VanNiel and Dan Stermer regarding latest updates from Mike Grumbine and direction of case.	0.30	
11/02/2017	SLV	Telephone conference call with Dan Stermer and Mike VanNiel regarding equipment return, budget, patent and direction of case.	0.40	
11/06/2017	SLV	Review e-mail from Mike VanNiel regarding article related to David Wagner in the Hartford Business Journal.	0.10	
11/09/2017	SLV	Telephone conference call with Dan Stermer and Mike VanNiel regarding equipment return, budget, patent and direction of case.	0.50	
11/20/2017	SLV	Telephone calls with Dan Stermer (2) regarding budget and updates.	0.50	

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			HOURS
11/27/2017	DJS	Prepare/send communication to Michael VanNiel and Matthew Goldman regarding latest communications with Dr. Zenati and issues related to same.	0.10
12/05/2017	DJS	Review communication from Michael VanNiel regarding providing updated/amended budget, teleconference with Steve Victor, prepare/send follow up to Sandy Rosen requesting same, review response from Sandy Rosen, and prepare/send follow-up communication to Michael VanNiel with proposed amended/updated budget.	0.30
12/06/2017	DJS	Prepare/send communication to Michael VanNiel/Matthew Goldman regarding Pila-related issues.	0.10
12/12/2017	SLV	Telephone conference call with Sandy Rosen and Dan Stermer regarding Sandy's conversation with BakerHostetler for secured lender and open issues, including depositions that will be required in the near future.	0.50
01/02/2018	DJS	Review communication received from Michael VanNiel regarding the next steps.	0.30
	SLV	Review e-mail from Mike VanNiel regarding approved budget and agenda for a call to be set up.	0.20
01/05/2018	SLV	Telephone conference call with Dan Stermer, Sandy Rosen, Mike VanNiel, Keith Murphy and others regarding status of case, information request from Steve Jesser and directions about where we go from here.	0.70
01/12/2018	SLV	Review e-mail from Michael VanNiel regarding budget and funding.	0.10
01/15/2018	DJS	Teleconferences with Steve Victor (3) regarding status of matter and preparation of second amended budget and prepare/send same to Michael VanNiel.	2.30
01/19/2018	DJS	Prepare/send follow-up communication to Michael VanNiel regarding patent-related issues.	0.20
	SLV	Review e-mail from Mike VanNiel regarding direction of the sale process.	0.10
01/22/2018	DJS	Communications to/from/with Steve Victor/Sandy Rosen/Michael VanNiel regarding conference call and teleconference with Steve Victor regarding same.	0.20
	SLV	Discussions with Dan Stermer and e-mails with Sandy Rosen regarding funding of budget.	0.20
01/23/2018	DJS	Review follow-up communications from Michael VanNiel in advance of upcoming conference call as it relates to budget and funding.	0.20
02/09/2018	DJS	Teleconference with Steve Victor regarding status of budget and follow up with Michael VanNiel regarding same.	0.20
02/12/2018	DJS	Teleconference with Steve Victor and Sandy Rosen regarding filing of fee application, issues related to budget, issues related to patent, and follow up	

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			HOURS
	SLV	with BakerHostetler attorneys.	0.20
	SLV	Telephone calls with Dan Stermer (5) regarding budget and billing issues.	0.90
02/15/2018	SLV	Telephone call with Michael VanNiel regarding status of case and funding.	0.20
02/16/2018	SLV	Telephone call with Mike VanNiel regarding status of case.	0.10
02/21/2018	DJS	Teleconference with follow-up communications with Steve Victor regarding discussions had with BakerHostetler and status update.	0.10
	SLV	Telephone call with Mike VanNiel regarding sales, open items, budget and information from Lockton.	0.20
03/07/2018	DJS	Teleconferences with Steve Victor regarding status of matter and next steps and efforts to call Michael VanNiel regarding same.	0.20
03/12/2018	SLV	Telephone call with Mike VanNiel regarding update on status of case, follow-up call with Dan Stermer regarding same.	0.30
03/15/2018	DJS	Revise and finalize the proposed revised second amended budget per discussions with Steve Victor; prepare/send communications to Michael VanNiel/Matthew Goldman regarding same.	1.20
03/16/2018	DJS	Review communication from Michael VanNiel regarding status of the budget and sale-related issues.	0.20
	SLV	Review e-mails from Sandy Rosen and Dan Stermer regarding loan to date from Wesley.	0.10
	SLV	Review e-mail from Mike VanNiel regarding their calculations and schedule.	0.10
03/19/2018	SLV	Review e-mail from Dan Stermer with attachments for Sandy Rosen regarding amended 9-month projected budget and 2018 notice of proposed modified budget.	0.10
	SLV	Review e-mail from Alexis Beachdell regarding minor comments to offer for receiver second status report.	0.10
	SLV	Review e-mail from Sandy Rosen accepting changes from BakerHostetler on second status report.	0.10
04/04/2018	SLV	Review e-mail from Sandy Rosen to Mike VanNiel regarding papers and transcripts and issues related to documents the receiver wants to file with the court.	0.20
04/11/2018	SLV	Review e-mail from Alexis Beachdell from BakerHostetler regarding update and language and the request of the court can be in a conference to review status of receivership.	0.10
04/12/2018	DJS	Review follow-up communication from Michael VanNiel to Sandy Rosen's latest draft letter to Judge Failla.	0.10
	SLV	Review e-mail from Mike VanNiel with suggested changes to the latest version of Sandy Rosen's letter for the court.	0.10
05/08/2018	DJS	Teleconference with Receiver Brandt/Steve	

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		HOURS
	Victor/Sandy Rosen/Michael VanNiel/Matthew Goldman regarding upcoming status hearing before Court scheduled for May 8, 2018.	0.80
	SLV Review latest budget that hasn't been funded in total.	0.20
	SLV Conference call with Dan Stermer, Mike VanNiel, Matt Goldman, Bill Brandt and Sandy Rosen regarding upcoming status conference.	0.80
05/09/2018	SLV Forward cost from Glen Mores of MicroData to Sandy Rosen, Bill Brandt, Dan Stermer, Mike VanNiel and Matt Goldman.	0.20
	SLV Review e-mails from Mike VanNiel requesting a new 3-month budget.	0.10
	SLV E-mails with Michael VanNiel regarding updating of budget with new expenses.	0.30
05/17/2018	SLV Review e-mail from Dan Stermer regarding update draft budget, comments and return.	0.30
05/18/2018	DJS Update and finalize updated budget, teleconference and communications with Steve Victor, and prepare/send same to Michael VanNiel and Matthew Goldman.	1.20
	SLV Review e-mail, updates, notes and assumptions for proposed revised second amended 12-month budget.	0.30
05/23/2018	DJS Prepare for and participate in conference call with Steve Victor, Sandy Rosen and Michael VanNiel regarding patent/Grumbine/budget/sale-related issues.	1.50
	SLV Review e-mail from Mike VanNiel regarding Goodwin Proctor and questions regarding patents.	0.10
	SLV Telephone conference call with Bill Brandt, Matt Goldman, Mike VanNiel, Sandy Rosen and Dan Stermer regarding the sale process, update and court issues.	0.60
05/29/2018	SLV Telephone call with Mike VanNiel regarding his call with Michael Grumbine.	0.20
06/01/2018	SLV Telephone call with Dan Stermer regarding update on funding and budget.	0.10
	SLV Review e-mail from Dan Stermer to Mike VanNiel regarding updated proposed revised second amended budget.	0.20
	DJS Telephone call with Steve Victor regarding funding and budget.	0.10
06/04/2018	DJS Review balance-related issues and prepare/send communication to Michael VanNiel regarding same; review follow up from Michael VanNiel and prepare/send further follow up regarding same.	0.20
	SLV Review e-mail from Dan Stermer to Mike VanNiel regarding updated proposed revised second amended budget.	0.10
06/07/2018	DJS Teleconference with Michael VanNiel and Steve Victor regarding budget and funding-related issues.	0.30
	SLV Telephone calls with Dan Stermer (3) regarding funding, diligence and Michael Grumbine issues.	0.30
	SLV Telephone call with Mike VanNiel of BakerHostetler regarding update and status of funding for	

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			HOURS
		diligence, also discuss patents.	0.10
06/14/2018	DJS	Prepare/send follow up to Michael VanNiel regarding status of funding.	0.10
06/15/2018	DJS	Teleconference with Michael VanNiel regarding status of funding and issues related to status of matter.	0.20
06/20/2018	SLV	E-mail to Mike VanNiel regarding the fact that the company has no server.	0.10
06/21/2018	SLV	Telephone call with Mike VanNiel regarding update and status of funding for diligence, also discuss patents.	0.50
06/24/2018	DJS	Review of the proposed revised second amended 12-month budget to include in the latest Goodwin Proctor invoices/expenses and circulate same to BakerHostetler, Steve Victor, Sandy Rosen and Bill Brandt.	0.30
07/02/2018	DJS	Review latest budget-related issues and computations related to same.	0.20
	SLV	E-mail to Mike VanNiel of BakerHostetler regarding funding and intellectual property.	0.20
07/12/2018	DJS	Various communications to/from/with Sandy Rosen/Steve Victor/Bill Brandt regarding conference call with Joe Wesley and trustee/counsel and issues related to same.	0.20
07/20/2018	DJS	Teleconference with Michael VanNiel regarding budget-related issues and prepare/send wiring instructions.	0.10
07/25/2018	SLV	Conference call with Dan Stermer, Alexis Beachdell, Matt Goldman and Bill Brandt regarding sale.	0.30
07/31/2018	SLV	Telephone calls with Alexis Beachdell regarding status of funding and sale process.	0.30
08/01/2018	SLV	Telephone call with Alexis Beachdell from Hostetler regarding the sale process and schedule.	0.20
08/03/2018	SLV	Telephone call to Sandy Rosen regarding funding and sale.	0.10
08/07/2018	SLV	Telephone call with Mike VanNiel regarding funding and direction and timing of sale.	0.20
08/10/2018	DJS	Teleconference and communications with Steve Victor, Chris DePaul and Yale Bogen regarding incoming wire and issues related to same.	0.20
	SLV	Telephone calls with Sandy Rosen, Mike VanNiel and Dan Stermer regarding e-mail related for earlier landlord judgment.	0.50
08/24/2018	SLV	Meeting with Mike VanNiel, Erica Beachdell and Sandy Rosen to review status of case and prepare for upcoming hearing.	4.00
09/01/2018	SLV	Review e-mail from Mike VanNiel regarding short	

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			HOURS
		update on status to resolve issue with objecting creditors.	0.10
09/06/2018	SLV	Telephone call with Mike VanNiel regarding status of negotiations and court order.	0.20
09/20/2018	DJS	Review latest communications to/from/with Alexis Beachdell, Sandy Rosen, Bill Brandt regarding resolutions/notices and finalization of same.	0.20
09/25/2018	SLV	Telephone conference call with Sandy Rosen, Matt Goldman, Mike VanNiel and Alexis Beachdell regarding sale process, timing and open issues.	0.40
10/01/2018	SLV	Telephone call with Mike VanNiel regarding status of advertising process.	0.20
10/03/2018	SLV	E-mails with Mike VanNiel regarding copies of published ads.	0.20
10/04/2018	DJS	Review communication from Steve Victor to Michael VanNiel regarding funding request and issues related to same.	0.10
10/10/2018	SLV	Telephone call with Mike VanNiel regarding update on sale process.	0.30
10/16/2018	SLV	Telephone call with Mike VanNiel regarding update on sale process.	0.30
10/18/2018	SLV	Telephone call from Mike VanNiel regarding update and sale process.	0.20
10/25/2018	SLV	Telephone call with Mike VanNiel regarding update on sales effort.	0.40
10/31/2018	SLV	Telephone call to Mike VanNiel regarding sale process.	0.20
11/01/2018	SLV	Telephone call with Mike VanNiel regarding status of sale process.	0.30
11/08/2018	SLV	Telephone call with Mike VanNiel regarding sale update.	0.20
11/13/2018	SLV	E-mails with Matt Goldman regarding offer to be submitted by Michael Shaut.	0.20
11/14/2018	SLV	Telephone call with Mike VanNiel regarding secured lender's thoughts on non-qualifying bid.	0.20
11/15/2018	SLV	Meeting at Sandy Rosen's office with Matt Goldman and Mike VanNiel regarding auction and REM bid.	0.50
11/21/2018	SLV	Telephone call with Mike VanNiel regarding sale order and thoughts for talking about closing process.	0.30
12/18/2018	YSB	Review e-mail from Steve Victor to Michael Van Niel providing the details of the cash uses and discussing the remaining case needs.	0.10

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			HOURS
05/20/2019	SLV	Telephone call to Mike VanNiel regarding status of case.	0.10
07/09/2019	SLV	Telephone call with Mike VanNiel to see where the status of the case is. Secured Lenders/Cash Colttl.	0.20
10/31/2017	SLV	Review e-mail and voicemail from Taft Law Firm, forward same to Sandy Rosen.	0.20
12/04/2017	DJS	Review communication forwarded by Receiver Brandt regarding prospective creditor and issues related thereto.	0.10
12/05/2017	DJS	Review communication forwarded by Receiver Brandt regarding potential creditor and issues related thereto.	0.10
12/06/2017	DJS	Review follow-up communications to/from/with Steve Victor regarding Houff-related issues.	0.10
12/12/2017	SLV	Review e-mail from Louis Houff, one of the alleged defrauded individuals who had invested in Downing Healthcare, forward same to Sandy Rosen.	0.10
01/12/2018	DJS	Review follow up from Steve Victor related to Hickman e-mail and investor-related issues and follow up regarding same.	0.10
01/19/2018	DJS	Review communication received from Sergio Perez/Rio Grande Attorney and attached 6 Demand Letters, prepare/forward same to Sandy Rosen/Steve Victor/Receiver Brandt, and teleconference with Steve Victor regarding same.	0.40
01/23/2018	SLV	Telephone call with creditor regarding receivership.	0.10
02/28/2018	DJS	Review communication forwarded by Sandy Rosen from Steve Jesser with regard to Richard Buckingham and issues related to indemnification.	0.20
03/01/2018	DJS	Additional communications with regard to the Richard Buckingham indemnity issue and follow up.	0.20
	SLV	Review e-mail from Sandy Rosen of letter sent by Steven Jesser regarding Richard C. Buckingham.	0.20
04/02/2018	DJS	Review voicemail message from Davis Hayden's attorneys and forward same to Sandy Rosen for response.	0.10
	SLV	Review e-mail from Dan Stermer and voicemail from Davis Hayden regarding claims.	0.20
04/04/2018	SLV	Telephone call from attorneys regarding 3si.	0.10
04/16/2018	SLV	Review e-mail from Sandy Rosen regarding information request from an ex-employee.	0.10
04/17/2018	DJS	Teleconference with Rick Davis regarding the collection of amounts due to Priori Law and issues related to matter.	0.30
06/11/2018	SLV	Review e-mails from Dan Stermer regarding the Taft	
			<u>22,207.00</u>

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			HOURS
		invoice; telephone call to Taft to explain receivership.	0.30
06/22/2018	SLV	Telephone call with Taft accounting regarding the 3si receivership .	0.20
06/25/2018	SLV	Telephone call to Dan Stermer regarding update on Taft correspondence and funding.	0.10
08/03/2018	SLV	E-mail to to Alexis Beachdell regarding additional possible creditors for notice.	0.20
08/07/2018	DJS	Review communications to/from/with Sandy Rosen/Glen Mores/Alex Beachdell regarding receivership and issues related to same.	0.10
	SLV	Review e-mails from Alexis Beachdell and Glenn Mores from Microdata regarding claim and notice.	0.10
10/12/2018	DJS	Review the communication from Steve Victor related to the Taft Stettinius firm and issues related to same.	0.10
	SLV	Telephone call and e-mail with Dominic DiPuccio regarding update on receivership sale.	0.40
	SLV	Telephone call and e-mail with Sandy Rosen and BakerHostetler regarding tax firm claim and update.	0.20
11/13/2018	SLV	Forward CAC proof of claim to Sandy Rosen and BakerHostetler for inclusion on their notice list. Creds./Creds.' Comm. Contact	0.10
			4.40
			2,438.50
09/15/2017	SLV	Review e-mail from Sandy Rosen to Matt Goldman and others regarding update and demand on Mr. Jesser.	0.10
09/17/2017	SLV	Review e-mails from Bill Brandt to Sandy Rosen regarding correspondence from Mr. Jesser regarding Hildebrand, etc. v. Downing, etc., AAA Arb.	0.30
09/18/2017	SLV	Review e-mails from Bill Brandt (3) regarding further correspondence to and e-mails from Attorney Jesser regarding arbitration.	0.30
	SLV	Review e-mail from Dan Stermer with draft letter to Mr. Jesser.	0.20
	SLV	Review e-mail from Bill Brandt to Mr. Jesser regarding e-mail response to his request; review e-mails from Sandy Rosen (2) regarding same.	0.30
	SLV	Telephone conference call with Sandy Rosen, Matt Coleman, Alice Ko, Michael VanNiel, Dan Stermer and Bill Brandt regarding Jesser and updates.	0.70
09/19/2017	SLV	Review e-mails from Bill Brandt forwarded from Mr. Jesser (4) regarding arbitration.	0.60
	SLV	Review e-mail form Sandy Rosen regarding response to Jesser.	0.10
09/20/2017	SLV	Review e-mail from Sandy Rosen with Mr. Jesser's brief reply.	0.10
09/28/2017	SLV	Review e-mails from Dan Stermer and Sandy Rosen regarding times and response from Mr. Jesser on demands for turnover.	0.10
09/29/2017	SLV	Telephone call and e-mails with Dan Stermer	

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			HOURS
		regarding Jesser letter and information request.	0.40
10/02/2017	DJS	Prepare/send communication to Sandy Rosen regarding following up with BakerHostetler team and follow up with Mr. Jesser.	0.10
10/09/2017	SLV	Review e-mail from Alice Ko to banks following on requests for turnover of funds and statements.	0.10
	SLV	Review e-mail from Sandy Rosen requesting update for report to the court; telephone call with Dan Stermer and update of notes for Mr. Rosen.	0.20
10/11/2017	DJS	Prepare/send follow up to Sandy Rosen regarding status of response from Steve Jesser and review follow up from Sandy Rosen regarding same.	0.10
	SLV	Review e-mail from Dan Stermer to Sandy Rosen regarding request if he had heard from Steven Jesser with information requested.	0.10
10/19/2017	SLV	Review draft status report for court.	0.20
10/20/2017	SLV	Review e-mail from Alice Ko responding to Bill Brandt as it relates to changes in status report for court.	0.20
	DJS	Teleconference with Steve Victor regarding Receiver's First Status Update.	0.10
10/24/2017	DJS	Review communication from Sandy Rosen to Steve Jesser regarding lack of response and next steps regarding same.	0.10
	SLV	Review e-mail from Sandy Rosen to Steve Jesser regarding demand for turnover of records.	0.10
10/25/2017	DJS	Review follow-up communication from Sandy Rosen to Steve Jesser regarding response due.	0.10
11/15/2017	DJS	Communications to/from/with Sandy Rosen regarding the Jesser issues and next steps with regard to same.	0.10
12/01/2017	DJS	Review communication from Sandy Rosen with latest response from Steven Jesser.	0.10
12/08/2017	DJS	Review communication forwarded by Receiver Brandt regarding arbitration-related issues and follow up from Sandy Rosen regarding same.	0.10
12/12/2017	DJS	Teleconference with Steve Victor regarding Zenati subpoena and issues related to same.	0.10
	DJS	Teleconference with Steve Victor regarding earlier conference call with Sandy Rosen and next steps with regard to same.	0.10
12/14/2017	DJS	Review communication from Receiver Brandt regarding arbitration-related communication and various follow up regarding same.	0.10
	SLV	E-mails to Sandy Rosen and Bill Brandt regarding my instruction for Mr. Nacht to contact Sandy Rosen as counsel for receiver.	0.10
	SLV	Review e-mail from Sandy Rosen to Dan Stermer, myself and Bill Brandt regarding information requests from Dr. Zenati.	0.20

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			HOURS
12/27/2017	DJS	Teleconference with Steve Victor regarding Sandy Rosen forwarded e-mail and issues related to same.	0.10
01/19/2018	DJS	Review communication from Steve Jesser to Attorney Nelson in response to 6 Demand Letters forwarded by Sergio Perez.	0.10
01/23/2018	SLV	Review e-mail from Bill Brandt regarding attorney seeking information from the receiver, telephone call to our attorney and forward to Sandy Rosen.	0.30
02/27/2018	DJS	Review communication from Sandy Rosen containing inquiry from Steve Jesser; prepare/send response to same.	0.10
04/03/2018	DJS	Discussions with Receiver Brandt regarding status of matter and potential next steps and issues related to same.	0.20
04/10/2018	DJS	Review draft correspondence received from Sandy Rosen and prepare/send follow up regarding same; review further follow up from Sandy Rosen.	0.20
	SLV	Review draft letter to Judge Falia prepared by Sandy Rosen.	0.20
05/08/2018	DJS	Review draft memorandum from Steve Victor to Receiver Brandt and prepare/provide comments to same.	0.60
	DJS	Review budget-related issues and prepare/send latest version of same to Steve Victor.	0.20
	SLV	Prepare update e-mail for Sandy Rosen and Bill Brandt in preparation for tomorrow's status conference.	2.00
	SLV	Telephone call with Mike Grumbine regarding serve locations, expenses and some history on the other related cases.	0.60
06/07/2018	DJS	Review communication from Sandy Rosen with subpoena to Goodwin Proctor and follow-up communications to/from/with Steve Victor/Sandy Rosen and teleconference with Steve Victor(1) regarding same.	0.30
08/22/2018	DJS	Follow up communications to/from/with Steve Victor and Sandy Rosen regarding the upcoming hearing before court.	0.20
08/23/2018	DJS	Review communications to/from/with Steve Victor regarding the upcoming hearing and meeting related to same.	0.10
02/05/2019	SLV	Follow-up telephone call with Alexis Beachdell regarding stipulation.	0.20
02/08/2019	SLV	Telephone call with Sandy Rosen to review our recent telephone call with BakerHostetler and the trustee. Litigation Support	0.20
09/11/2017	SLV	Travel to New York, NY.	3.00
	SLV	Travel to Chicago, IL.	3.00
08/24/2018	SLV	Travel from Chicago, IL, to New York, NY.	4.00
			11.10      6,270.00

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			HOURS
	SLV	Travel from New York, NY, to Chicago, IL.	4.00
11/16/2018	SLV	Travel from New York to Chicago, IL, after the blizzard that happened in New York yesterday.	4.00
	SLV	Blizzard travel.	3.80
		Travel at 1/2	<u>18.00</u>
			<u>n/c</u>
			<u>18.00</u>
			<u>5,505.00</u>
		FOR THE FOREGOING PROFESSIONAL SERVICES RENDERED:	484.00
			<u>242,383.50</u>

## RECAPITULATION

CONSULTANT	HOURS	HOURLY RATE	TOTAL
C. D. DePaul	1.30	\$300.00	\$390.00
C. D. DePaul	0.30	310.00	93.00
S. L. Victor	6.00	297.50	1,785.00
S. L. Victor	12.00	310.00	3,720.00
S. L. Victor	33.60	595.00	19,992.00
S. L. Victor	175.60	620.00	108,872.00
S. L. Victor	16.80	635.00	10,668.00
J. E. Romey	83.00	350.00	29,050.00
A. L. Rhum	4.80	225.00	1,080.00
A. L. Rhum	2.60	230.00	598.00
D. J. Stermer	29.60	455.00	13,468.00
D. J. Stermer	98.20	465.00	45,663.00
D. J. Stermer	1.20	470.00	564.00
T.F. Caruso	2.00	230.00	460.00
W. G. Brandt	3.10	230.00	713.00
Y. S. Bogen	4.30	475.00	2,042.50
Y. S. Bogen	0.60	500.00	300.00
Y. Zhang	9.00	325.00	2,925.00

TOTAL CURRENT WORK	242,383.50
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BALANCE DUE	<u>\$242,383.50</u>
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